

Integrated planning and policy coherence for SDGs in the Caribbean SIDS

Regional workshop
13-15 November 2019
Port of Spain



Structure

- **Opening remarks and introductions**
- **Workshop objectives**
- **Ex-ante assessment**
- **Sessions**
- **Workshop evaluation**
- **Closing ceremony**



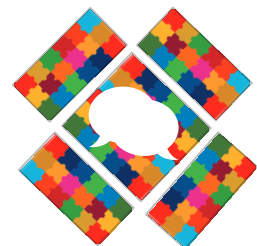
Learning objectives

- Define **key building blocks of any holistic, integrated planning and policy coherence** framework;
- Map and engage effectively with key **stakeholders in the national priority-setting** process;
- Apply elements of **systems thinking** to assess **intersectoral interactions**, identify nationally relevant “**leverage points**” (or “**accelerators**”) and build **interaction maps**;
- Describe possible approaches to developing **coherent policy options across sectors** and designing a **consultative process** to develop an **integrated strategy**;
- Provide examples of **tools and methods** used by other SIDS for **integrated planning and policy coherence**;
- Conduct **institutional readiness assessment** against SDG requirements.
- Develop an **individual action plan** to incorporate relevant knowledge in their professional context;
- Be able to either **provide policy leadership, train other stakeholders, or coach their colleagues** on the development of integrated policies.



Ex-ante assessment

- Please write your **secrete code** on the top on the test sheet and **memorize** it
- Answer all the questions in the **first column (1)** to the best of your knowledge
- Leave the second column for the end of the workshop
- Return the test sheet with completed **first column (1)** to the organizing team





Poster session: Achievements, innovations and challenges by attending countries

Tour de Table





Session 1: A holistic approach to policy coherence: key building blocks

Ms. Elena Proden (UNITAR)
Mr. Abdullahi Abdulcadri (ECLAC)



A holistic approach to policy coherence: key building blocks

- **System thinking and policy cycle approach**

An overview by Ms. Elena Proden, UNITAR (20 min.)

Q&A (10 min.)

Comments by Ms. Veronique Verbruggen, UN DESA (10 min.) and Mr. Abdullahi Abdulkadri, ECLAC (10 min.)

Q&A (10 min.)

- **Case study from Jamaica**

Presentation (20 min.) by Ms. Peisha Bryan-Lee, Planning Institute of Jamaica, and Ms. Hope Naomi Perkins, Statistical Institute of Jamaica

Q&A (10 min.)

- **Case study “Belize’s Inter-Institutional Review Committee”**

Presentation (20 min.) by Ms. Darlene Padron, Ministry of Agriculture, Fisheries, Forestry, the Environment and Sustainable Development of Belize

Q&A (10 min.)



A holistic approach to policy coherence: key building blocks (cont.)

- **Case study “Grenada’s work on data for SDGs”**

Presentation (20 min.) by Ms. Kenita Paul, Statistics Department, Ministry of Finance, Planning, Economic Development & Physical Developments of Grenada

Q&A (10 min.)

- **Mapping of national processes and policy coherence**

Activity (30 min.)

- **My take-away**

Personal reflection (5 min.)





System thinking and policy cycle approach

Ms. Elena Proden (UNITAR)

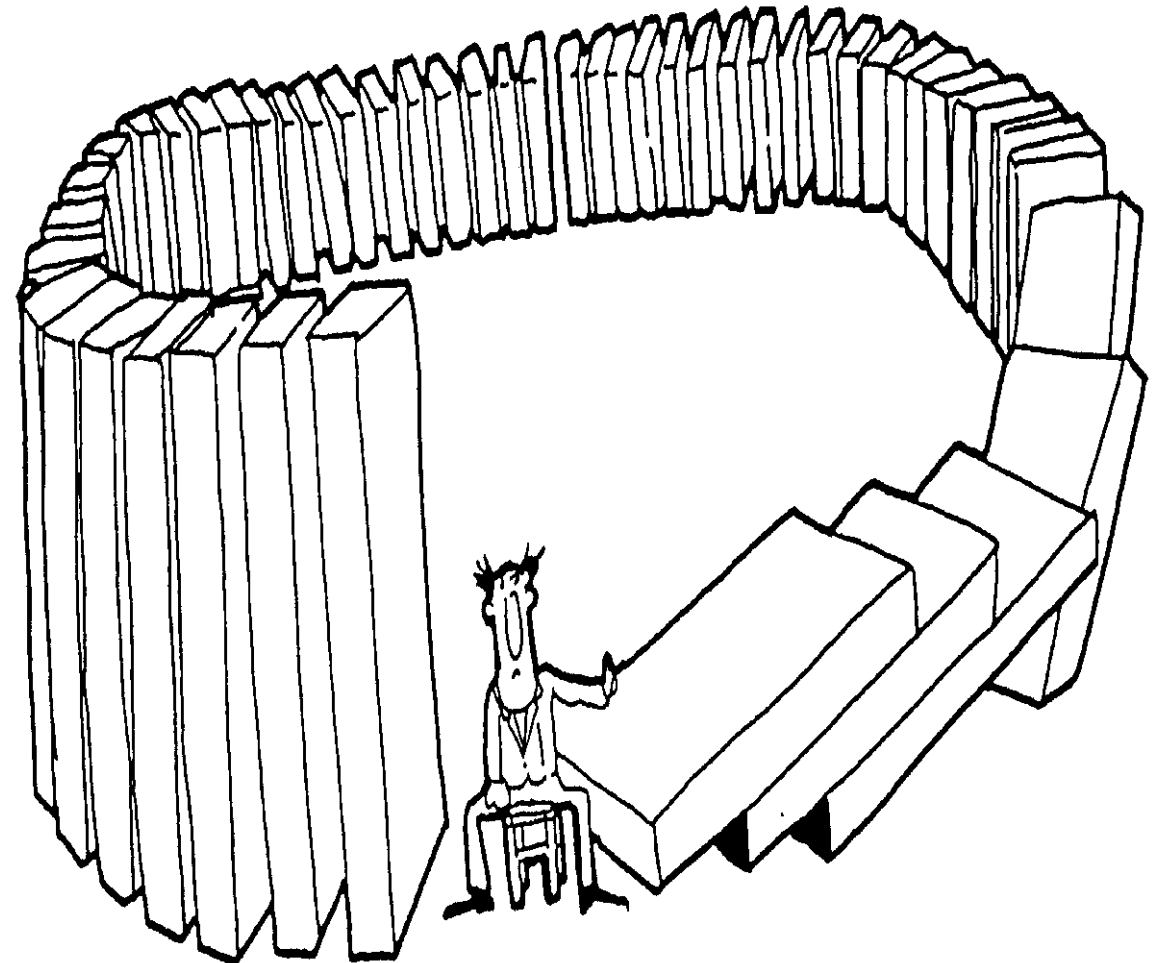
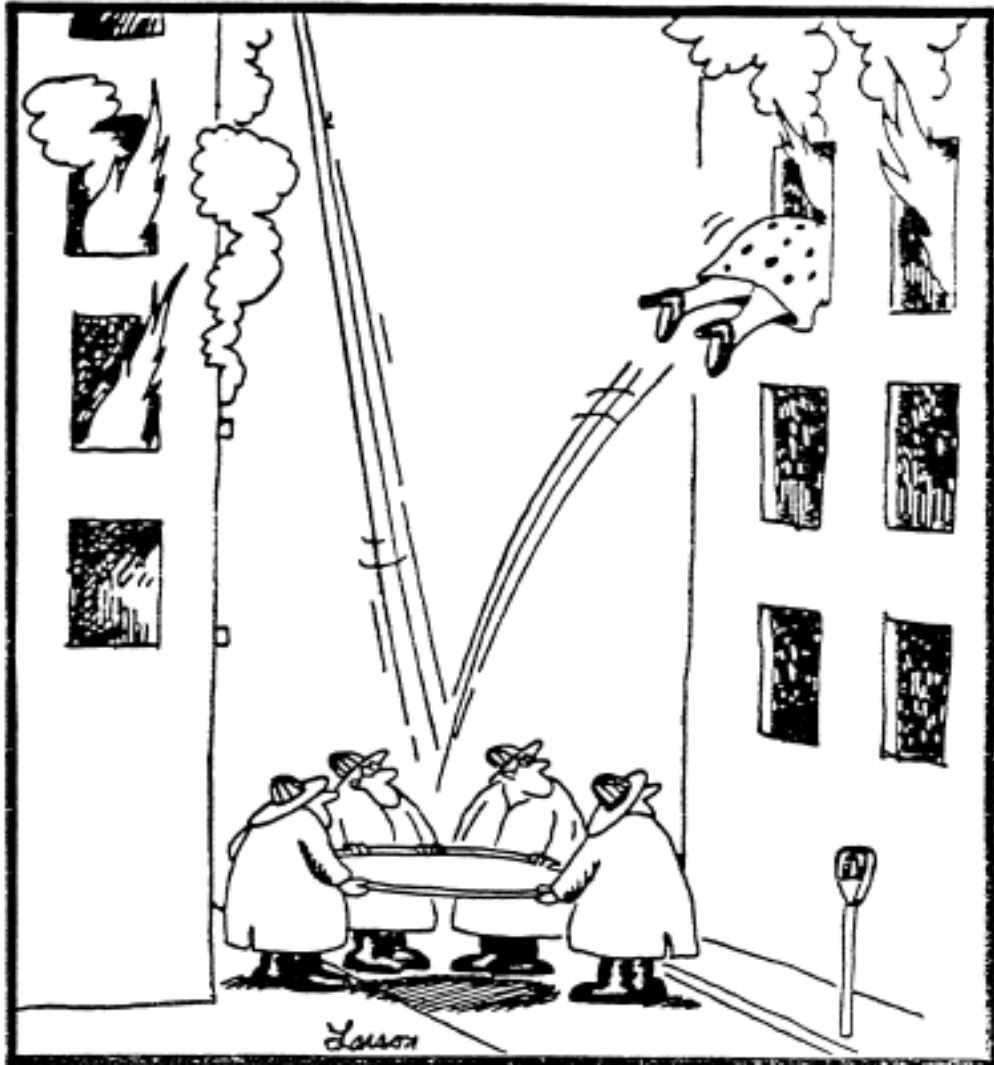


Borneo Island story

What is system thinking?

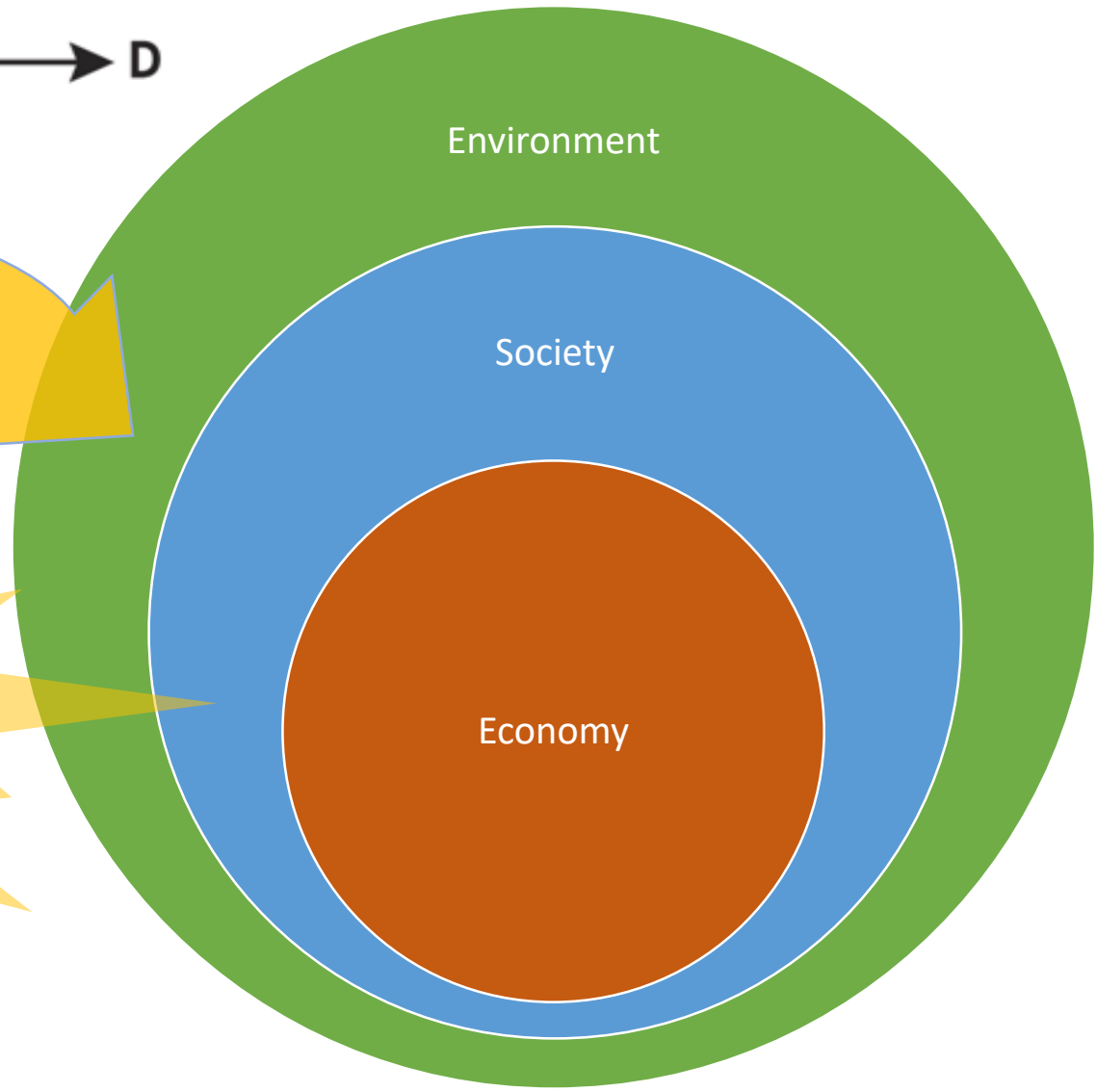
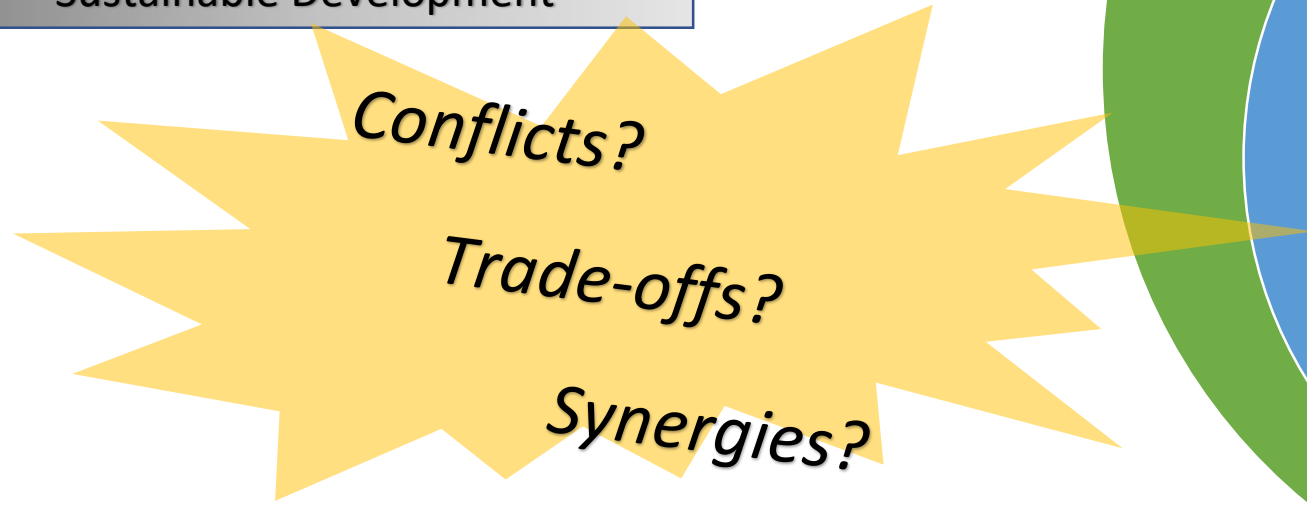
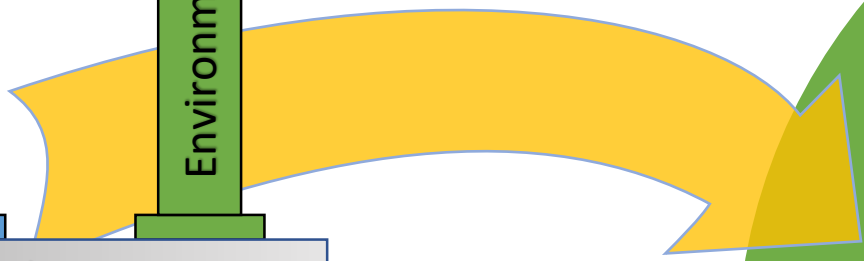
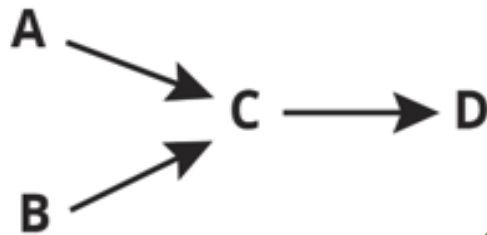
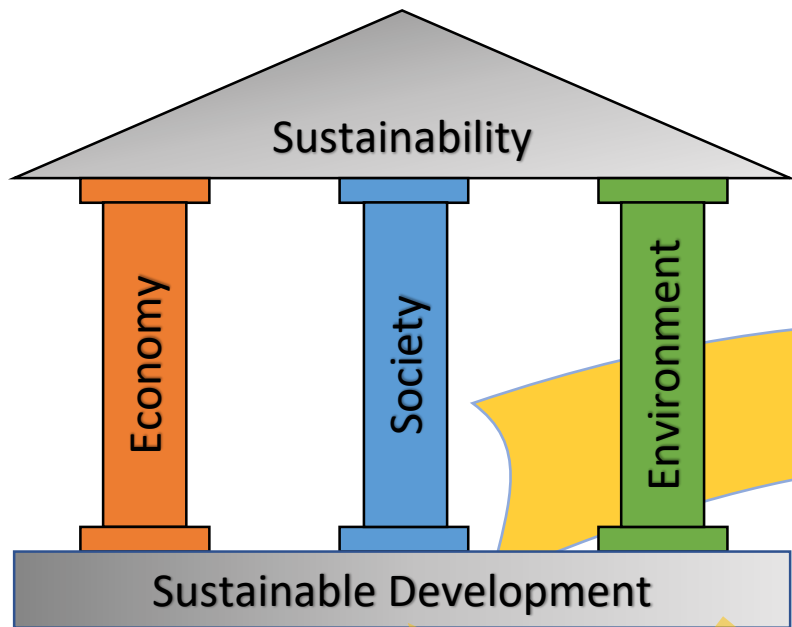


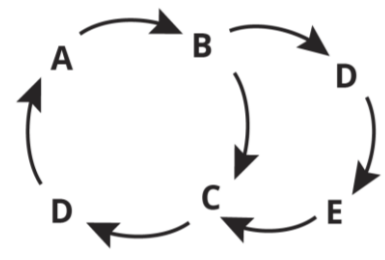
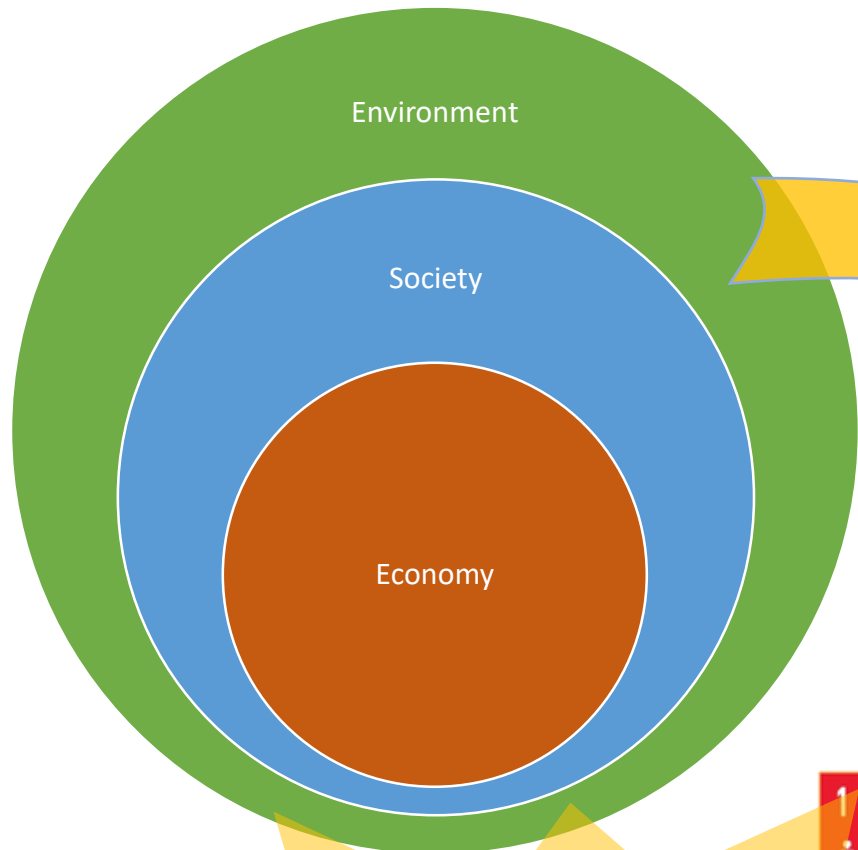
With systems, there are
always surprises











Feedback loops?

Leverage points?

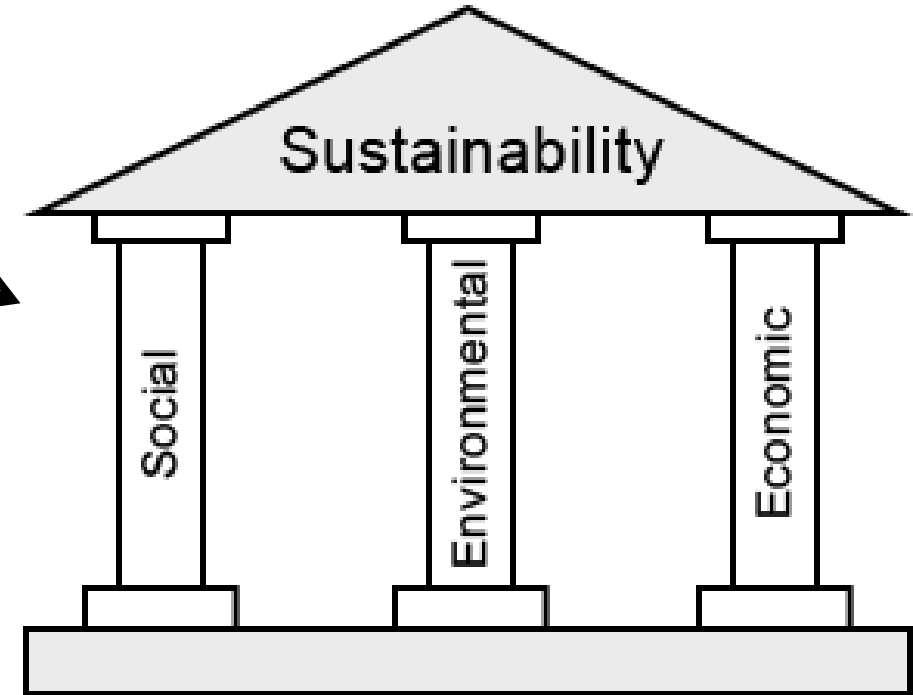
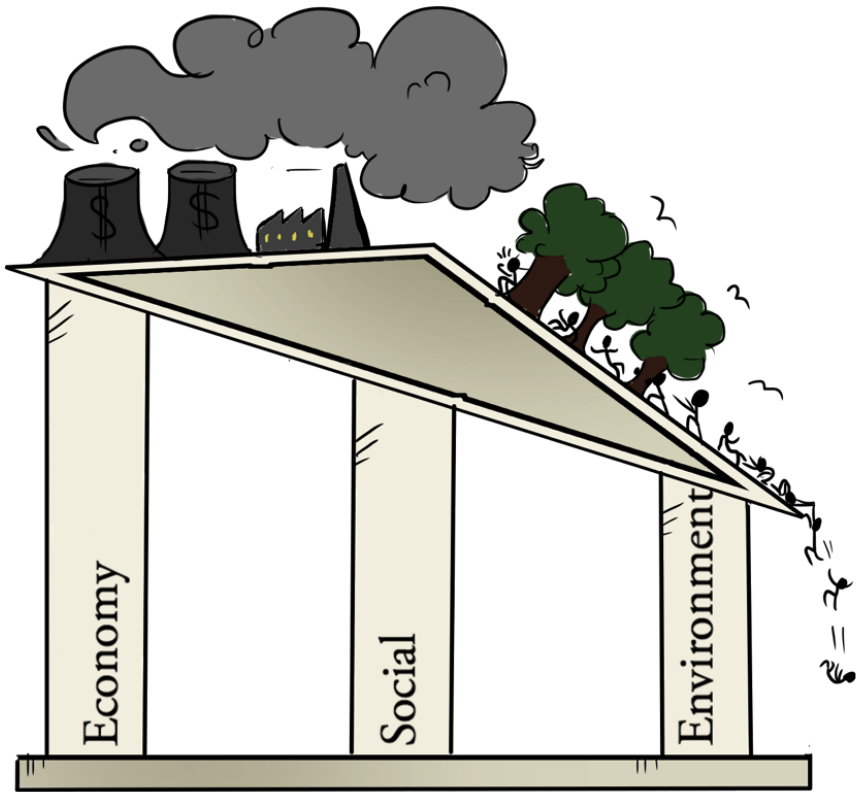
Emergent properties?



SD is not yet Integrated

30 years of SD “pillar”

- More like silos



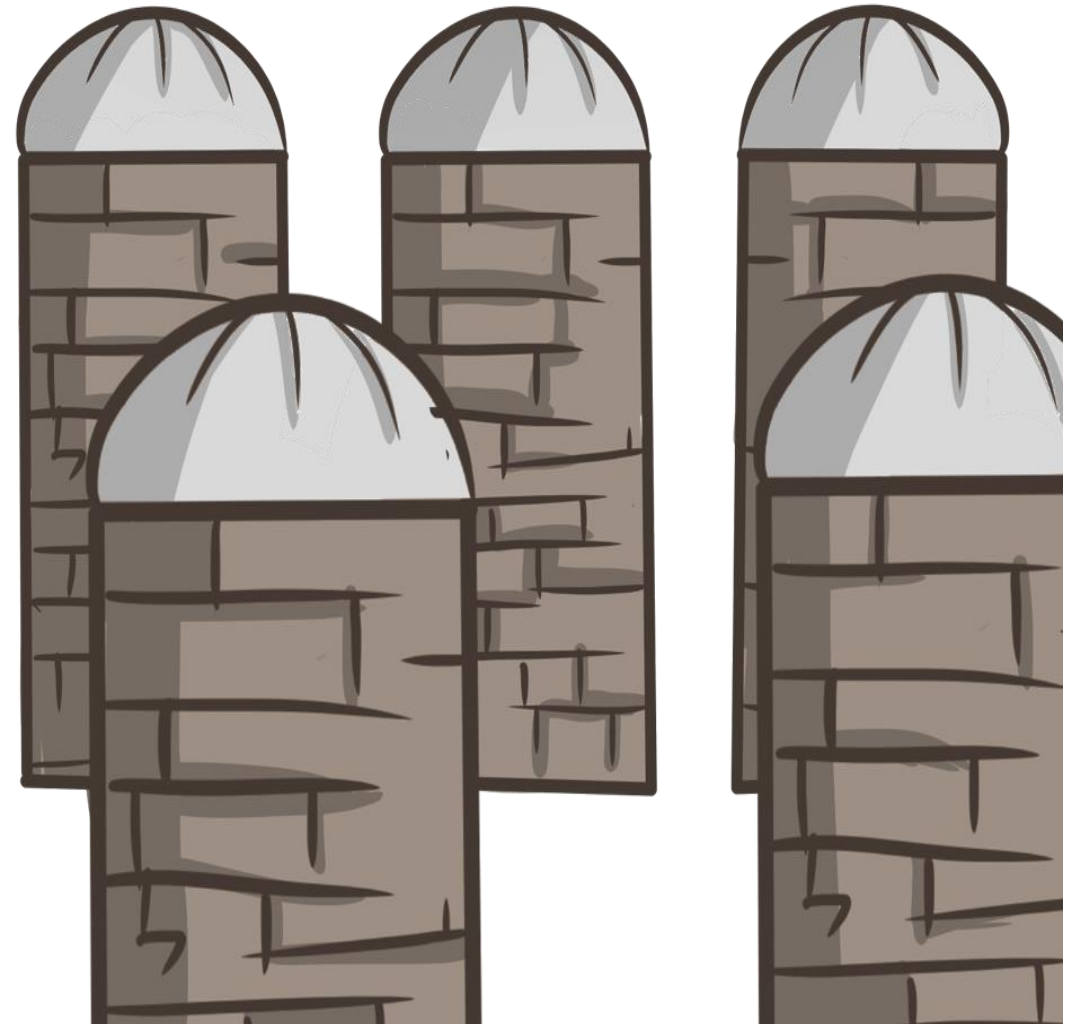
Result: *environment* and *social* have been lesser pillars...



Little Horizontal Coordination

- Pre-SD institutions
- Walled-up domains

How to move towards post-sectoral, systemic institutions?



...such as Siloed Policies

- **Food subsidies**

- Food security
- But depress agricultural prices

- **Biofuels**

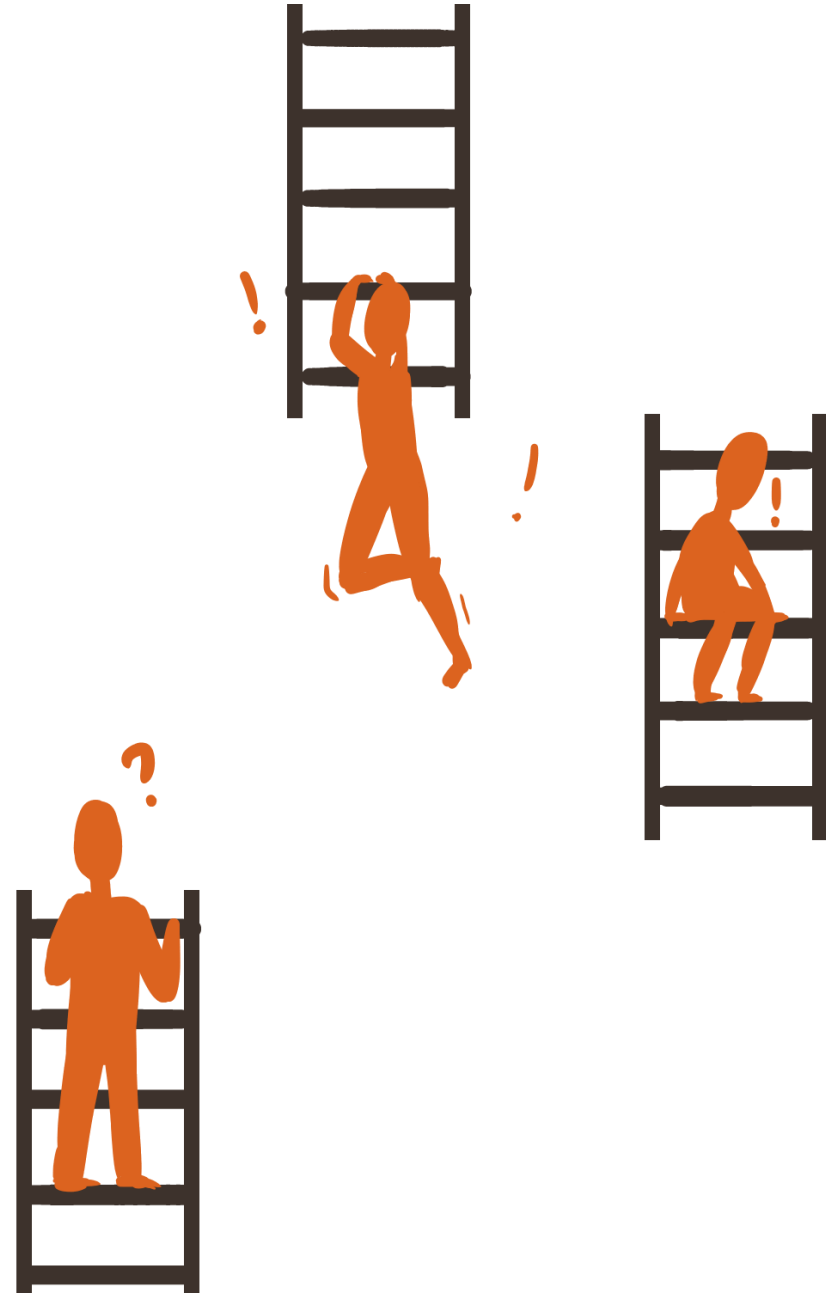
- Replaces fossil fuels
- But displaces food crops



Little Vertical Alignment

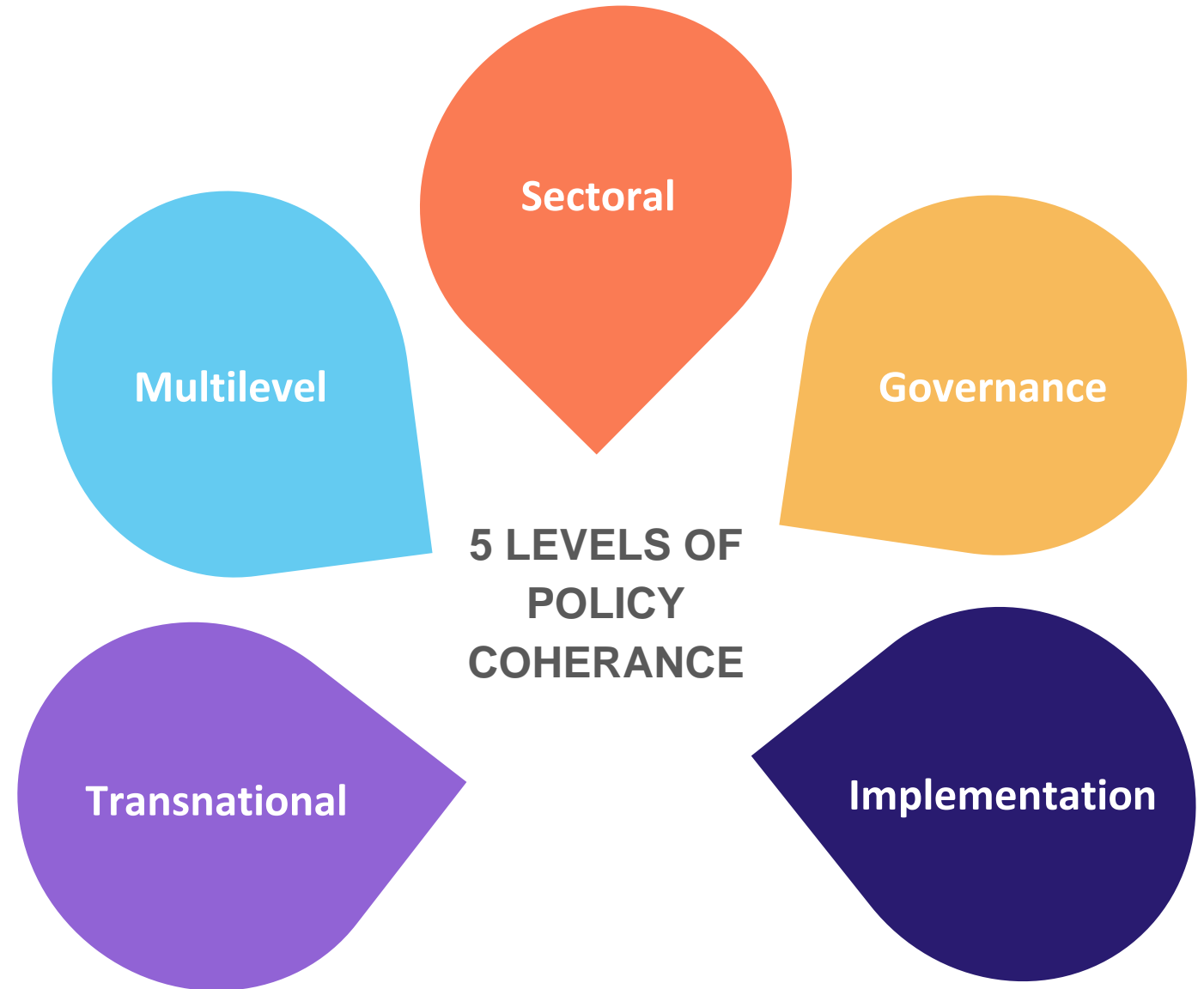
- Global to regional, national, local
- Mostly *ad hoc* programming

How to synchronize and nurture synergies, both bottom-up and top down?



ICSU model

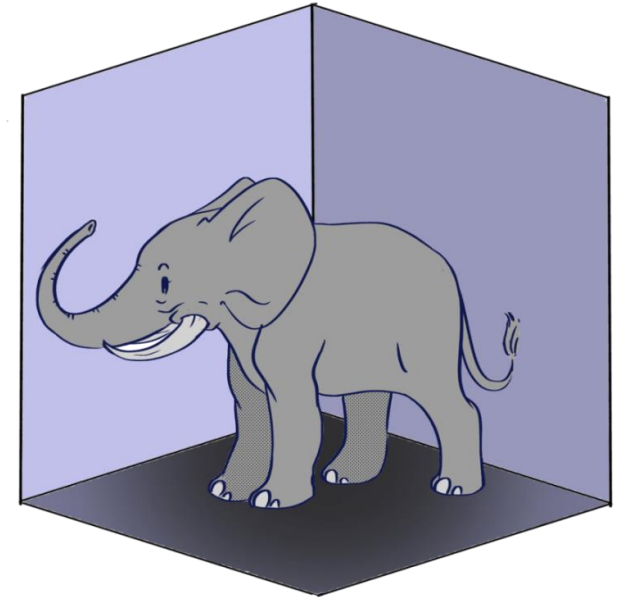
An approach supported by the scientific evidence that evaluates linkages between different goal areas in a systematic way.



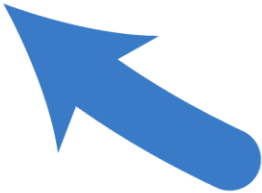
The International Council for Science (ICSU), 2017

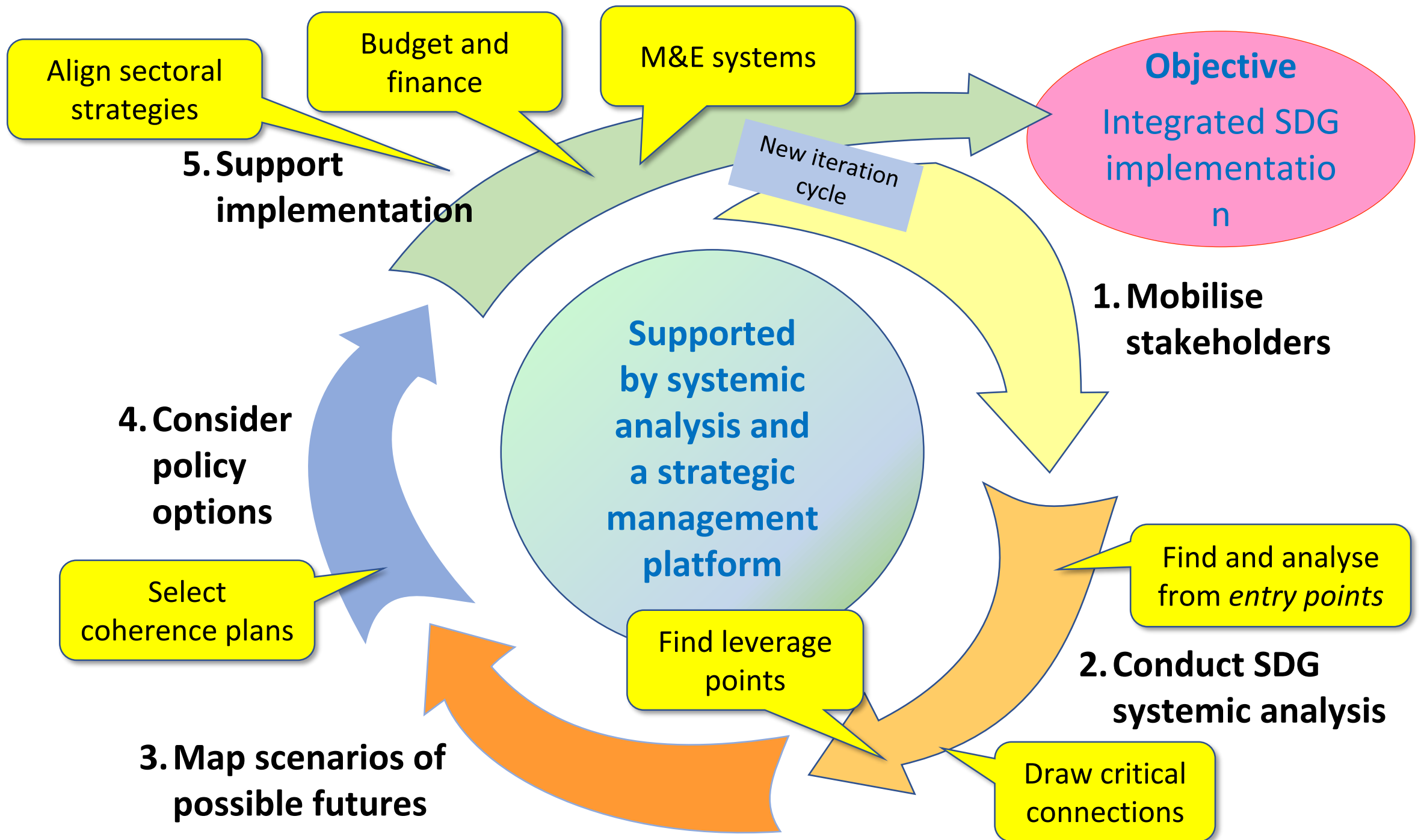
Little Harmony between Actors

- **We know much about Sustainable Development**
 - What problems are
 - What solutions can be
- **What remains is mostly politics**
 - Of interests vested in continuity
 - Stopping short at “*political will*”
- **We need to include *power*, the elephant in the room, in**
 - Analysis of conflicts, trade-offs and synergies
 - In policy change strategies

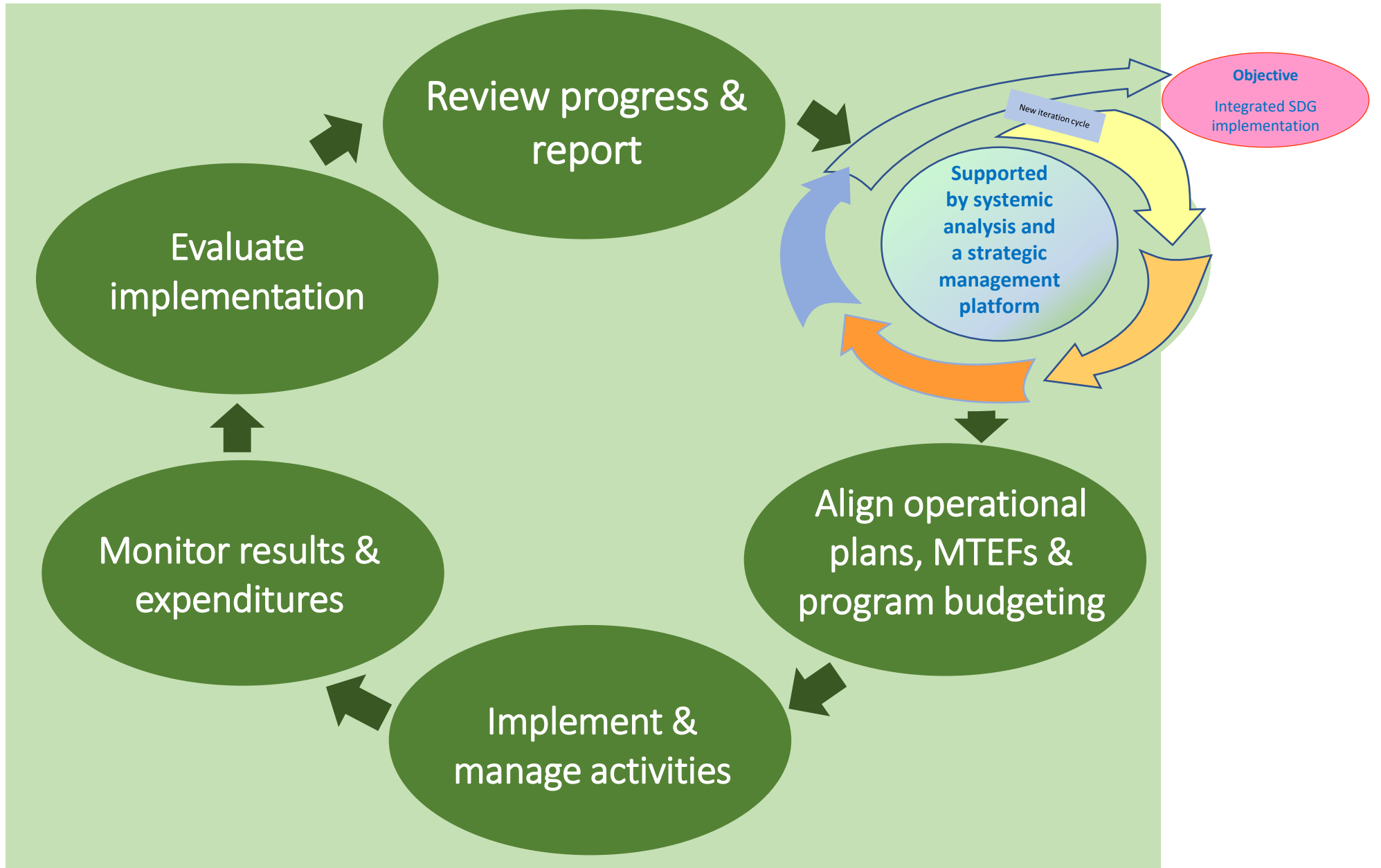


Escaping poverty trap





SDGs throughout policy cycle



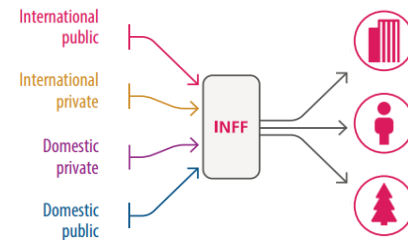
To be presented

- Use the methodology to identify **leverage points** and build **coherent strategy**
- Undertake an institutional readiness assessment for policy coherence

To be discussed

How to implement by leveraging:

- **Sectoral strategies** or plans
- Aligning result chains and performance management
- **Financing** and **budgeting**
- **M&E systems** and **data**
- Strengthen **national evaluation capacities** – critical to identifying cross-sectoral impacts!
- Regular reviews and reporting



Scenario planning
and strategy

INFFs

SDG spending
readability

Strategic
budget
allocations are
SDG informed

Data producer-
Data user dialogue

Integrated Indicator
Databases
Dashboards
Open data portals

National Evaluation
Plan

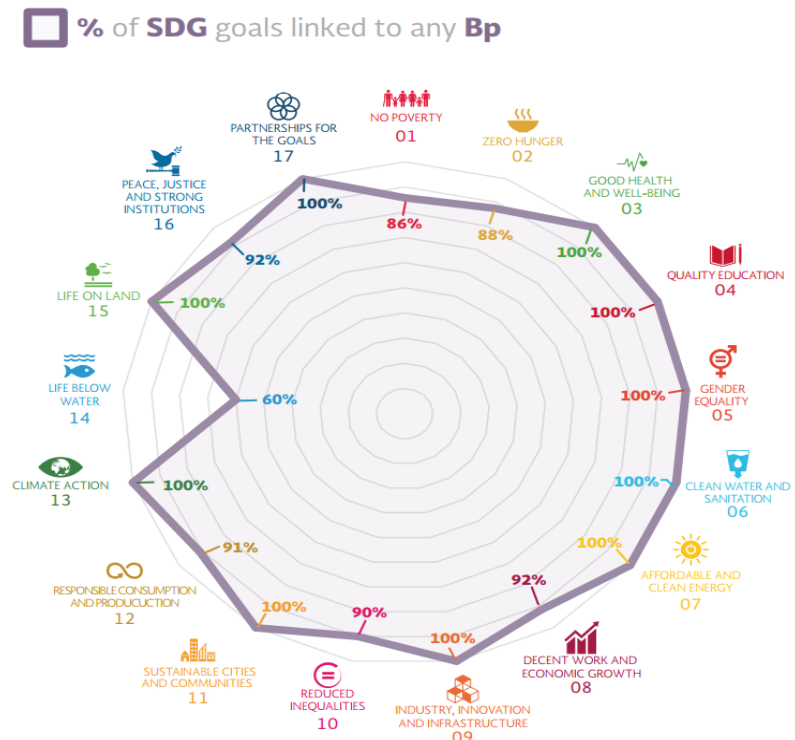
Mexico: Institutional architecture and budgeting



1. National Planning
2. Budgetary Programmatic Structure
3. Performance Evaluation System
4. Accounting Harmonization

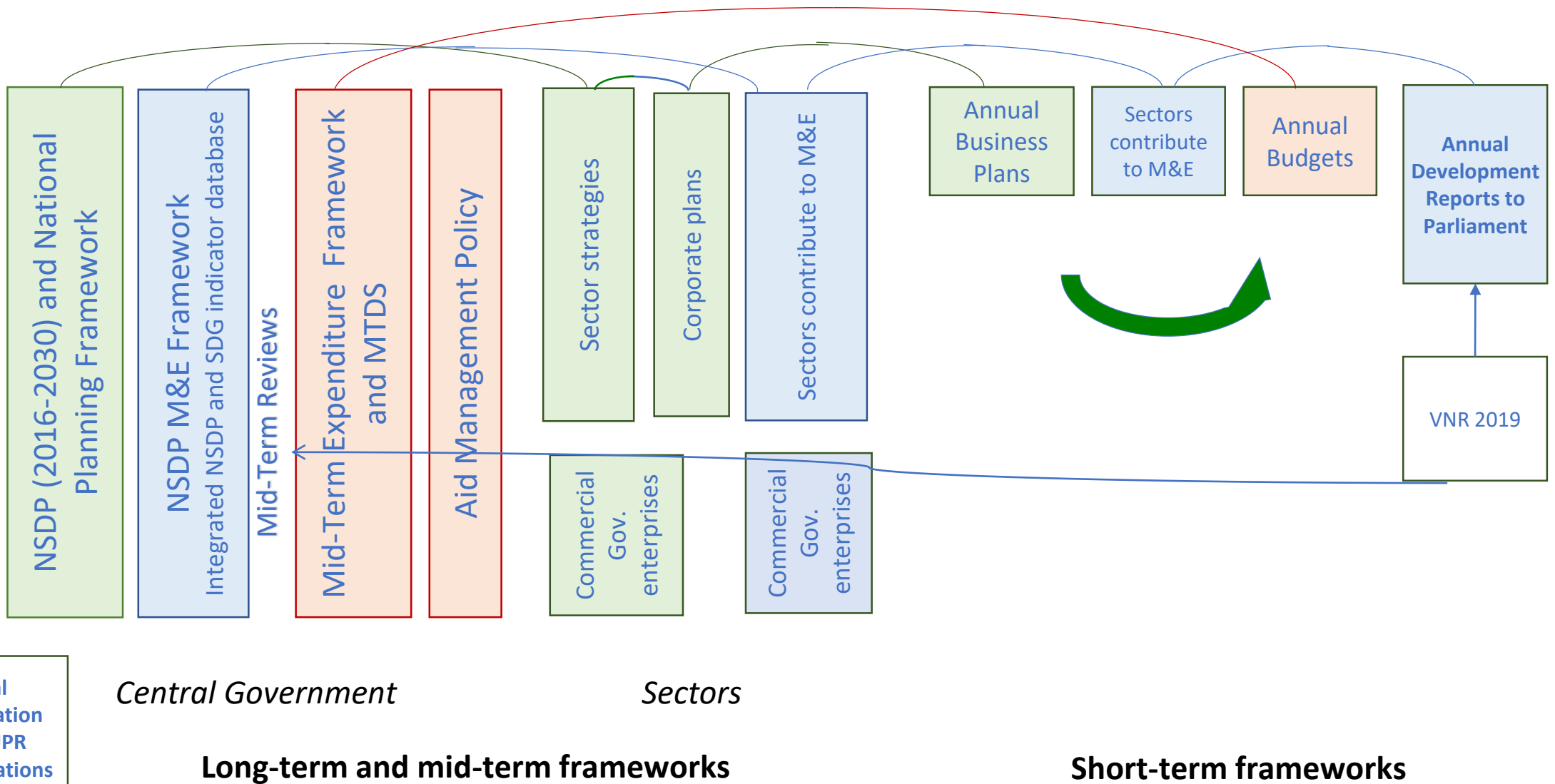
1. Linking budget to SDGs
2. Quantifying

- Estimates of investments per target have been produced.
- 102 targets have been further disaggregated by topics.



Vanuatu: SDGs anchored in national processes

SDGs



Vanuatu: integrated database and baseline survey



NSDP & SDG Indicator Database



SEARCH BY NSDP
INDICATOR

NSDP Indicator
Maintenance

SEARCH BY SDG
INDICATOR

SDG Indicator
Maintenance

METADATA

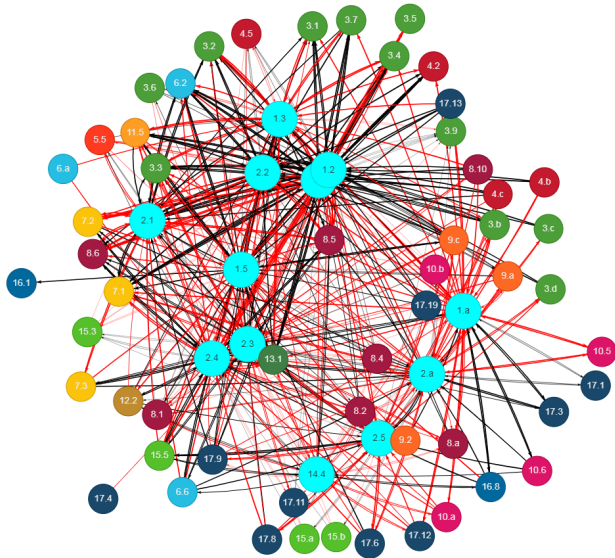


Leading evidence based decision making for all, Connecting statistics with the people of Vanuatu

Baseline survey

Monitoring and data to support integrated analysis and decision-making

Mapping and assessing strength of interlinkages between indicators



Dimensions of sustainable development

Tying environmental and economic data using industries – on resource use, impacts, and how taxes and subsidies are distributed

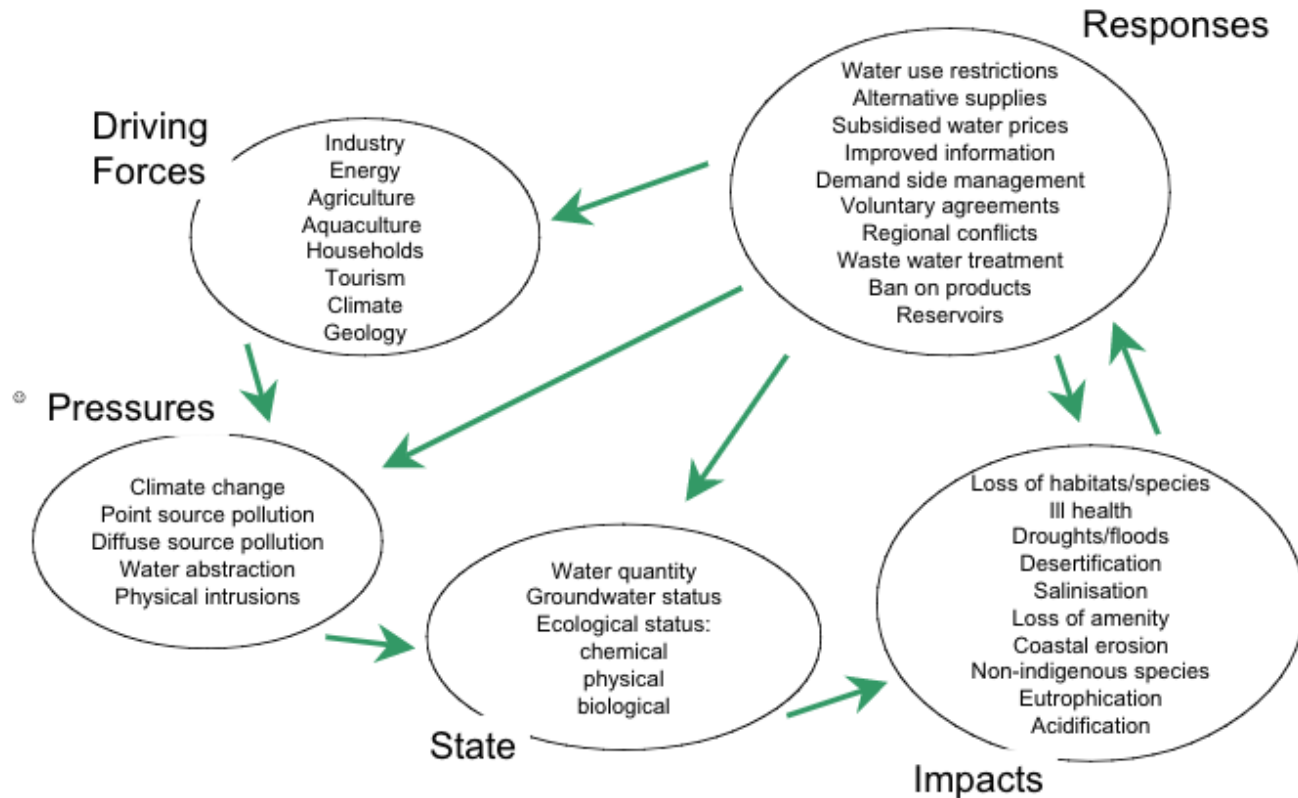
Measuring well-being of people (multidimensional poverty statistics, healthy years...)

Tying statistical frameworks

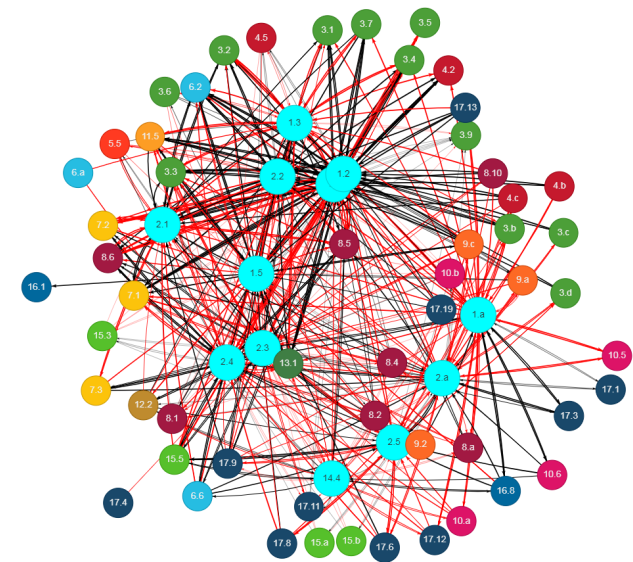
Sendai Framework for the Development of Environment Statistics
SEEA
National accounts

Monitoring and data to support integrated analysis and decision-making

DPSIR Framework: example of water



Mapping and assessing strength of interlinkages between indicators



Nepal: Integrated National Evaluation Plan, 2016-2020

- Enabling environment – National Evaluation Policy, M&E act, code of conduct - and capacity development (Evaluation Training Centre)
- **Networking** and coordination
- Institutionalize **internal** and **external evaluations** in National Planning Commission and all line Ministries
- **2 Meta Evaluations** (theme-wise and from gender and equity lenses)
- **Disaggregated data** and SDGs **baseline report**
- Annual Nepal **Social Inclusion Survey**
- **GBV** data
- Pilot tools and technologies, incl. **Randomized Control Trials**



Final thoughts...

- Policy coherence requires more than mechanically trickling down or linking chains of results
- System thinking is a mindset that can enable the implementation of coherent policies and pathways at different levels
- Institutional aspects are the backbone for adjusting processes, strengthening systems and ensuring expected change takes place
- How to leverage budgeting and M&E systems for greater policy coherence?





Vision 2030 Jamaica and the SDGs

Ms. Peisha Bryan-Lee

Planning Institute of Jamaica

Ms. Hope Naomi Perkins

Statistical Institute of Jamaica



Belize's Inter-Institutional Review Committee

Ms. Darlene Padron

Ministry of Agriculture, Fisheries, Forestry,
the Environment and Sustainable
Development of Belize



Grenada's work on data for SDGs

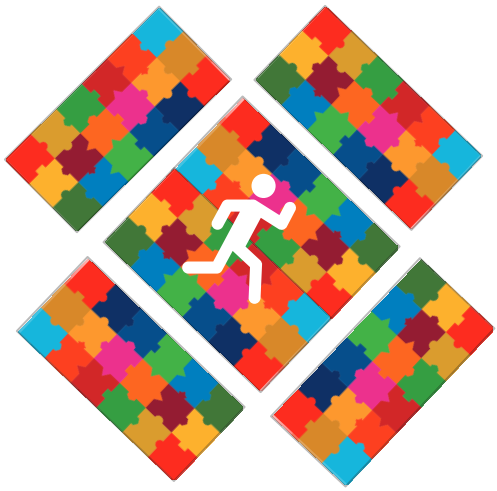
Ms. Kenita Paul

Statistics Department, Ministry of Finance,
Planning, Economic Development & Physical
Developments of Grenada



Mapping of national processes and policy coherence

30 min.




Personal reflection

5 min.



Three things about me

15 min.



Session 2: Governance and stakeholders' engagement and capacities to implement the SDGs

Ms. Veronique Verbruggen (UN DESA)

Mr. Francois Fortier (ECLAC)



DESA | Department of
Economic and
Social Affairs



UNITED NATIONS

ECLAC

Governance and stakeholders' engagement and capacities to implement the SDGs

- **Preparing stakeholder engagement**

Introduction to the topic by Ms. Veronique Verbruggen, UN DESA (40 min.)

- **Stakeholder mapping**

Activity (30 min.)

- **My take-away**

Personal reflection (5 min.)





Preparing stakeholder engagement

Ms. Veronique Verbruggen, UN DESA

“Gearing up for a Decade of action and delivery of sustainable development”: Political declaration of the SDG Summit, Sept. 19

Para 27(d) **Strengthening institutions for more integrated solutions:**

.....“We will proactively develop effective, accountable and transparent institutions at all levels and ensure more responsive, inclusive, participatory and representative decision-making processes. We will strive to equip domestic institutions to better address interlinkages, synergies and trade-offs between the goals and the targets through a whole of government approach that can bring about transformative change in governance and public policy and ensure policy coherence for sustainable development”.

Sustainable Development Goals Principles

Universality

all countries are concerned by and responsible for, sustainability;

Inclusiveness

of all people as stakeholders and actors with interests and roles in sustainable prosperity

Integration

marking the indivisible bonds of the three dimensions of sustainability (social, economic and environmental)

Policy coherence: what is required?

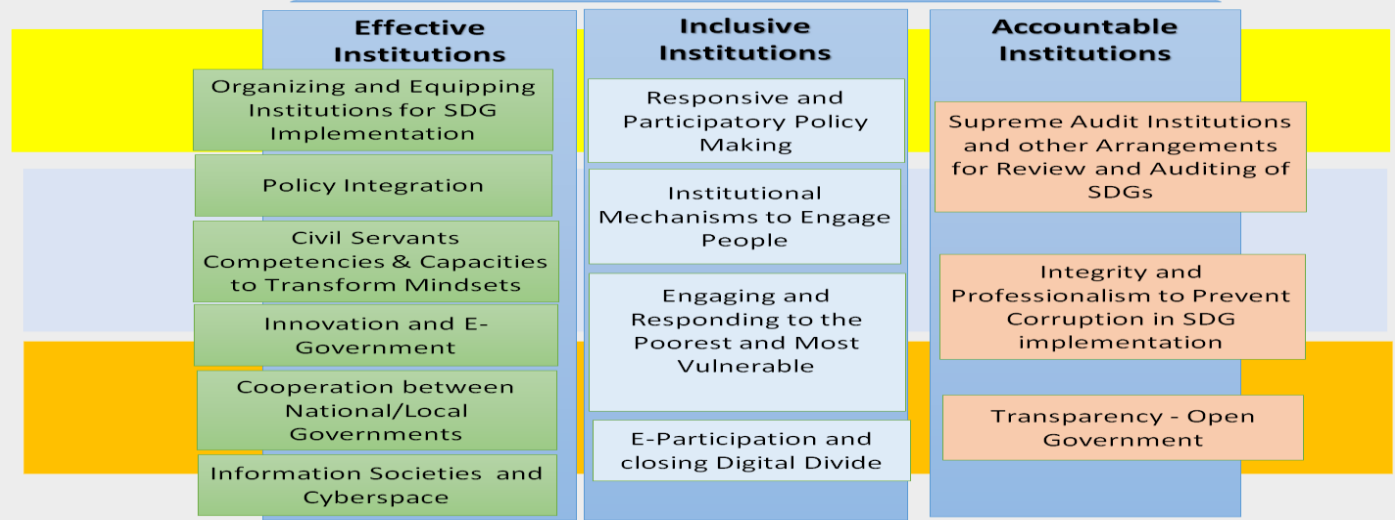
- (1) systematic identification of relevant linkages across the SDGs & consider those linkages in policy design;
- (2) consistency across scales (local/ regional/national) of implementation;**
- (3) Involvement of relevant stakeholders in design, implementation, monitoring & evaluation;**
- (4) Provision of adequate resources for implementation at all levels & scales





Leave No One Behind

Transforming Institutions, policy making and service delivery for the SDGs



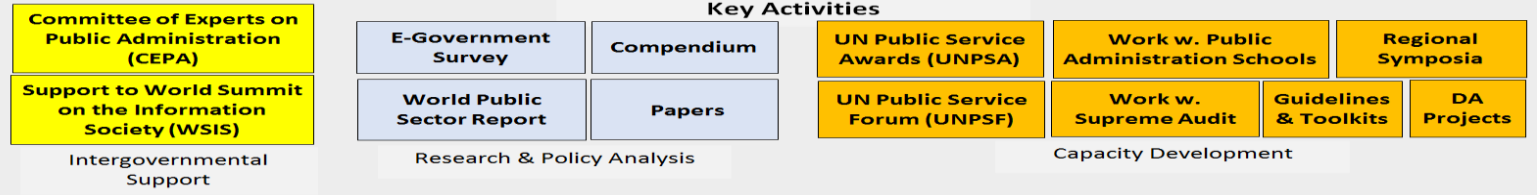
DPADM Objective

To foster effective, efficient, transparent, accountable, innovative and citizen-centred public governance, administration and services for sustainable development

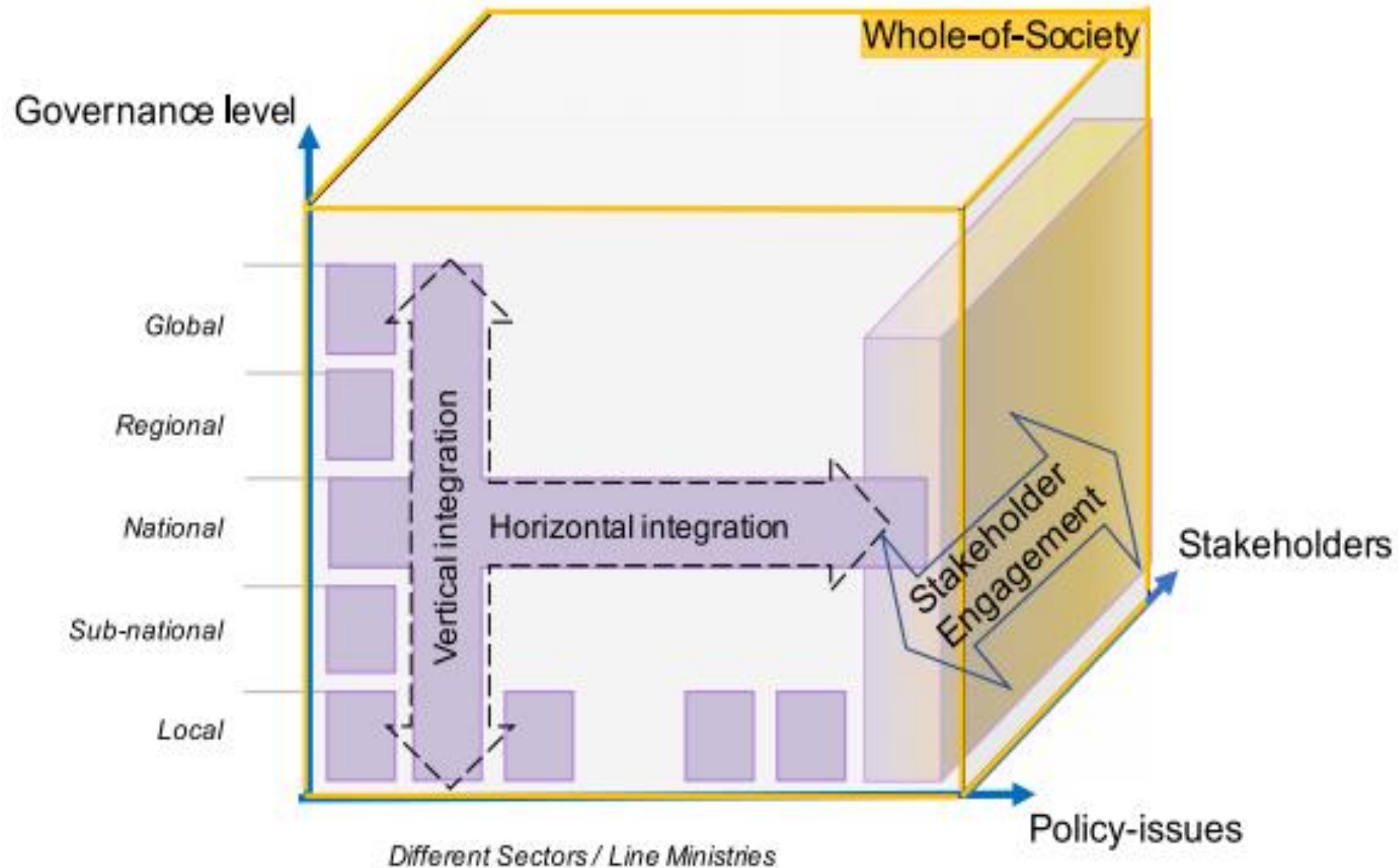
SUSTAINABLE DEVELOPMENT GOAL 16
 Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels

TRG 16.5 Substantially reduce corruption and bribery in all their forms	TRG 16.6 Develop effective, accountable and transparent institutions at all levels	TRG 16.7 Ensure responsive, inclusive, participatory and representative decision-making at all levels	TRG 16.9 By 2030, provide legal identity for all, including birth registration	TRG 16.10 Ensure public access to information and protect fundamental freedoms, in accordance with national legislation and international agreements
TRG 9.C Affordable access to the Internet in least developed countries by 2020	TRG 17.14 Policy coherence for SDGs	TRG 17.9 Effective and targeted capacity-building in developing countries	Other relevant SDGs and Targets	

Key Activities



Horizontal and vertical integration



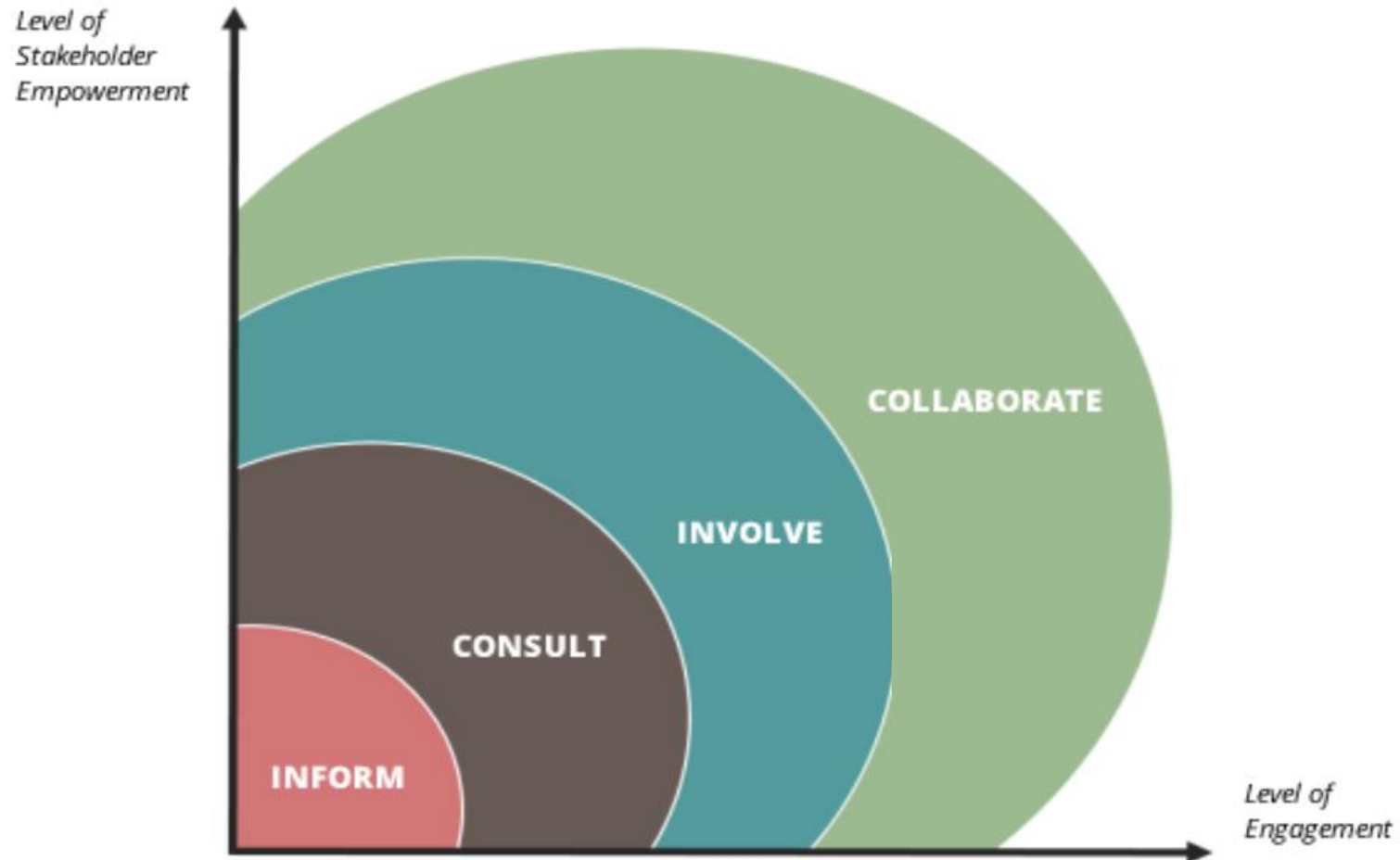
Step 1

1. Mobilising stakeholders

- Plurality of voices: aspirations, knowledge, expertise
- Share knowledge
- Ownership
- Resources
- Identify trade- offs, management of conflicts and reduce resistance for change, build partnerships and synergies



Levels of stakeholders engagement

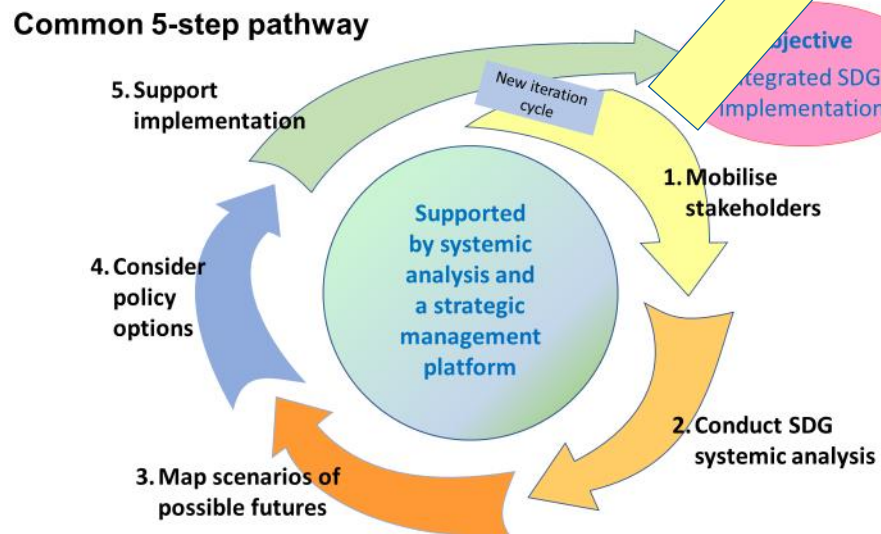


*International
Association for Public
Participation (IAP2)*

Step 1

1. Mobilising stakeholders

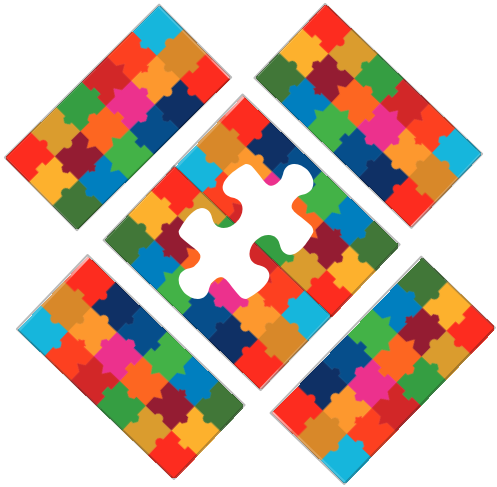
- ✎ Plurality of voices
- ✎ Share knowledge
- ✎ Ownership



Identify interested stakeholders

Map-out their influence and dependency

Assess their power relations, capacity and needs



Stakeholder Mapping

Ms. Veronique Verbruggen (UN DESA)

Activity

Direct Influences	MoENER	MoFIN	MoENV	MoH	CAC	CoC	REC	COGA	Influence
Min of Energy		0	0	0	0	0	0	0	0
Min of Finance	0		0	0	0	0	0	0	0
Min of Environment	0	0		0	0	0	0	0	0
Min of Health	0	0	0		0	0	0	0	0
Climate Action Collective	0	0	0	0		0	0	0	0
Chamber of Commerce	0	0	0	0	0		0	0	0
Renewable Energy Consortium	0	0	0	0	0	0		0	0
Coal, Oil and Gas Association	0	0	0	0	0	0	0		0
<i>Dependence</i>	0	0	0	0	0	0	0	0	

Influences: from 0 to 4, reflecting the importance of a row actor for each actor in a column.

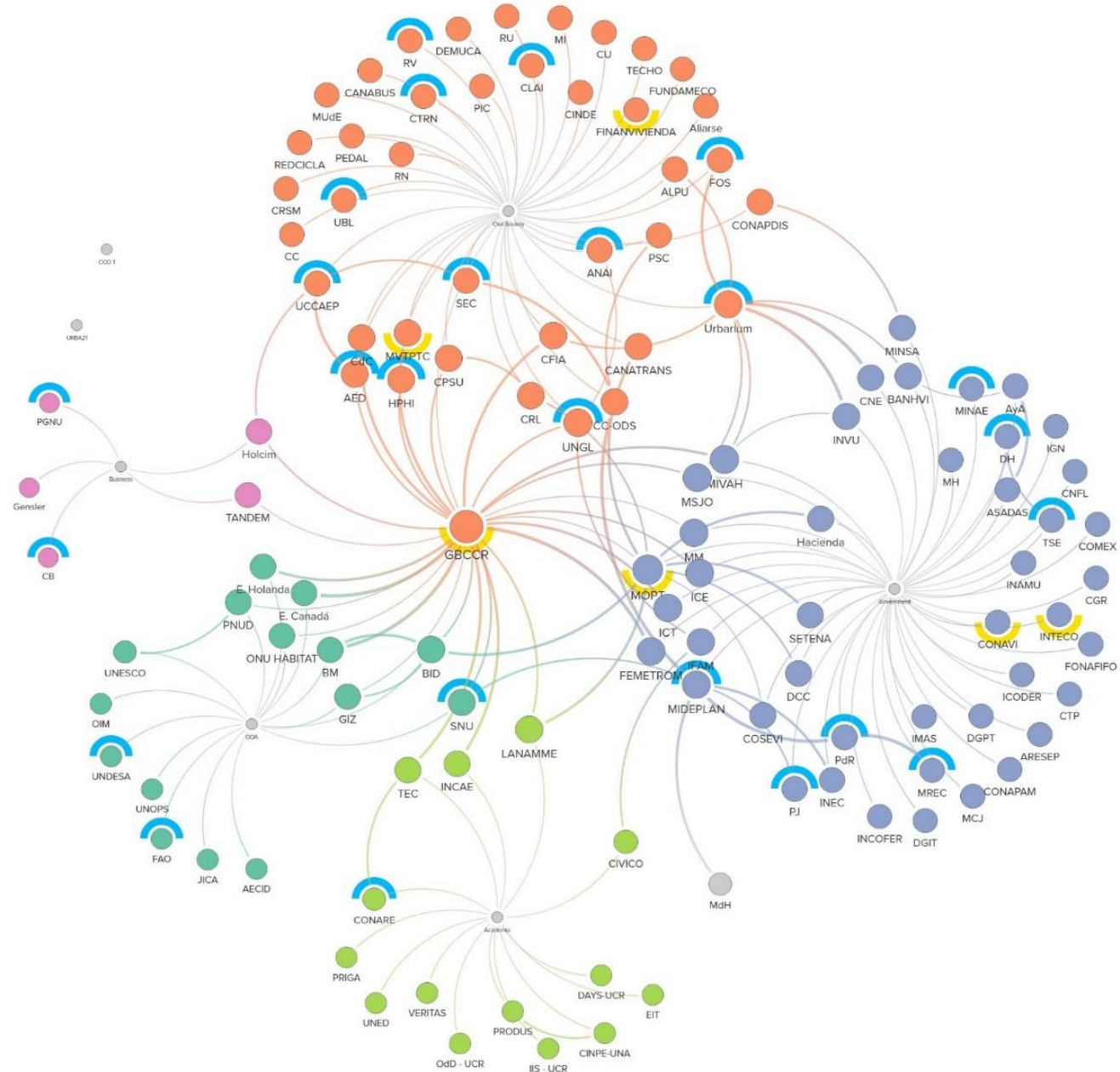
0: No or little influence 1: Operation 2: Results 3: Mission 4: Existence

Type	Form	Space	Level
Power With	Visible	Invited	National
Power With	Hidden	Closed	National
Power Over	Visible	Closed	National
Power Over	Invisible	Invited	Global
Power Over	Visible	Invited	Sub-national
Power With	Hidden	Claimed	Local
Power With	Hidden	Invited	National



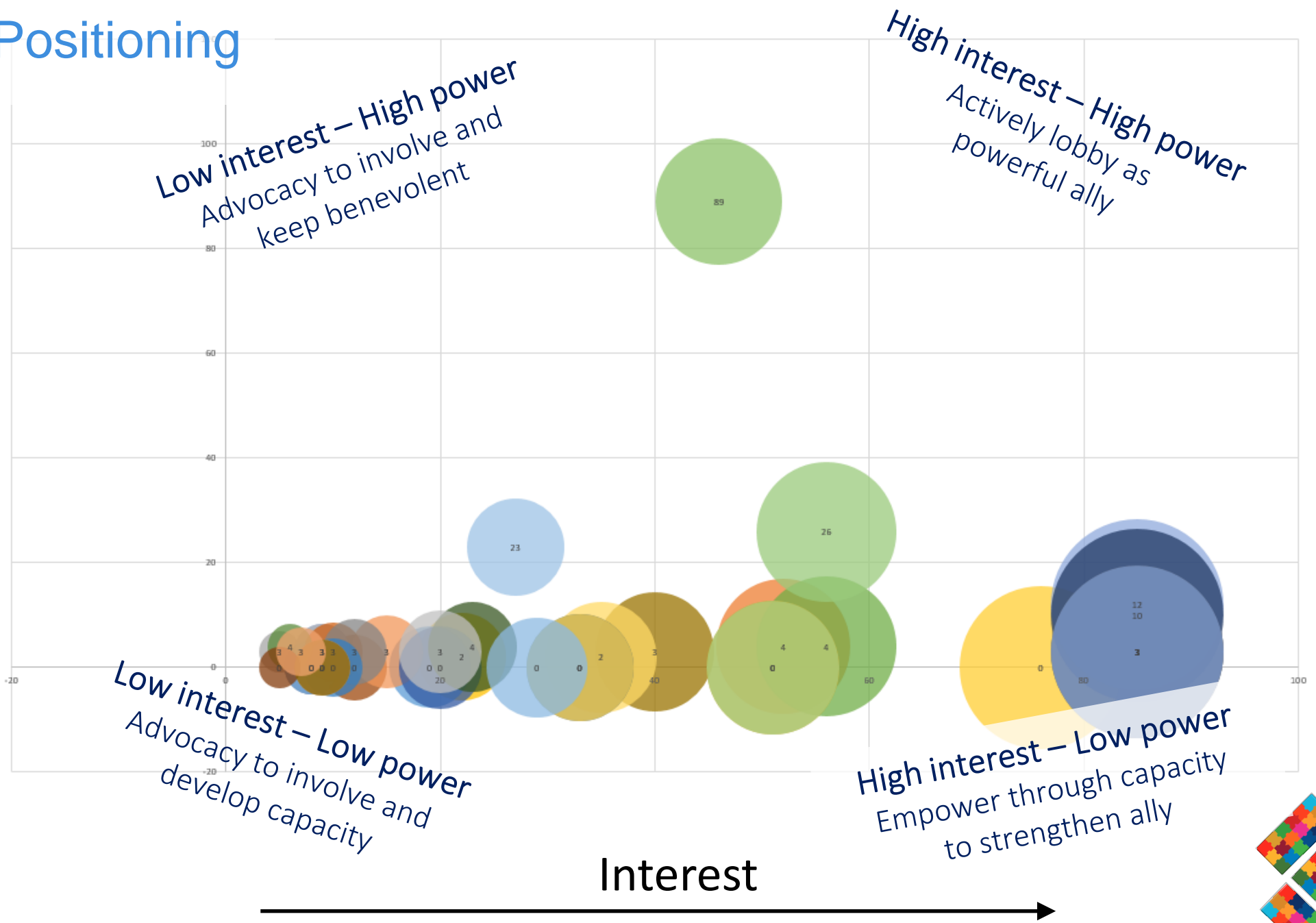
Stakeholders Map Costa Rica example

- 121 groups
- 69 relations
- 359 interests
- 5 categories

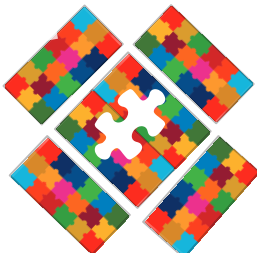


Stakeholder Positioning

Power ↑



Interest →



Political **Power** economy

Transparent,
universal,
inclusive,
what's not to
like?

Yeess, with
good tools!

... and capacity
development.

That will work!! Now
we just need leaders
with **political will**.
Done!

Political will??
Do I smell an
elephant?

Stakeholder Mapping Exercise

Direct Influences	MoT	MoFIN	MoENV	MoH	CAC	CoC	STC	LHA	Influence
Min of Tourism									
Min of Finance									
Min of Environment									
Min of Health									
Climate Action Collective									
Chamber of Commerce									
Sustainable Tourism Consortium									
Large Hotels Association									
<i>Dependence</i>									

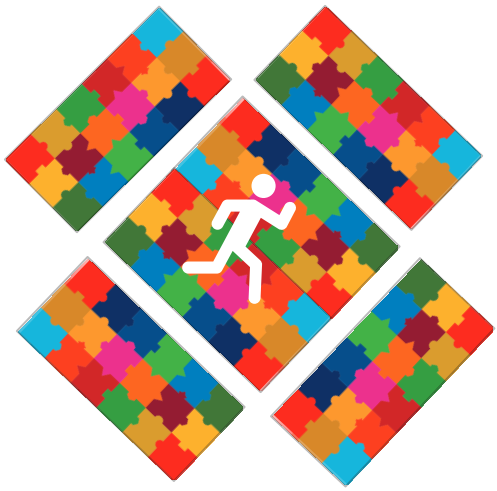
Influences: from 0 to 4, reflecting the importance of a row actor for each actor in a column.
 0: No or little influence 1: Operation 2: Results 3: Mission 4: Existence

Significance of objective	Jobs	CC Mitig	C Adapt	AirQual
Min of Tourism				
Min of Finance				
Min of Environment				
Min of Health				
Climate Action Collective				
Chamber of Commerce				
Sustainable Tourism Consortium				
Large Hotels Association				

Significance of policy objectives: from 0 to 4, reflecting the importance of a row actor for each policy objectives in a column. +/- have different meanings, as follows:

- | | |
|--------------------------------------|--|
| 0: No or little influence | |
| -1: Objective threatens operations; | +1: Objective is critical for operations |
| -2: Objective threatens results; | +2: Objective is critical for results |
| -3: Objective threatens mission; | +3: Objective is critical for mission |
| -4: Objective is existential threat; | +4: Objective is existential condition |





Personal reflection

5 min.



Session 3: Enhancing policy design capacity through system thinking and analysis

Mr. Francois Fortier (ECLAC)



Enhancing policy design capacity through system thinking and analysis

- **Analyse SDG linkages**

Introduction to the topic by Mr. Francois Fortier, ECLAC

Activity (100 min.)

- **Case study “Belize’s GSDS Prioritization Framework” and “Land Use Policy Reform”**

Presentation (15 min.) by Ms. Darlene Padron, Ministry of Agriculture, Fisheries, Forestry, the Environment and Sustainable Development of Belize

Q&A (15 min.)

- **Discussion**

Discussion (30 min.)

- **My take-away**

Personal reflection (5 min.)

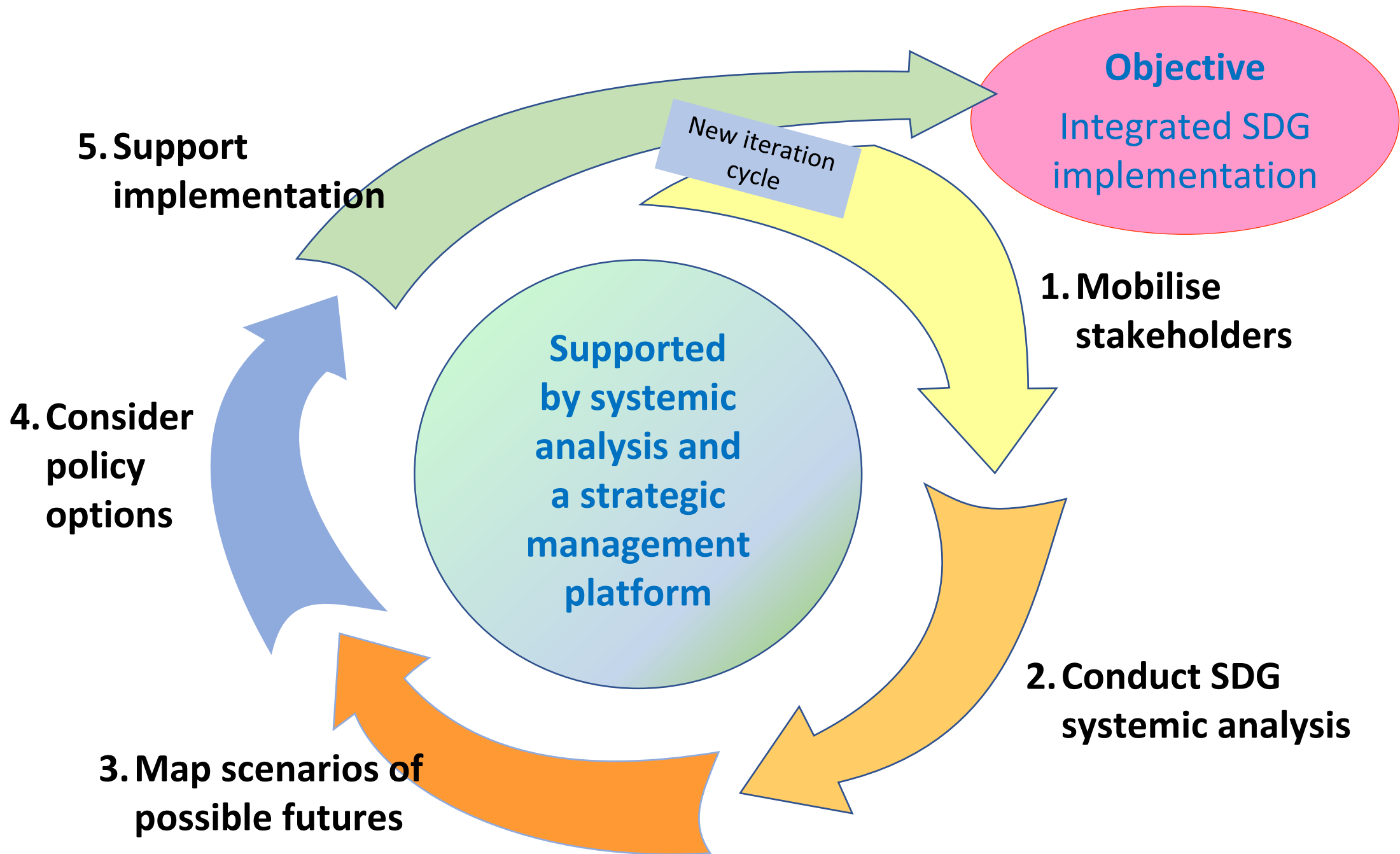




Analyse SDG linkages

Mr. Francois Fortier (ECLAC)





Step 2

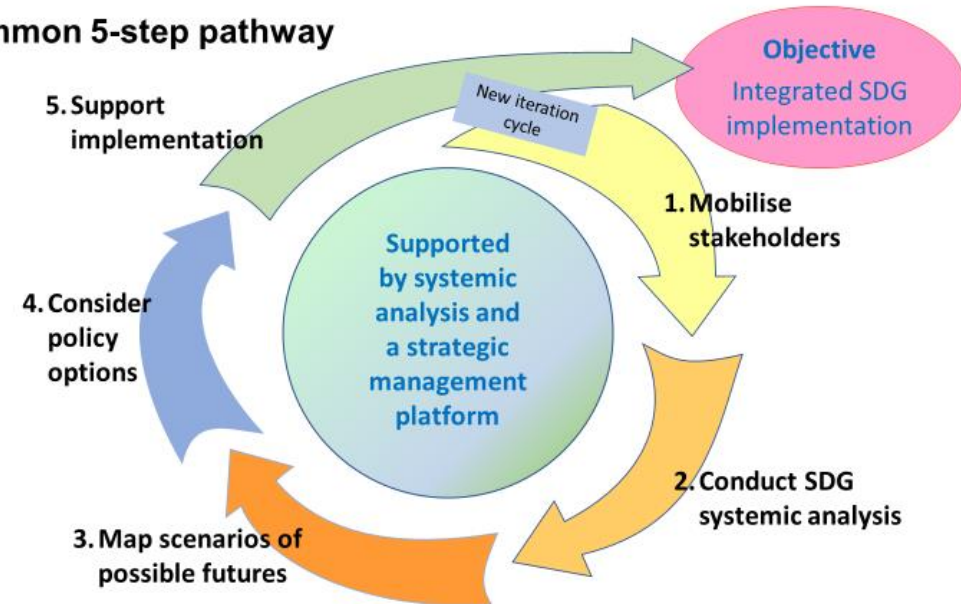
1. Mobilising stakeholders

- ✎ Plurality of voices
- ✎ Share knowledge
- ✎ Ownership

2. Analyse linkages

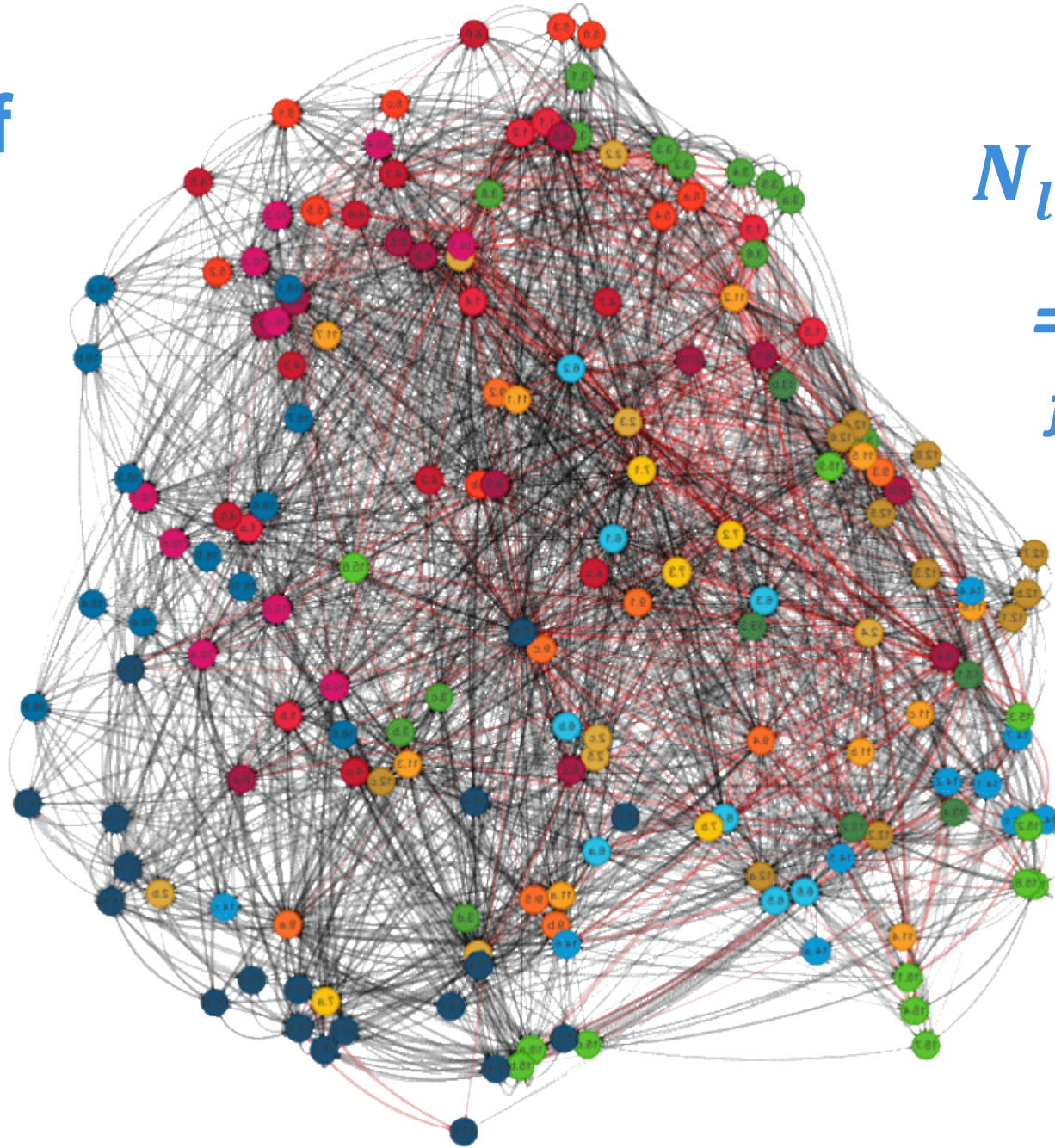
- ✎ Between sectors
- ✎ Between actors

Common 5-step pathway





Positivist challenge of complexity



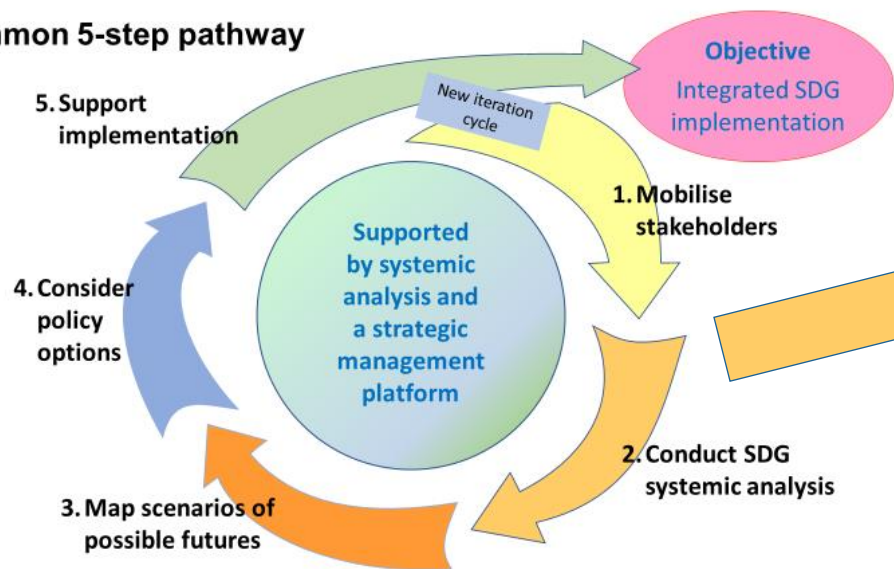
$$N_{links} = \frac{n^2 - n}{2}$$

*= 14,196 links
for 169 targets*

But we only need
a *big picture*, not
all details



Common 5-step pathway



2. Analyse linkages

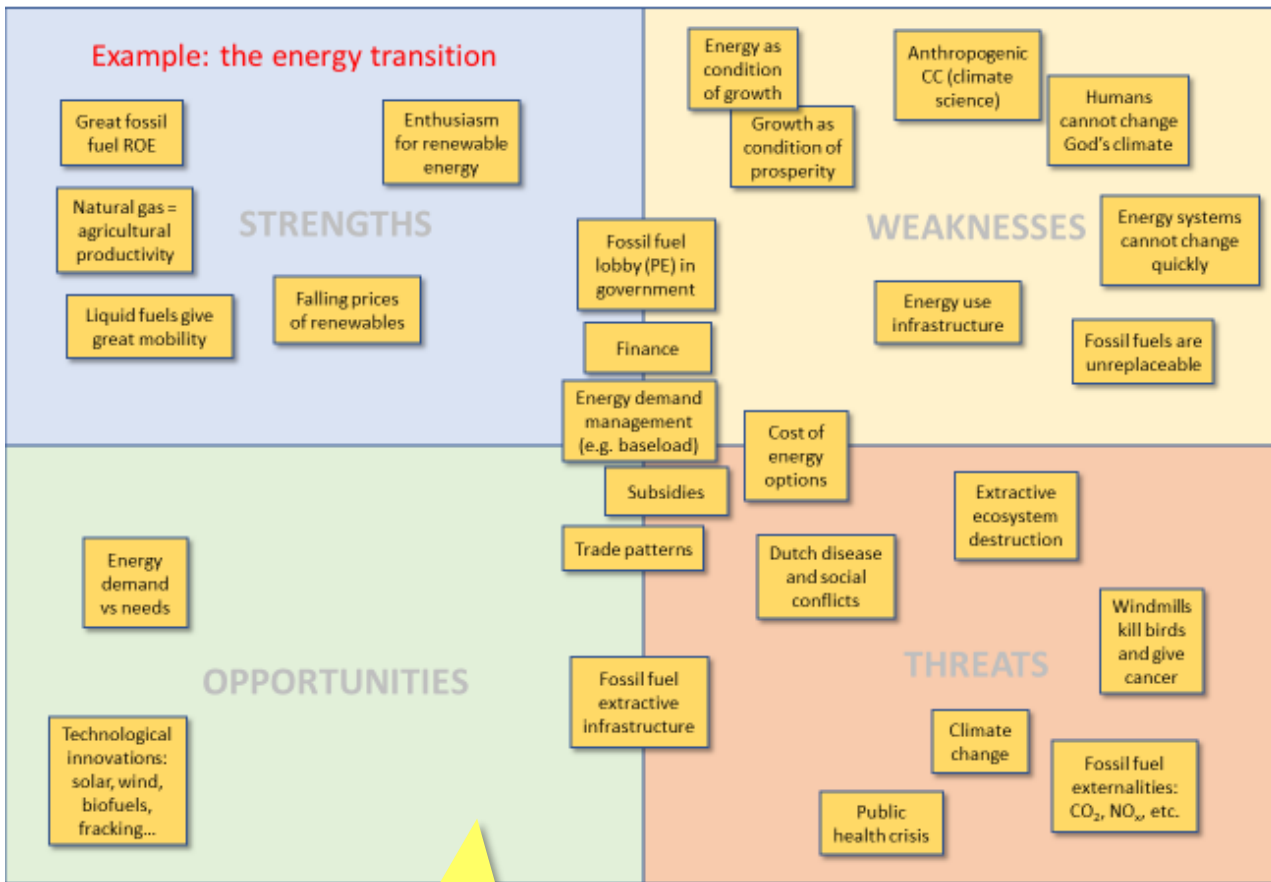
- Between sectors
- Between actors

Identify entry points
e.g. “priority SDGs”

Assess priorities,
prevalent gaps and
systemic influences

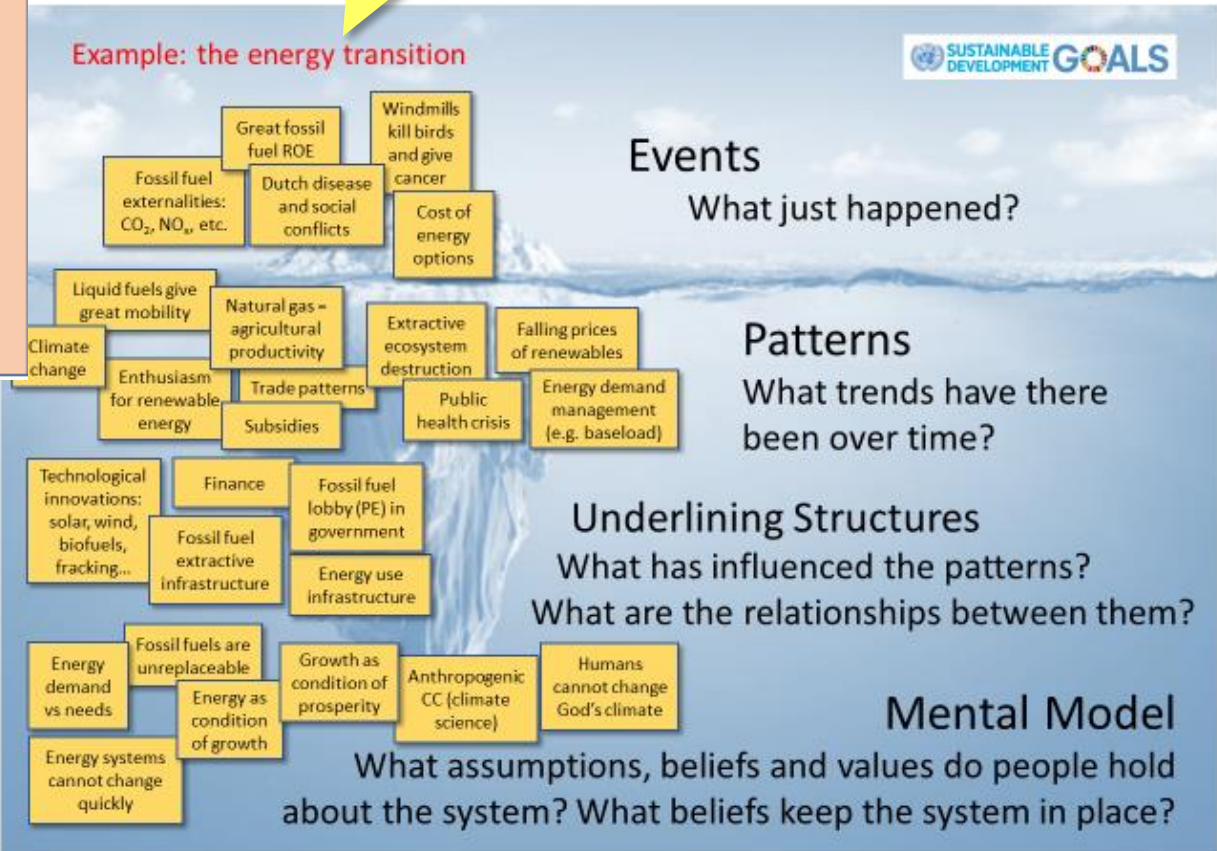
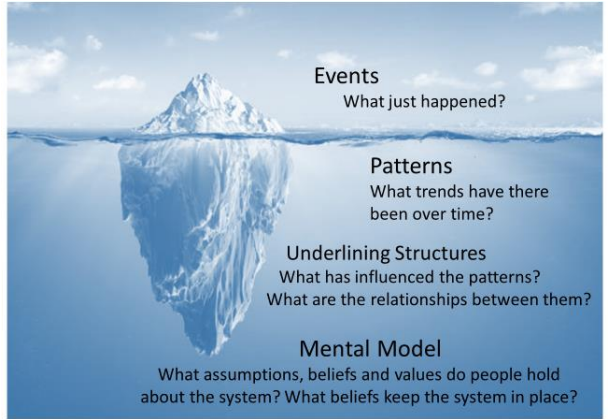
Map connections
between systemic
drivers, in CLD

Find *leverage points*



SWOT brainstorming of systemic drivers

Sort drivers in Iceberg hierarchy



Priority X Gap Index			SDG Int's	From ↓	To →	Please provide rationale for interaction scoring in comments (right-click, Insert Comment)																	Total
Priority	Gap	PG Index	Goal	Description	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	Total	
2	1	2	1	End poverty in all its forms	2	1	1	2	1	1	1	1	1	1	1	1	1	1	1	1	1	18	
1	1	1	2	End hunger, achieve food sec	3	2	1	1	1	1	1	1	2	1	1	2	1	1					
2	2	4	3	Ensure healthy lives and pror	1	1	2	2	2	1	3	2	1	1	1	1	1						
3	3	9	4	Ensure inclusive and equitab	2	0	1	1	1	1	2	3	2	2	1	1	1						
1	4	4	5	Achieve gender equality and	3	2	1	3	2	1	1	1	3	1	1	1	1						
1	3	3	6	Ensure availability and sustai	2	2	1	0	1	2	1	0	1	1	1	1	1	2					
3	2	6	7	Ensure access to affordable, r	2	1	1	1	1	1	2	2	1	1	2	3	0	1	1	1		21	
2	3	6	8	Promote sustained, inclusive	2	2	2	2	2	2	1	2	1	1	1	1	-1	-1	1	1	1	23	
3	4	12	9	Build resilient infrastructure,	3	2	1	1	1	1	-1	3	2	2	3	0	-1	-1	1	1		22	
4	2	8	10	Reduce inequality within anc	3	1	1	1	3	1	1	3	2	1	2	1	0	1	3	1		25	
3	3	9	11	Make cities and human settle	2	1	2	1	3	3	3	2	2	1	3	1	1	1	3	1		30	
1	4	4	12	Ensure sustainable consumpt	2	1	2																
4	4	16	13	Take urgent action to combat	1	2	2																
3	4	12	14	Conserve and sustainably use	1	1	1																
4	1	4	15	Protect, restore and promote	1	2	1																
1	2	2	16	Promote peaceful and inclusi	2	1	1																
2	3	6	17	Strengthen the means of imp	2	1	1																
			Total		32	22	21																

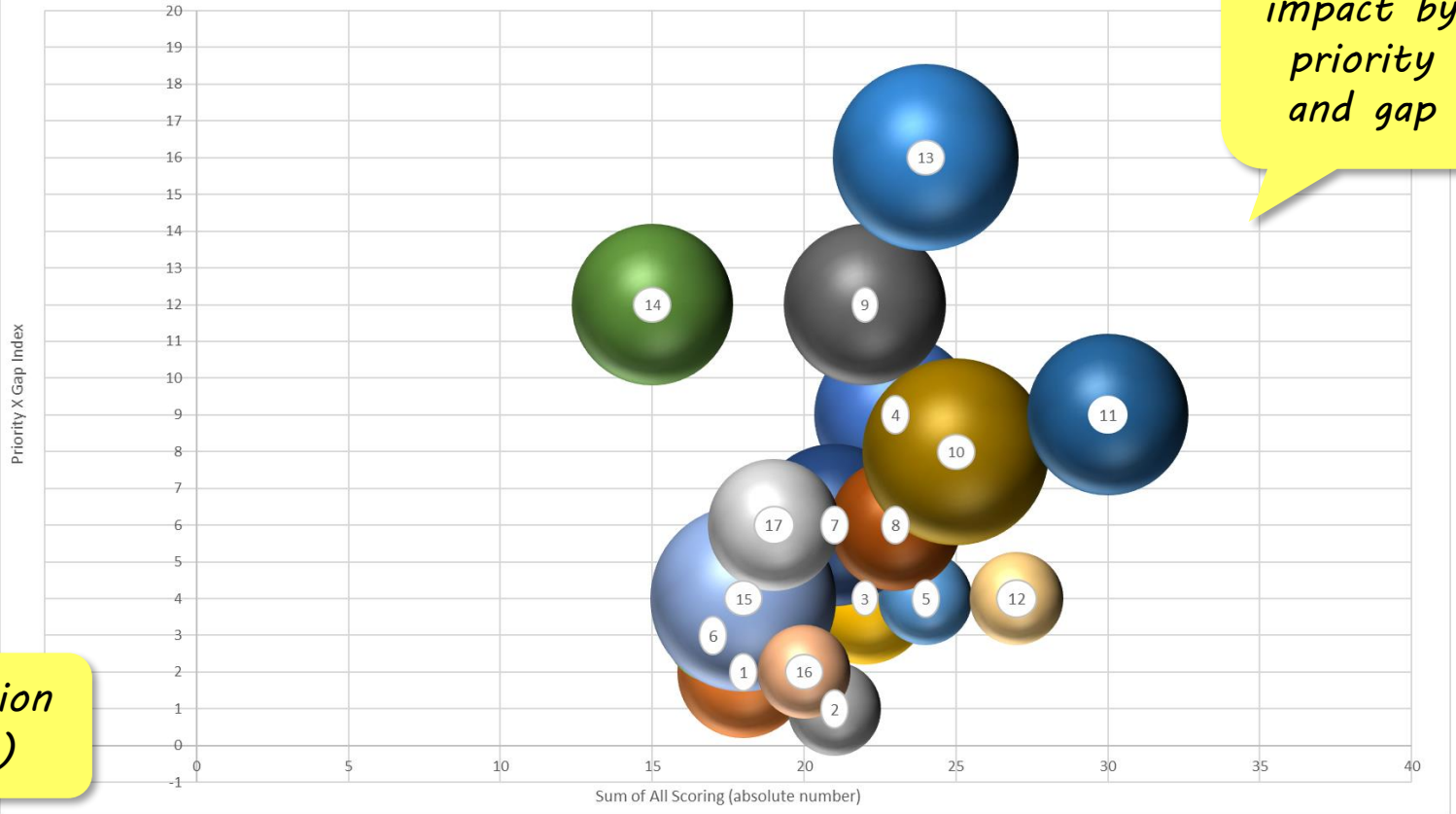
Case where SDGs used as drivers

SDG interaction matrix

Assessment of SDG Interactions (by ICSU)			
The influence of one SDG or target on others can be assessed with the following scale:			
Interaction	Name	Explanation	Example
+3	Indivisible	Inextricably linked to the achievement of another goal.	End all forms of discrimination against women and girls is indivisible and effective women participation, with equality and le opportunity.
+2	Reinforcing	Directly creates conditions that lead to the achievement of another.	Access to electricity allows rural household to pump and irrigate adaptation capacity to climate change threats reduces disaster i
+1	Enabling	Enables the achievement of another	Access to electricity allows rural household to enables education evening work with electrical lighting.
0	Consistent	Does not significantly interact with another.	Ensure universal education does not significantly interact with in development or the conservation of marine ecosystems.
-1	Constraining	Pursuing one objective sets a constraint on another.	Improving irrigation may reduce sustainable water use. Reduce implies constraining certain energy options.
-2	Counteracting	The pursuit of one objective counteracts another objective.	Economic growth ge change miti
-3	Cancelling	Progress in one goal makes it impossible to reach another.	The complete prote public access. The

SDG interaction scale (ICSU)

SDG Interactions: PG Index by Score of Impact (sphere dimension = SDG priority)



Analysis of impact by priority and gap

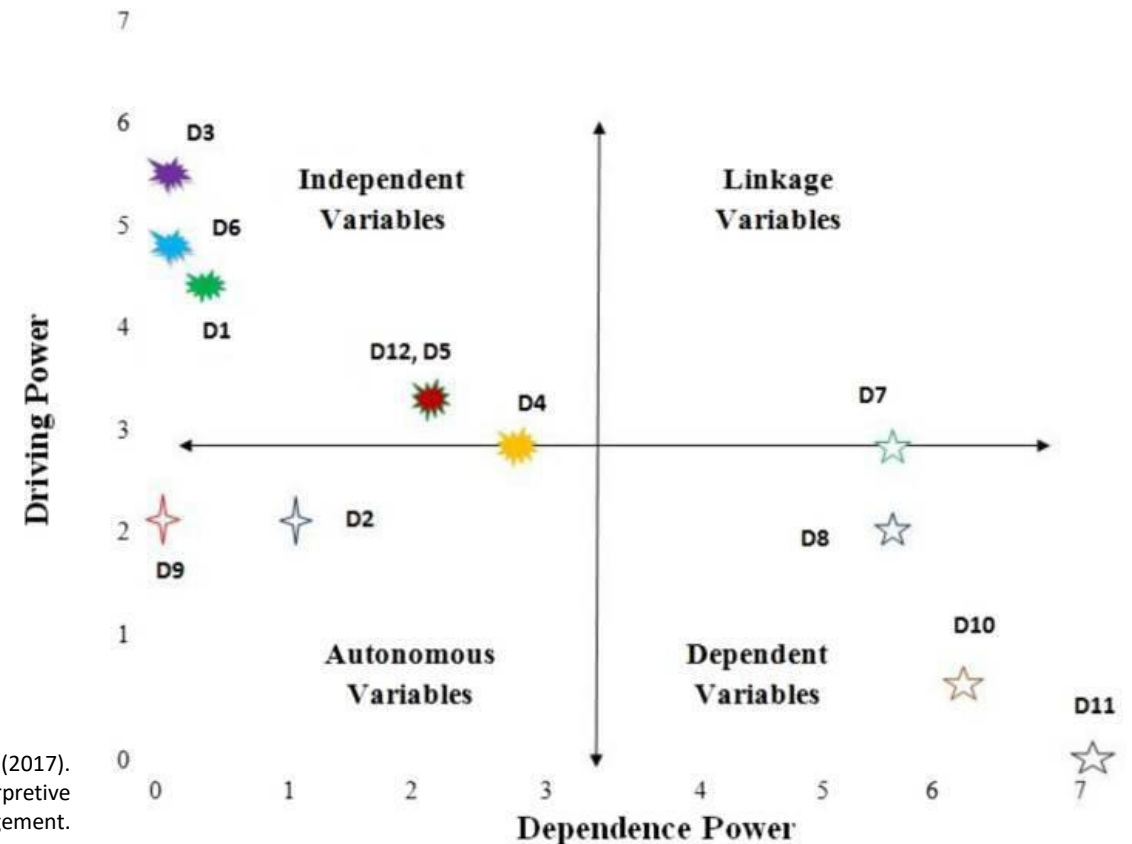
Causal Loop Diagram (system map)

Presentation of Costa Rica case here



Leverage Points

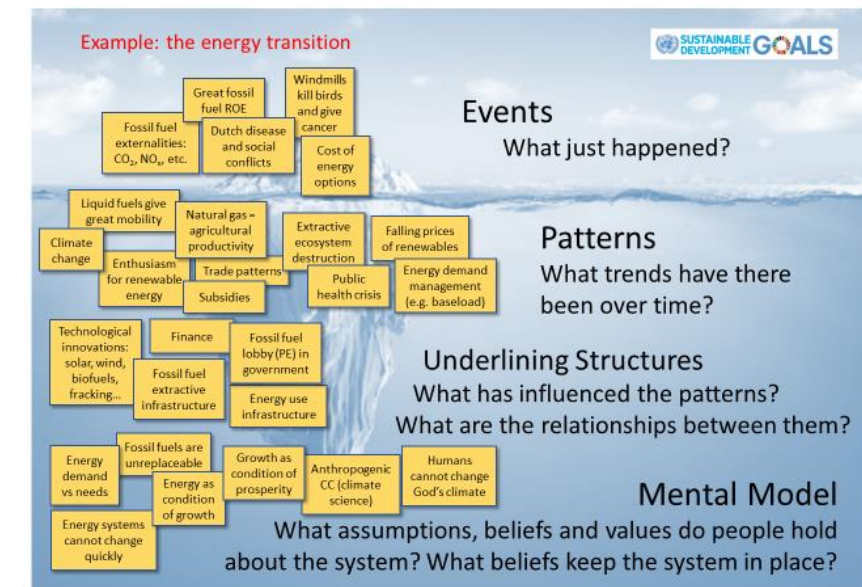
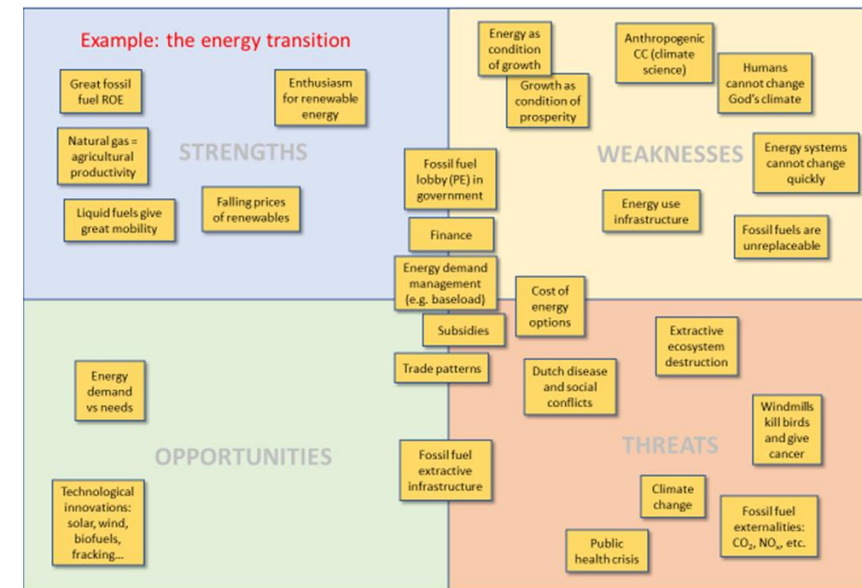
- Points in the CLD map where interventions will have *maximum* systemic impact
- Best bang for your buck...
 - Low in the iceberg (ref. [Donella Meadows](#))
 - High leverage and low exposure over time (*micmac*)



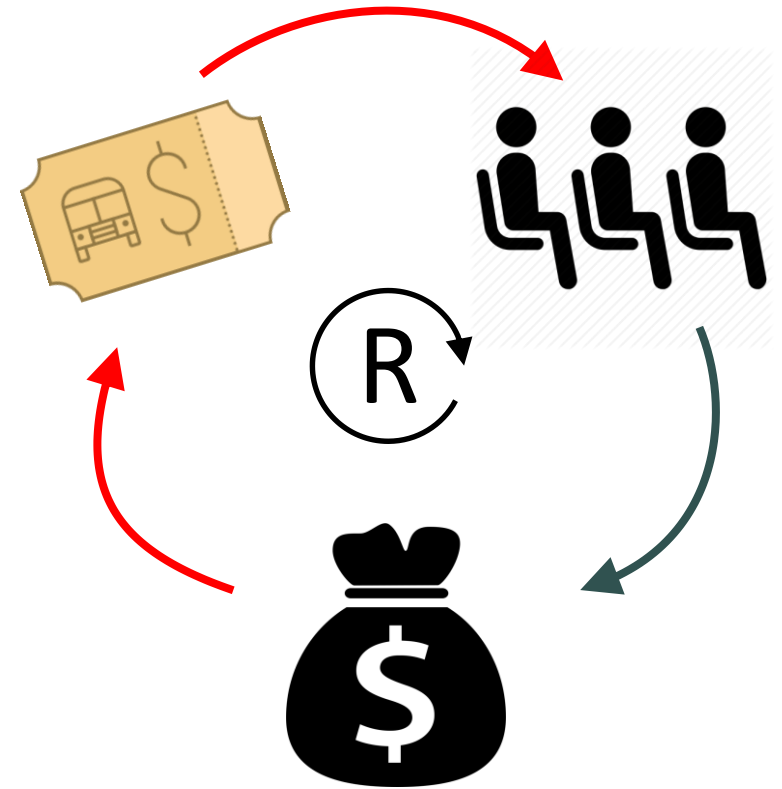
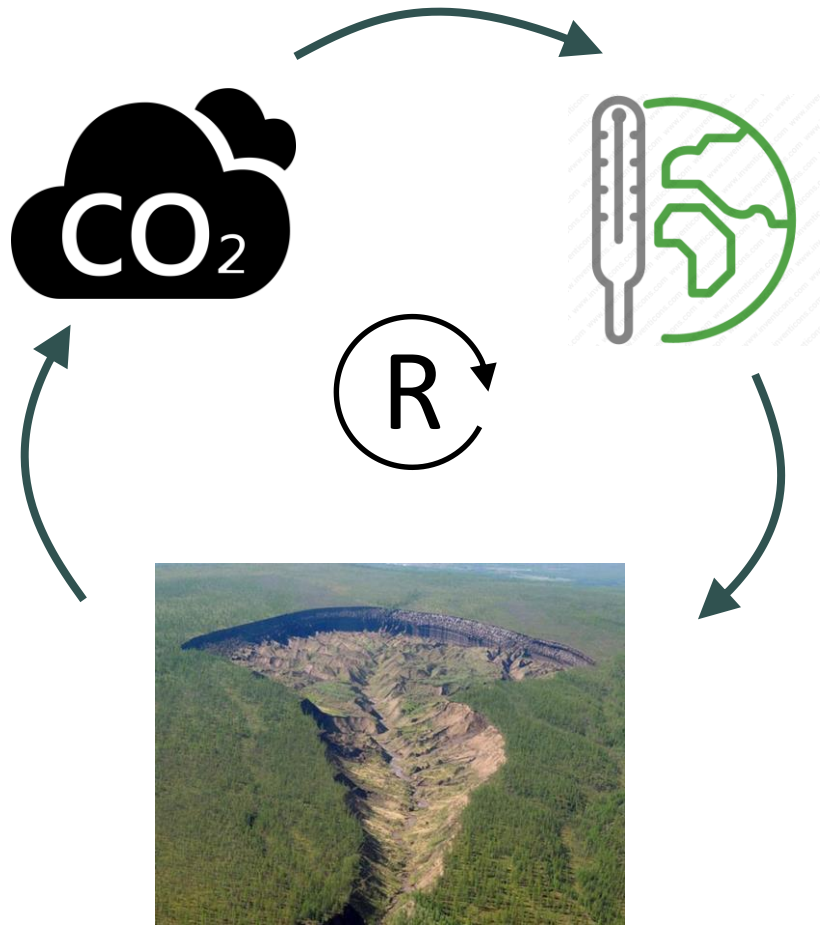
Source: Deshmukh, Arun & Mohan, Ashutosh. (2017). Analysis of Indian retail demand chain using total interpretive modeling. Journal of Modelling in Management.

Systems Analysis Exercise

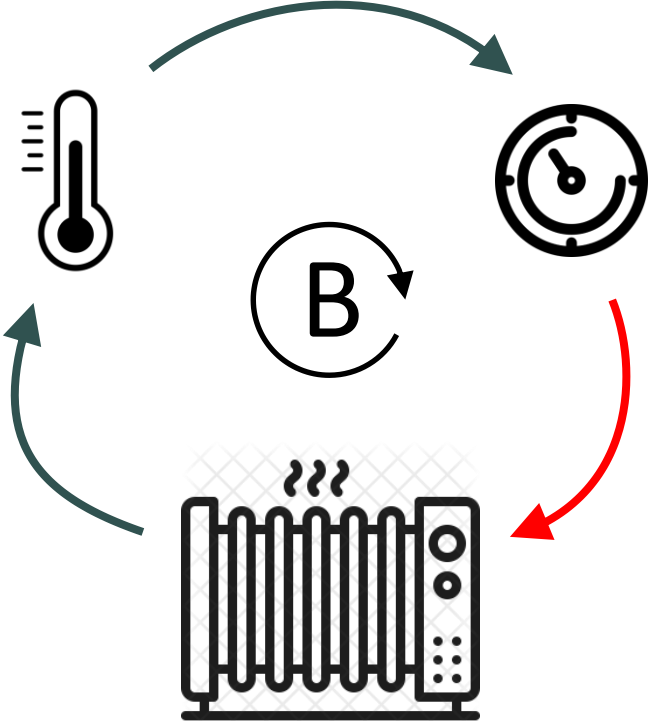
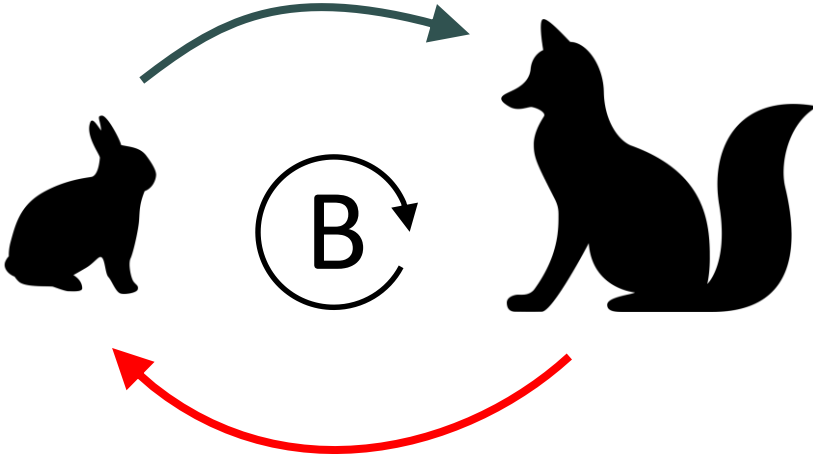
- How to build resilient coastal communities?
 - Resilient and sustainable coastal communities
 - In context of SLR, hurricanes, employment needs...
- Thinking of interests and strategies of all actors
 - Governments
 - Local
 - Ministries (tourism, energy, health, welfare, water, gender, housing, environment, fisheries, industry, trade...)
 - Civil society
 - NGOs in social justice, youth, fisheries, migration, environment...
 - Productive sector
 - Coastal investors (which ones?), agriculture, construction, water distribution, power utilities...
 - Large hotel chains, oil and gas companies...
- Working Group Tools
 - SWOT (15 min)
 - Iceberg (15 min)



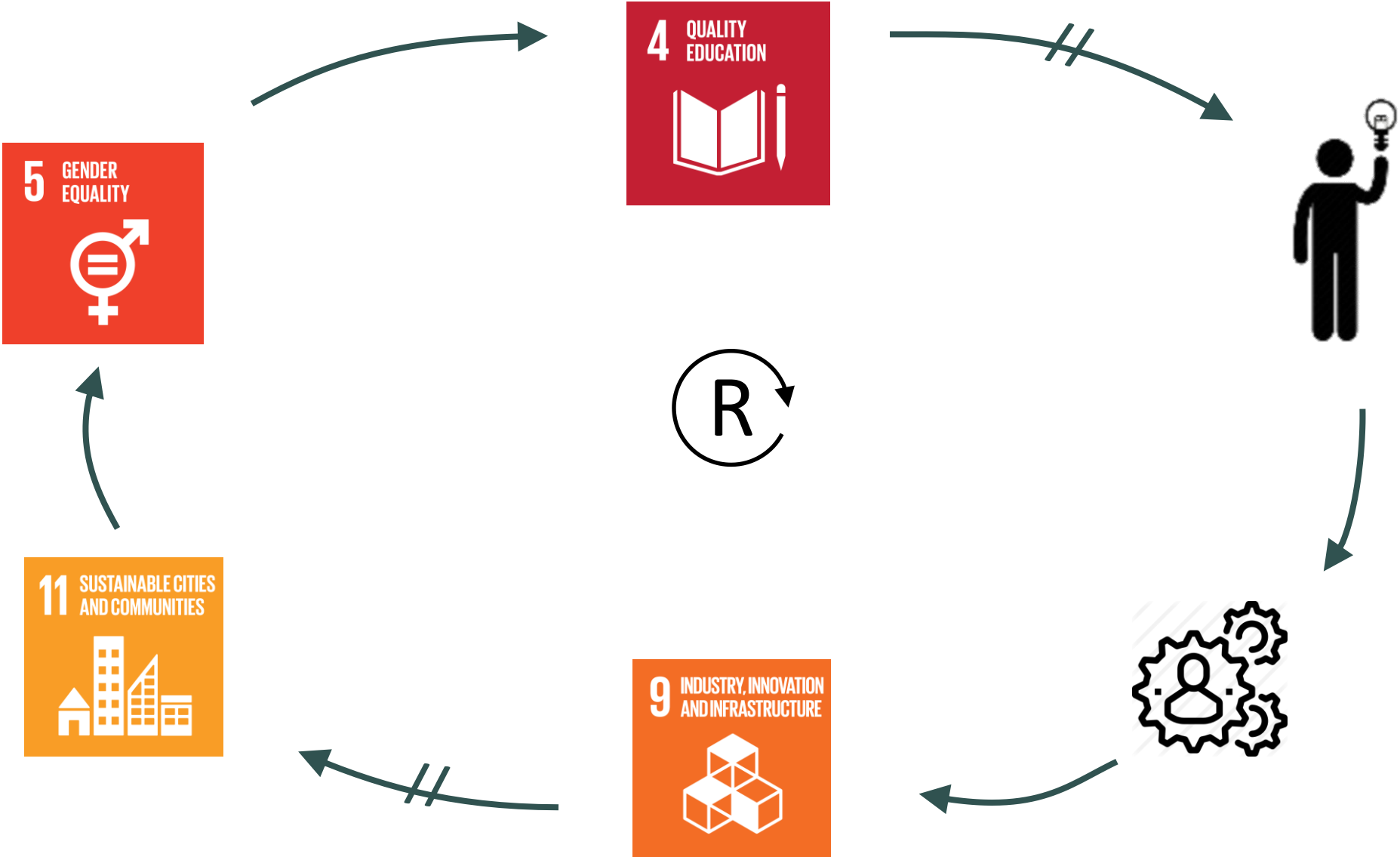
Reinforcing Loops



Balancing Loops



Delays



System methodology: from a tunnel vision...

- **Single issue**

- Single-sector planning
- Narrow expertise
- Inertia of experience

- **E.g. Irrigation project**

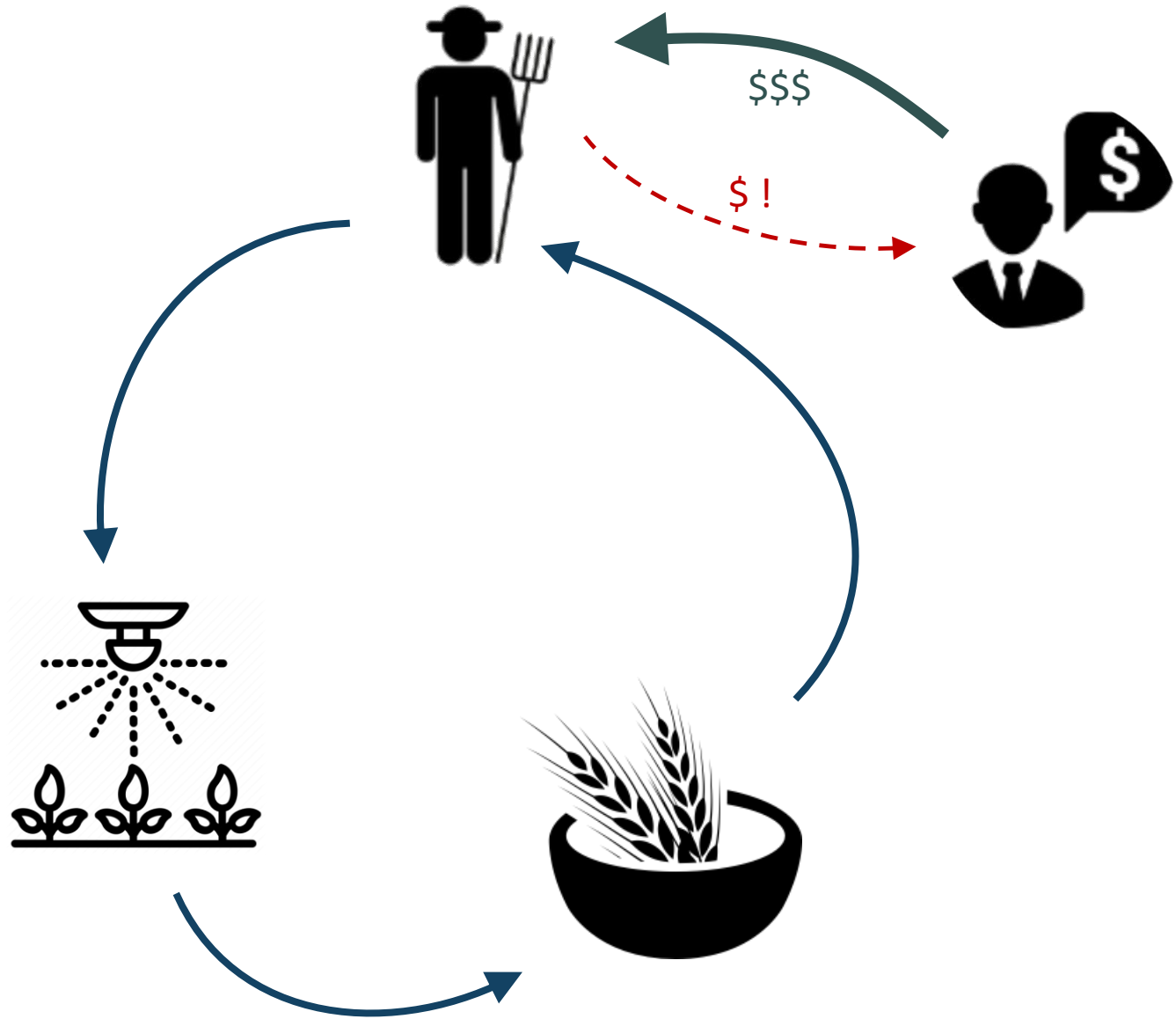
- Planning only from the water perspective
- Results only assessed from the water sector returns

... to a holistic vision

- **Plurality of issues**
 - Planning across sectors
 - Bring multidisciplinary expertise
 - Deconstruct risks
 - Manage trade-offs and conflicts
 - Nurture synergies
- **E.g. Irrigation project**
 - Intervention in water + energy + health + climate...
 - Results assessed from various sectors

Example:

Tunnel vision
of investment
in irrigation as
a climate
adaptation
project



Holistic vision of the same project

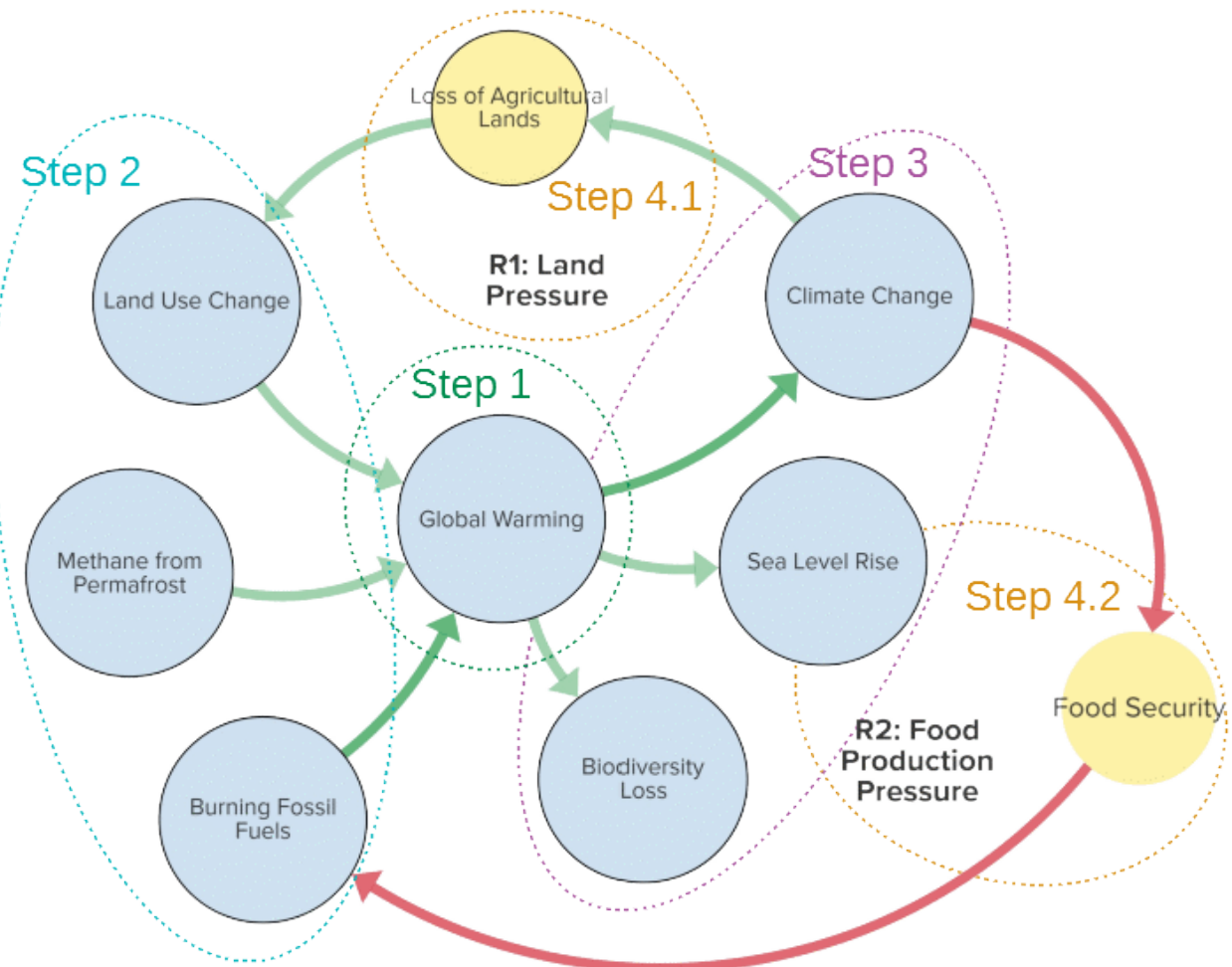
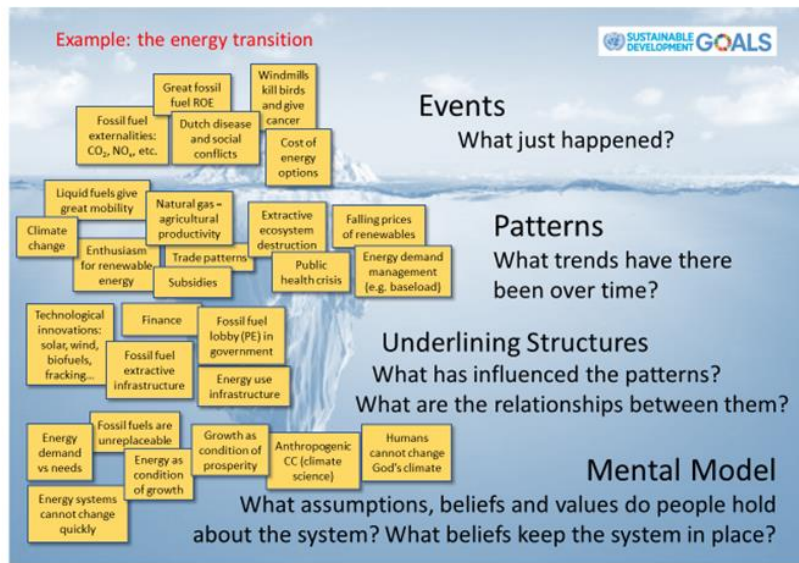


Exercise: develop a case similar to previous example



- Situation of joint investment in coastal resilience
- Tools

- Read *Drawing a CLD Guide* (10 min)
- Your iceberg of systemic drivers
- 1 blank sheet per group to draw CLD (30 min)





Belize's GSDS Prioritization Framework and Land Use Policy Reform

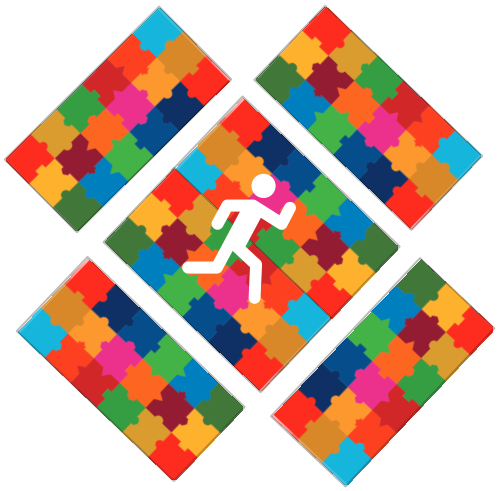
Ms. Darlene Padron

Ministry of Agriculture, Fisheries, Forestry,
the Environment and Sustainable
Development of Belize




Discussion

30 min.



Personal reflection

5 min.



Session 4:
Evaluating policy options for
coherence and developing
integrated national strategies

Mr. Francois Fortier (ECLAC)



Evaluating policy options for coherence and developing integrated national strategies

- **Mapping scenarios and identifying strategies and policy options**

Introduction into the topic by Mr. Francois Fortier, ECLAC (100 min.)

- **My take-away**

Personal reflection (5 min.)





Mapping scenarios and identifying strategies and policy options

Mr. Francois Fortier (ECLAC)



Step 3

1. Mobilising stakeholders

- ✍ Plurality of voices
- ✍ Share knowledge
- ✍ Ownership

2. Analyse linkages

- ✍ Between sectors
- ✍ Between actors

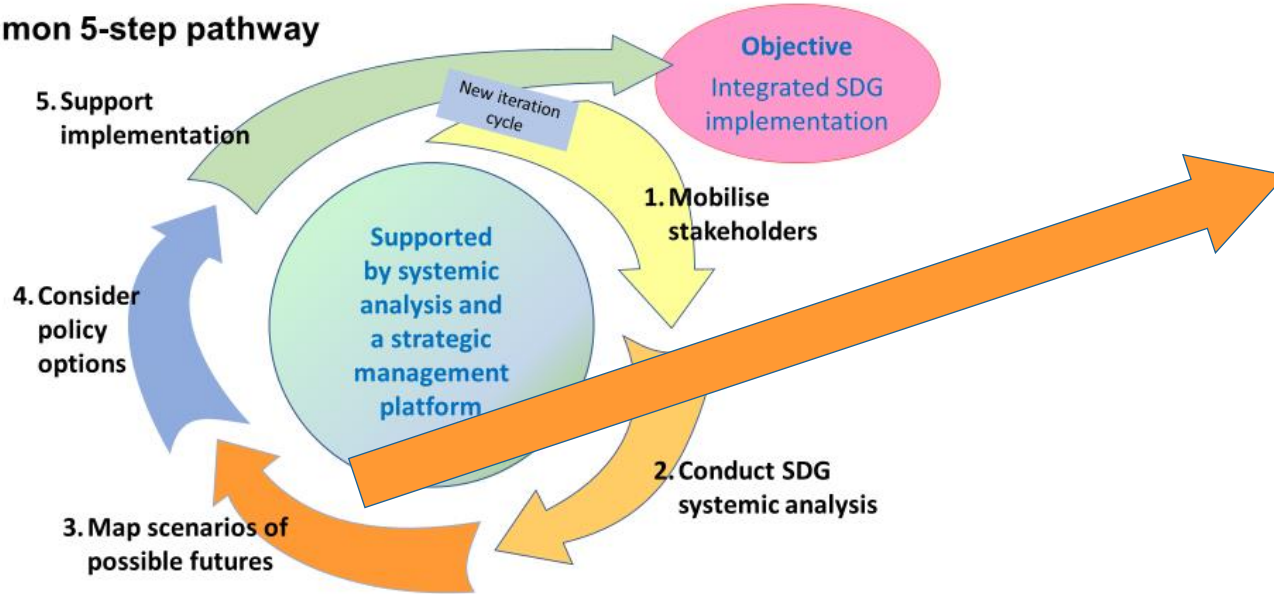
3. Map scenarios

- ✍ Strategic pathways
- ✍ Possible futures
- ✍ Select the most coherent ones



Step 3

Common 5-step pathway



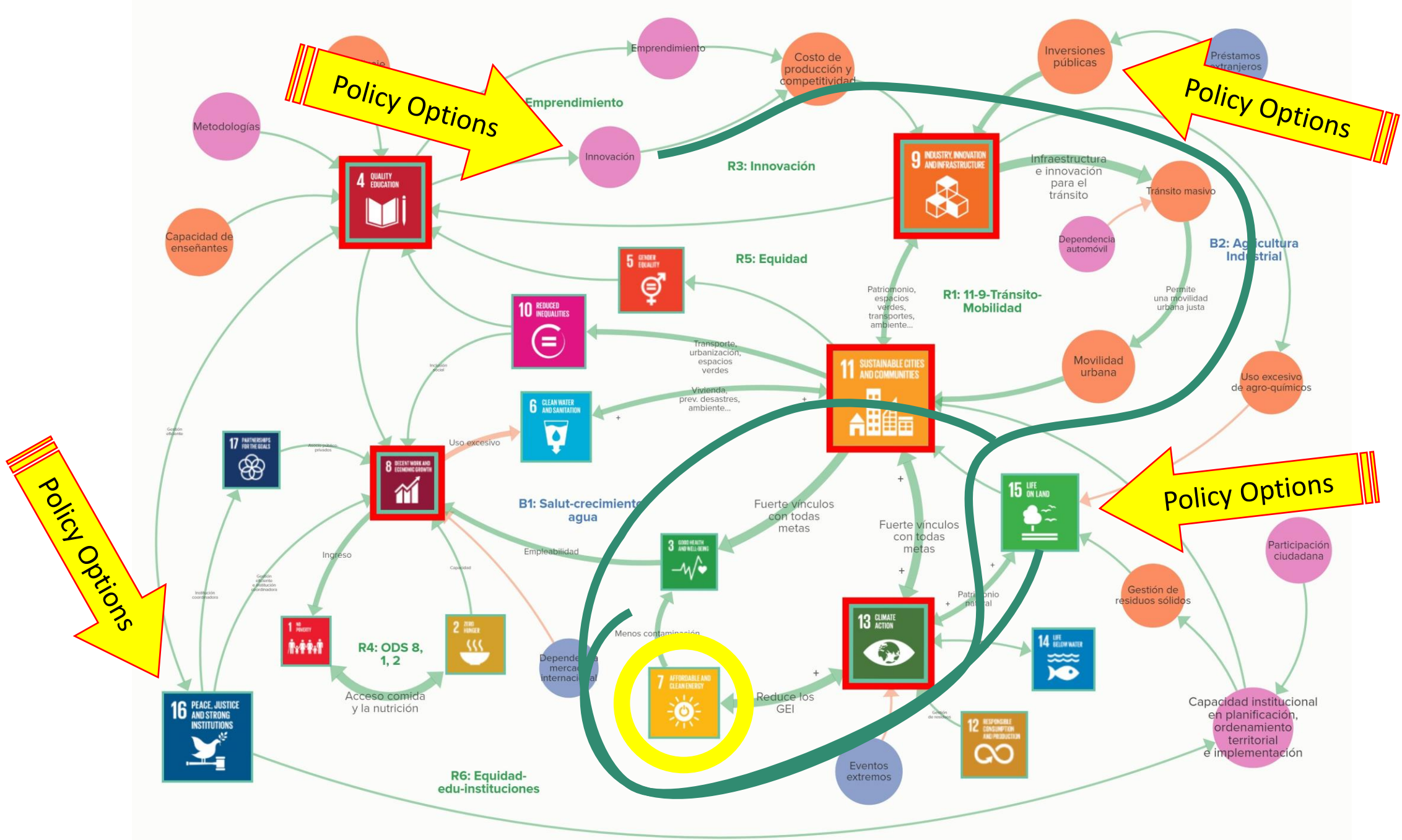
3. Map scenarios

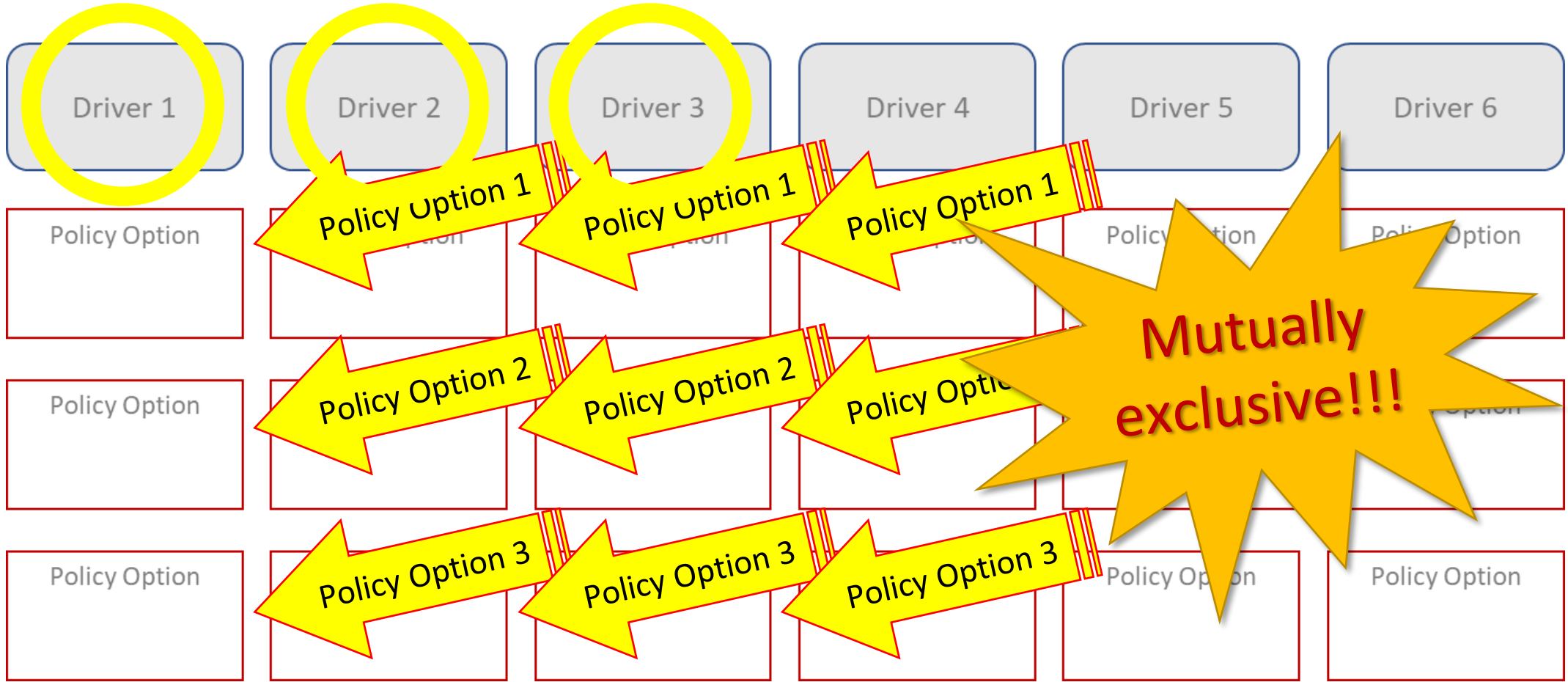
- Strategic pathways
- Possible futures
- Select the most coherent ones

List policy options
and draw strategic
pathways

Identify possible
futures (scenarios)

Test their coherence
for best options





Driver 1

Driver 2

Driver 3

Driver 4

Driver 5

Driver 6

Policy Option

Policy Option 1

Policy Option 1

Policy Option 1

Policy Option

Policy Option

Policy Option

Policy Option 2

Policy Option 2

Policy Option 2

Policy Option

Policy Option

Policy Option

Policy Option 3

Policy Option 3

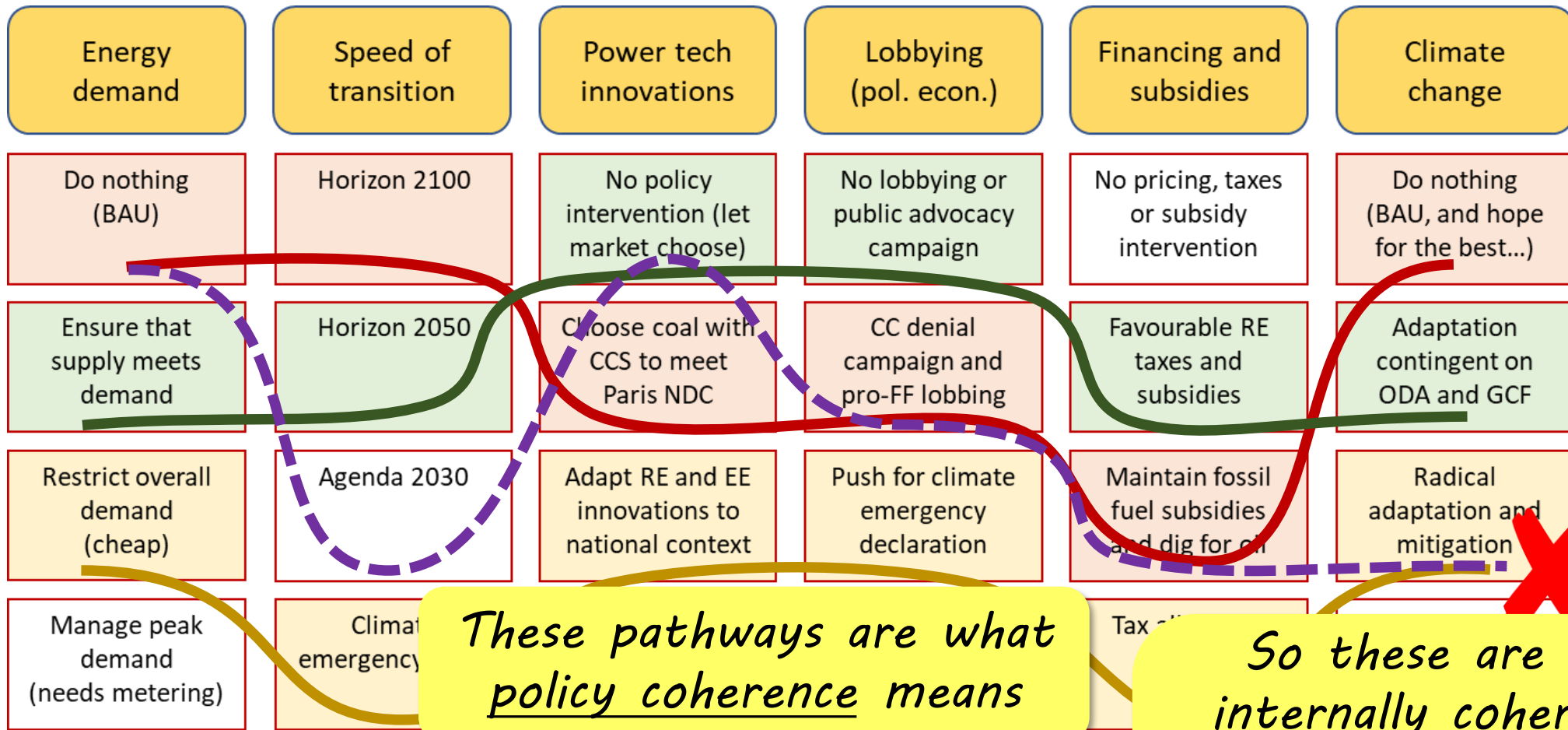
Policy Option 3

Policy Option

Policy Option

**Mutually
exclusive!!!**

Example: Energy Strategy



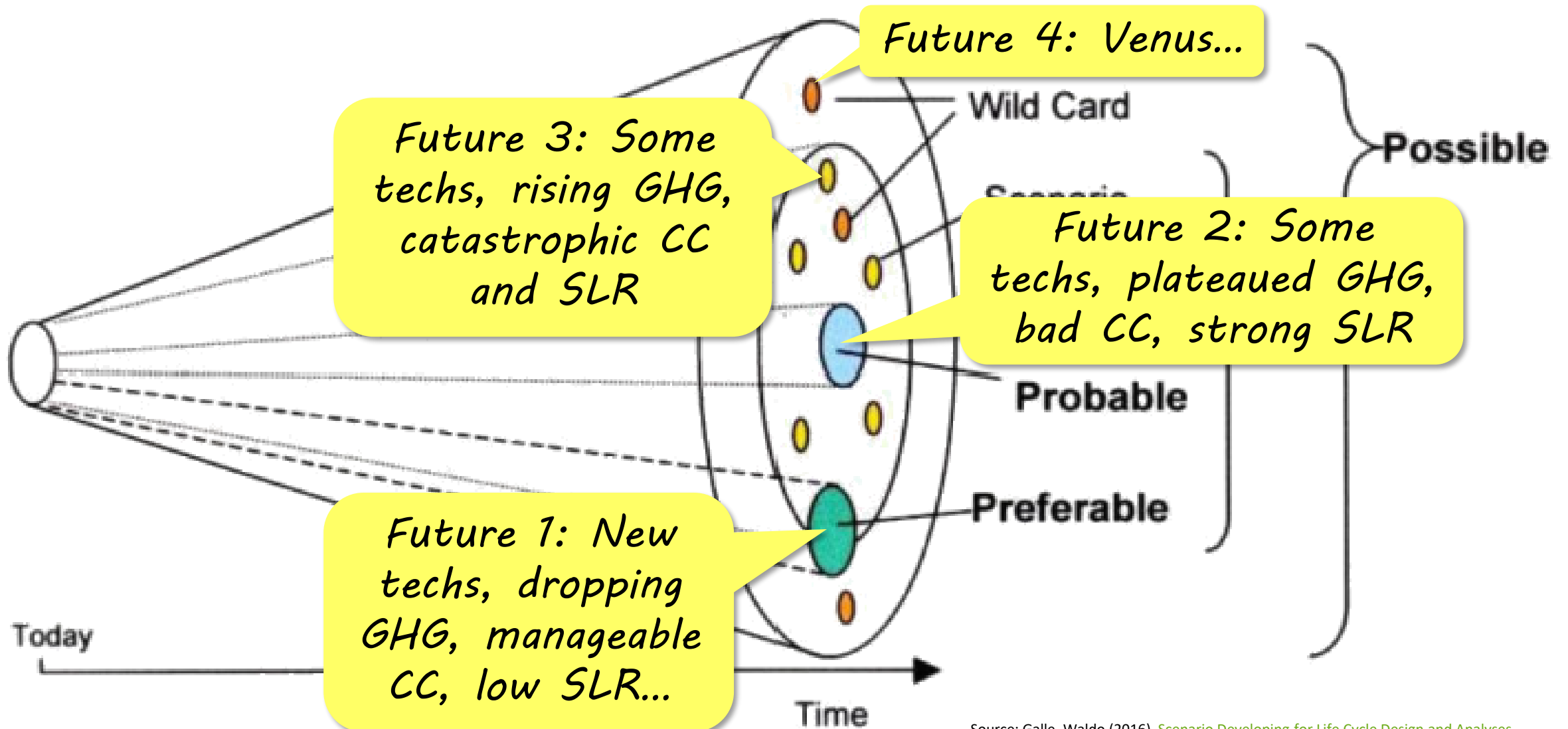
These pathways are what policy coherence means

So these are all internally coherent and distinct pathways (but may not be coherent with reality...)









- Pathway 1: BAU. No need to change course on energy strategy (this is all a hope)
- Pathway 2: Green Growth. Invest in new tech to solve CC while growing (hope)
- Pathway 3: Draw Down. Adapt and mitigate while rethinking development (the)

...and scenarios help figure-out that reality



Source: Galle, Waldo (2016), [Scenario Developing for Life Cycle Design and Analyses](#), presented at the International Symposium on Reversible Building Design Hogeschool Zuyd, Maastricht June 10, p. 5, adapted from Hancock, T., and Bezold, C. (1994). Possible futures, preferable futures. *The Healthcare Forum Journal*, 37(2), 23–9.

Which pathways can withstand which scenarios?

Pathways	Future 1 Preferable	Future 2 Probable	Future 3 Plausible	Future 4 Wildcard
BAU				
Green Growth				
Draw Down				

Exercise: develop a case similar to previous example

Activity

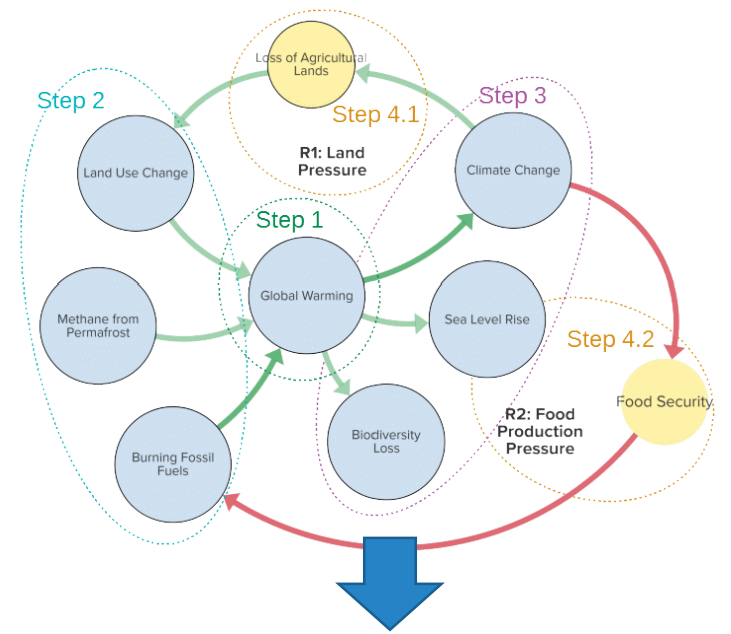
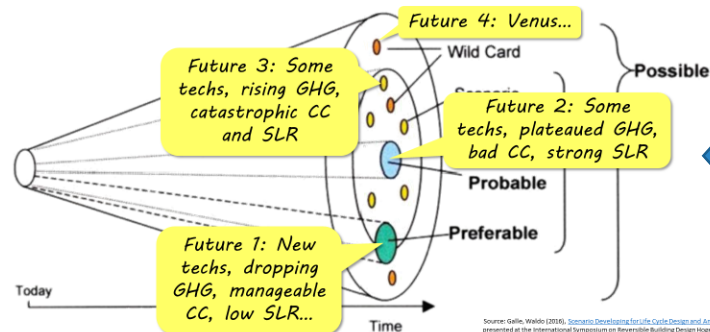
- Situation: recommend most robust strategy for coastal resilience
 - Develop 3 strategic pathways from your previous CLD
 - Foresee 4 possible future scenarios
 - Recommend the most robust
- Tools
 - Your CLD
 - Blank policy option matrix (30 min)
 - Blank scenario cone (20 min)
 - Blank pathway / scenario testing matrix (15 min)



Which pathways can withstand which scenarios?

Pathways	Future 1 Preferable	Future 2 Probable	Future 3 Plausible	Future 4 Wildcard
BAU	✗	✗	✓	✓
Green Growth	✗	✓	✓	✗
Draw Down	✓	✓	✗	✗

...and scenarios help figure-out that reality

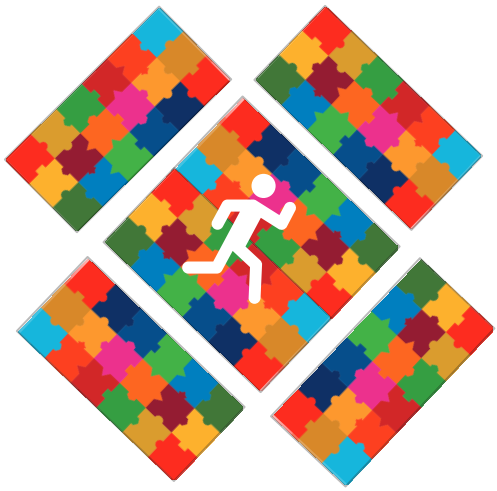


Example: SLR Strategy

	Land use zoning	Timing of intervention	Building code	Flood response	Seawall defenses	Climate change
Do nothing (BAU)		Horizon 2100	No policy intervention (let market choose)	No preparation	Do nothing	Do nothing (BAU, and hope for the best...)
Restrictions on use through zoning		Horizon 2050	Minimum elevation for all constructions	Insurance and recovery	1 meter seawalls and mangroves	Adaptation contingent on ODA and GCF
Abandoning some coastal areas		Agenda 2030	Elevate road network only	Transformational adaptation of human habitats	Incremental barriers	Radical adaptation and mitigation
Policy Option	Climate emergency 2025	Policy Option	Policy Option	Policy Option	Policy Option	Buy land in Fiji


- Pathway 1: BAU. No need to change course on SLR strategy (would scare off buyers).
- Pathway 2: Resilience. Control land use, build resilience and protect (we are stronger than the sea).
- Pathway 3: Retreat. Pull-back from some areas, transform remaining habitats (living with floods).

Source: Gellie, Wicks (2016). Scenario Development for Life Cycle Design and Analysis, presented at the International Symposium on Renewable Building Design (IngenieurZukunft, Maastricht June 16, p. 3, adapted from Harrokk, T., and Beikov, C. (2014). Possible futures, preferable futures. The Weather Forum Journal, 3(2), 23-9.



Personal reflection

5 min.



Session 5: Assessing governance and institutional capacities for policy coherence and integration

Ms. Veronique Verbruggen (UN DESA)

Mr. Abdullahi Abdulkadri (ECLAC)



DESA | Department of
Economic and
Social Affairs



UNITED NATIONS

ECLAC

Assessing governance and institutional capacities for policy coherence and integration

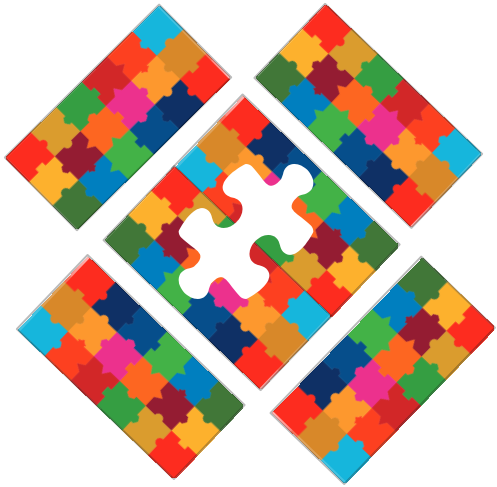
- **Introduction and Pilot Exercise “Readiness assessment for policy coherence”**

Introduction by Ms. Veronique Verbruggen, UN DESA (15 min.)

Update on current activities to promote policy coherence in the Caribbean region by Mr. Abdullahi Abdulkadri, ECLAC (15 min.)

A self-facilitated discussion in groups (55 min.)





Readiness assessment for policy coherence

Ms. Veronique Verbruggen (UN DESA)

SDG 17.14.1 Sub-indicator framework 8 Building blocks for policy coherence

1. **Institutionalization** of political commitment
2. **Long-term considerations** in decision-making
3. **Inter-ministerial and cross-sectoral coordination**
4. **Participatory process**
5. **Policy linkages:** integration of the 3 dimensions of sustainable development and assessment of policy effects and cross-sectoral linkages
6. **Alignment** across government levels
7. **Monitoring and reporting** for policy coherence
8. **Financing** for policy coherence



FORM versus FUNCTION

Questions to be addressed

- How “**ready**” is your government for policy coherence? “**Yes**” answers per building block
- Is this instrument **useful**?
- Would it help **triggering** a debate in your organization?
- What could be **improved**?



ACTION POINTS

- Which **3 priority actions** could **you** take to **improve the arrangements for policy coherence**?
- Which **3 actions** could **your organization** take as a priority to **improve institutional arrangements for policy coherence**?
- What **changes** do **you** think are necessary in terms of **values, beliefs and attitudes** to promote transformation, integration and inclusion as required by the 2030 Agenda for Sustainable Development?
- Do **you** think **new competencies** are required to implement the SDGs? If yes, **which ones**?



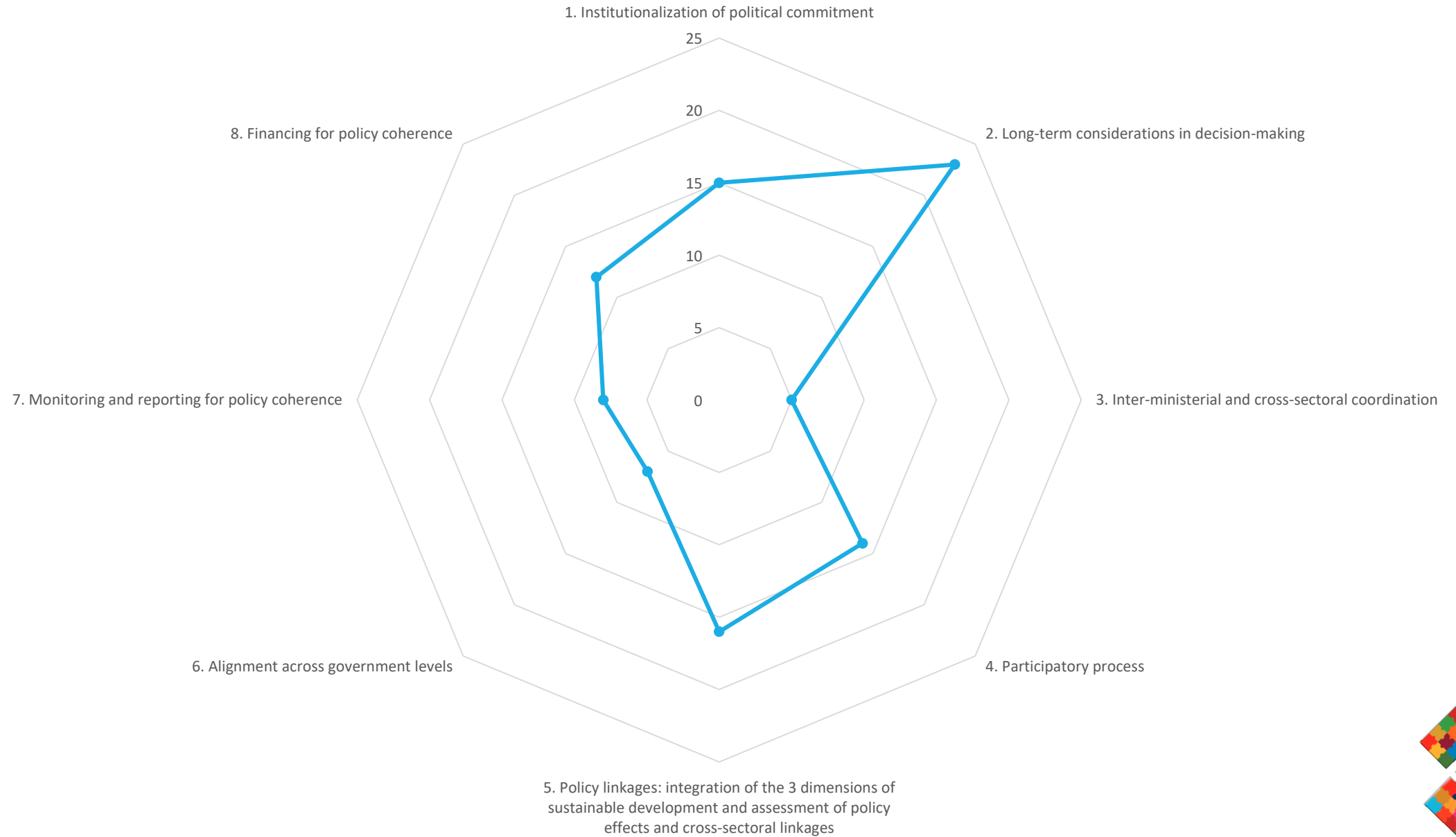
NEXT STEPS

- **More categories** in responses: e.g. 1 to 5
- **Spiderweb graphs presentation** to enable visual presentation of answers
- **Organize at national level with relevant stakeholders** to identify gaps and set priorities in terms of capacity development or organizational/institutional changes
- Will be incorporated into a **Curriculum for governance of implementation of the SDGs**



Sub Indicator Framework

Activity





Triangles

15 min.

Assessing governance and institutional capacities for policy coherence and integration (cont.)

- **Case study “Jamaica’s integrated policy analysis, policy bundles and entry points for SDG implementation”**

Presentation by Jamaica (15 min.) by Ms. Peisha Bryan-Lee, Planning Institute of Jamaica, and Ms. Hope Naomi Perkins, Statistical institute of Jamaica

Q&A (15 min.)

- **Discussion**
- **My take-away**

Personal reflection (5 min.)





Jamaica's integrated policy analysis, policy bundles and entry points for SDG implementation

Ms. Peisha Bryan-Lee,

Planning Institute of Jamaica

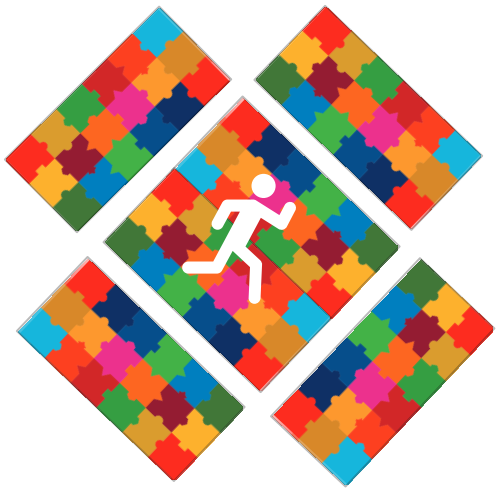
Ms. Hope Naomi Perkins,

Statistical institute of Jamaica



Discussion

25 min.



Personal reflection

5 min.

Assessing governance and institutional capacities for policy coherence and integration (cont.)

- **Pilot Exercise “Readiness assessment for policy coherence”**
Debriefing on the exercise (30 min.)
- **Why do institutions matter and what are key lessons learned on institutional arrangements for policy coherence in countries that have conducted Voluntary National Reviews?**
Presentation by Ms. Veronique Verbruggen, UN DESA (15 min.)
Q&A (10 min.)
- **Case study “Sustainable Development legislation, policies and practices in Grenada”**
Presentation (15 min.) by Ms. Kari Grenade, Ministry of Finance, Planning, Economic Development & Physical Developments of Grenada
- **My take-away**
Personal reflection (5 min.)





Readiness assessment for policy coherence (debriefing on the exercise)

Ms. Veronique Verbruggen (UN DESA)



Why do institutions matter and what are key lessons learned on institutional arrangements for policy coherence in countries that have conducted Voluntary National Reviews?

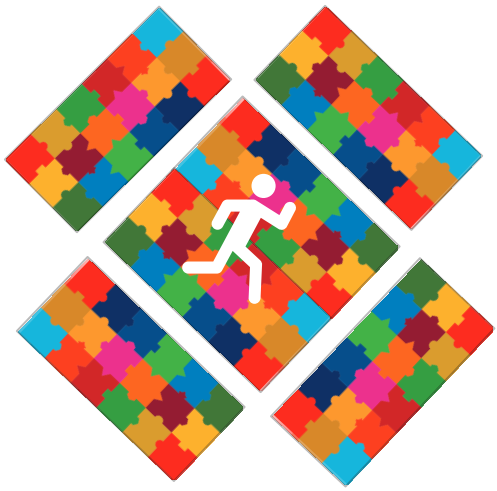
Ms. Veronique Verbruggen (UN DESA)



Sustainable Development legislation, policies and practices in Grenada

Ms. Kari Grenade

Ministry of Finance, Planning, Economic Development
& Physical Developments of Grenada



Personal reflection

5 min.



Session 6: Integrated policy design in practice

Ms. Catarina Camarinhas (ECLAC)

Ms. Elena Proden (UNITAR)

Ms. Veronique Verbruggen (UN DESA)

Mr. Francois Fortier (ECLAC)



Integrated policy design in practice

- **Resilience building and other efforts critical in a SIDS context to contribute to policy coherence**

Presentation by Ms. Catarina Camarinhas, ECLAC (15 min.)


- **Final activity**

Activity (60 min.)

- **Integrated approaches to strategies and policies: Main challenges and opportunities**

Discussion (60 min.)





Resilience building and other efforts critical in a SIDS context to contribute to policy coherence

Ms. Catarina Camarinhas (ECLAC)



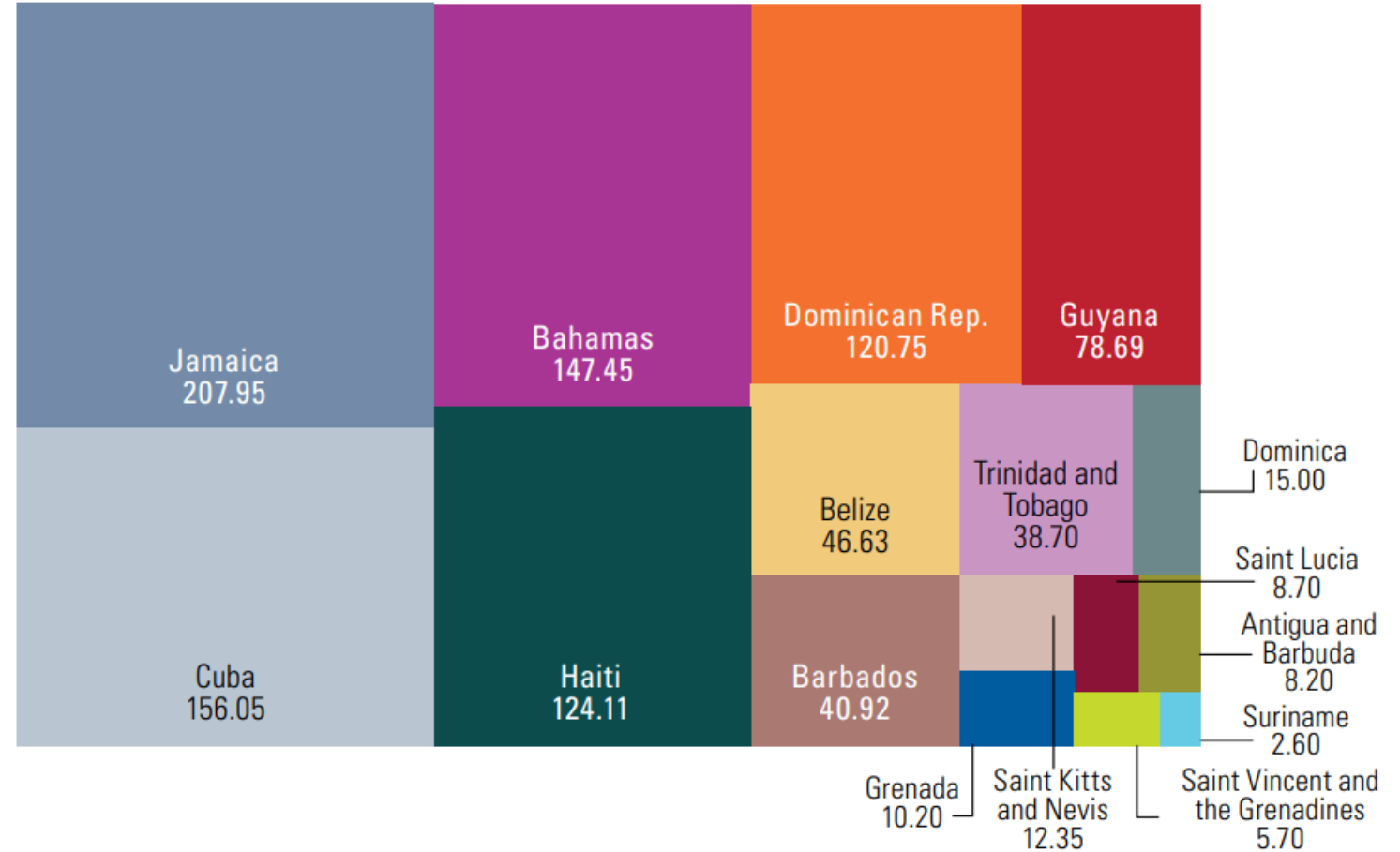
Proximity of the majority of the cities to the sea



Estimated population living in Coastal Areas

84%

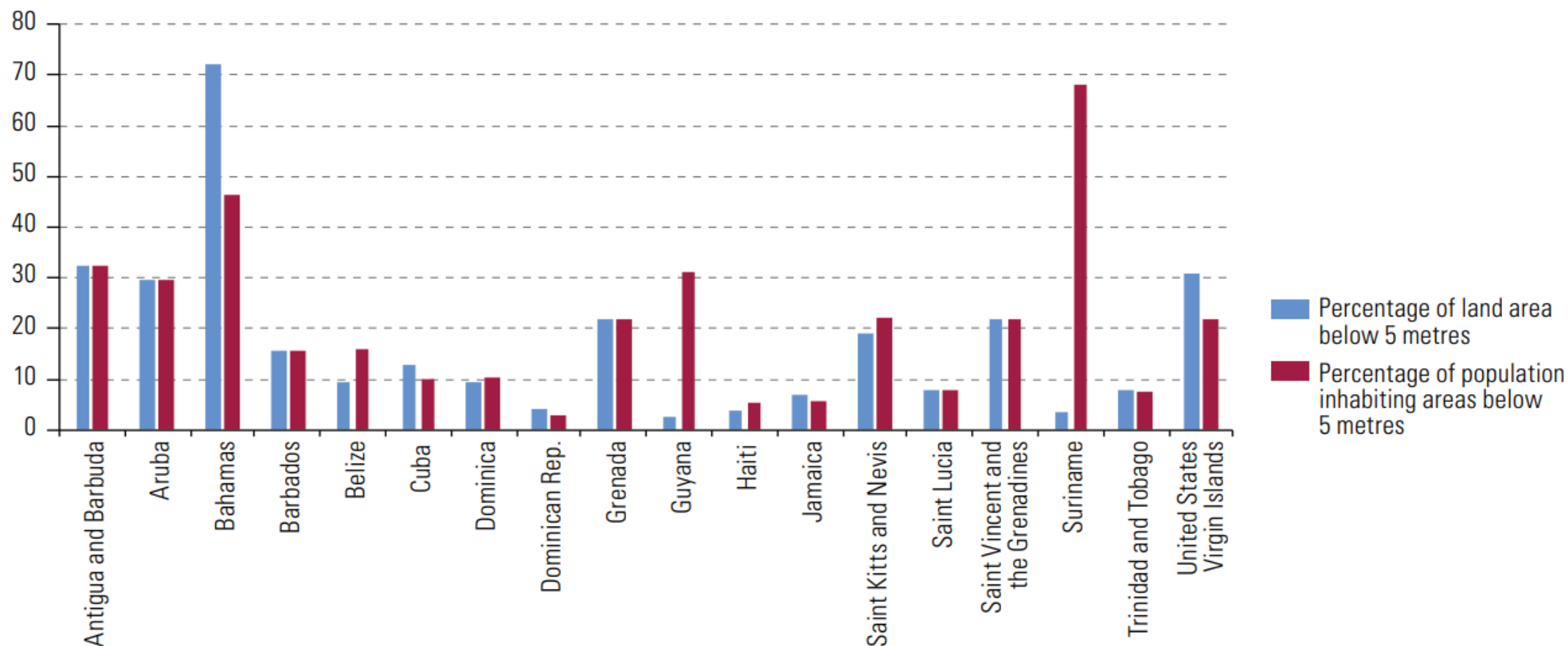
The Caribbean: urban coastline
(Kilometres)



Source: M. Mycoo and M. Donovan, *A Blue Urban Agenda: Adapting to Climate Change in the Coastal Cities of Caribbean and Pacific Small Island Developing States*, Washington, D.C., Inter-American Development Bank (IDB), 2017.

Concentration of population and activities in low elevation coastal zones

The Caribbean: land area and population inhabiting areas below 5 metres
(Percentages)



Source: United Nations Human Settlements Programme (UN-Habitat), *Urbanization and Climate Change in Small Island Developing States*, Nairobi, 2015.

High public debt coupled with a period of fiscal consolidation has restricted the ability of Governments to sustain social spending and invest in the resilience of their infrastructure. In turn, the economic situation has been aggravated by a secular decline in foreign direct investment in the subregion.



SIDS specific constraints

1. Majority of population living in coastal zones, often in hazard-prone areas.
2. Percentage of land, population and economic activities in low elevation areas.
3. Small economies: dependence on external financing, limited capacity to mobilize domestic resources, and high levels of public debt.



4. Climate change
5. Hurricane belt

Between 1990 and 2017, 408 disasters took place in the Caribbean, 90.4% caused by hydro-climatic phenomena such as storms, tropical cyclones and floods



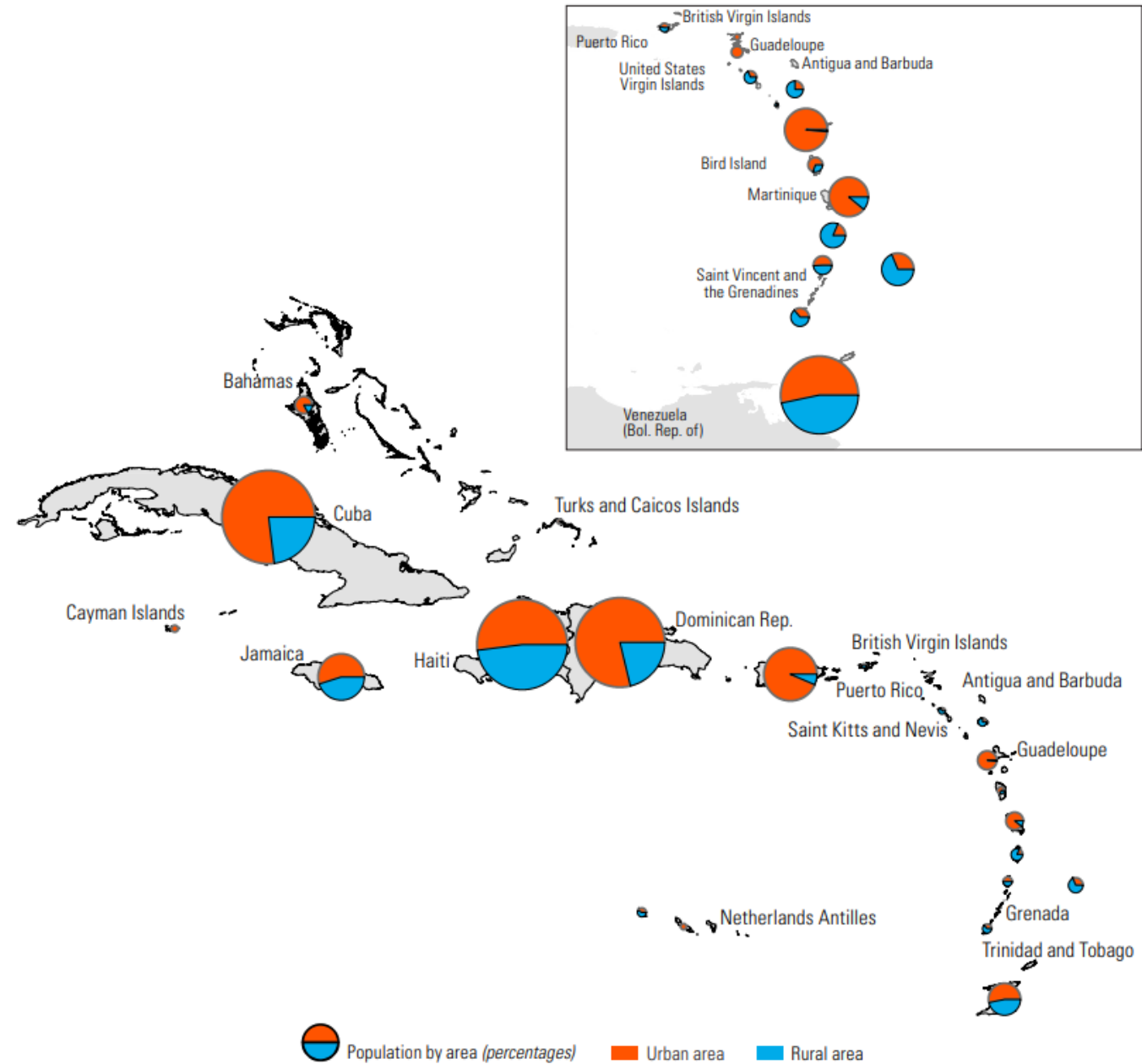
In 2018,

70%

of the Caribbean population
resided in urban areas.

SOURCE: United Nations, Department of Economic and Social Affairs, Population Division (2018), World Urbanization Prospects

The Caribbean: spatial distribution of population, 2018



Source: Latin American and Caribbean Institute for Economic and Social Planning (ILPES), on the basis of CEPALSTAT [online database] <http://estadisticas.cepal.org/cepalstat/portada.html?idioma=english>.



The Bahamas

> Coastal vulnerabilities

The Bahamas and the challenges of Multi-island States

Chain of more than 762 islands and cays, of which only 19 are inhabited. Territory of 13,943 km². Between 2005 and 2015 the urbanization rate in the Bahamas slightly increased from 82.2 to 82.7%

In a multi-island country like the Bahamas exposure and greater vulnerability are related to spatial inequalities between the main island and the other islands of the archipelago, expressed in the difficulty in the access to basic services

72 % of the country's surface is below 5 m
46.5 % of its population living below 5m

100% of population in the low elevation zone (below 10m)
100% of population within 25 km of coastline
95% of population within 5 km



The **low-elevation coastal zone** is defined as the land area and the total and percentage population, by country, that is located in various low elevation coastal zone bands ranging from 1m to 20m elevation above mean sea level

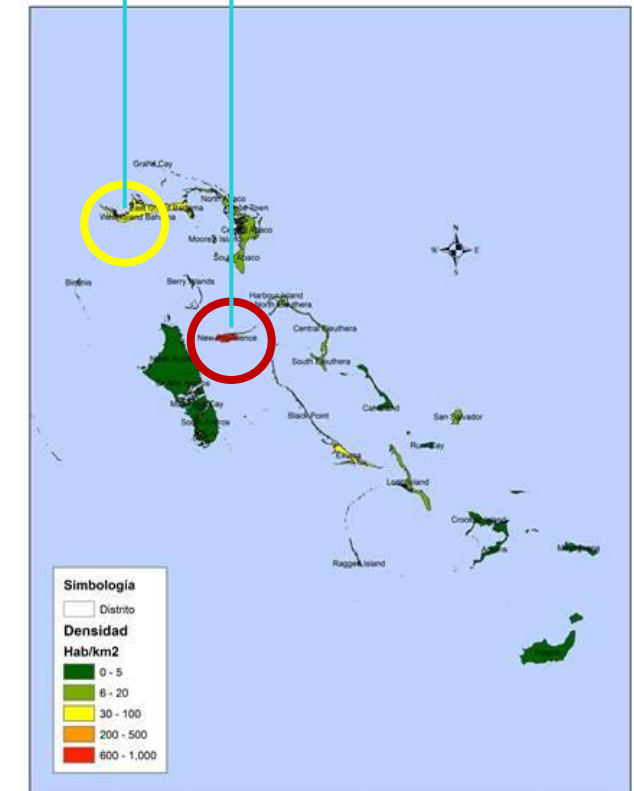
TABLE 1. Coastal Vulnerability in the Caribbean

Country	Percent of population in the low elevation zone (less than 10 meters above sea level)	Percent of population within 5 kilometers of coastline	Percent of population within 25 kilometers of coastline
Bahamas	100%	94.9%	100%
Barbados	7.1%	75.9%	100%
Guyana	46.7%	24.8%	56.4%
Jamaica	9.2%	24.2%	91.3%
Suriname	69.0%	10.5%	86.8%
Trinidad and Tobago	12.8%	40.2%	100%
Average	40.8%	45.1%	89.1%

Sources: ECLAC (2014); World Bank (2009).

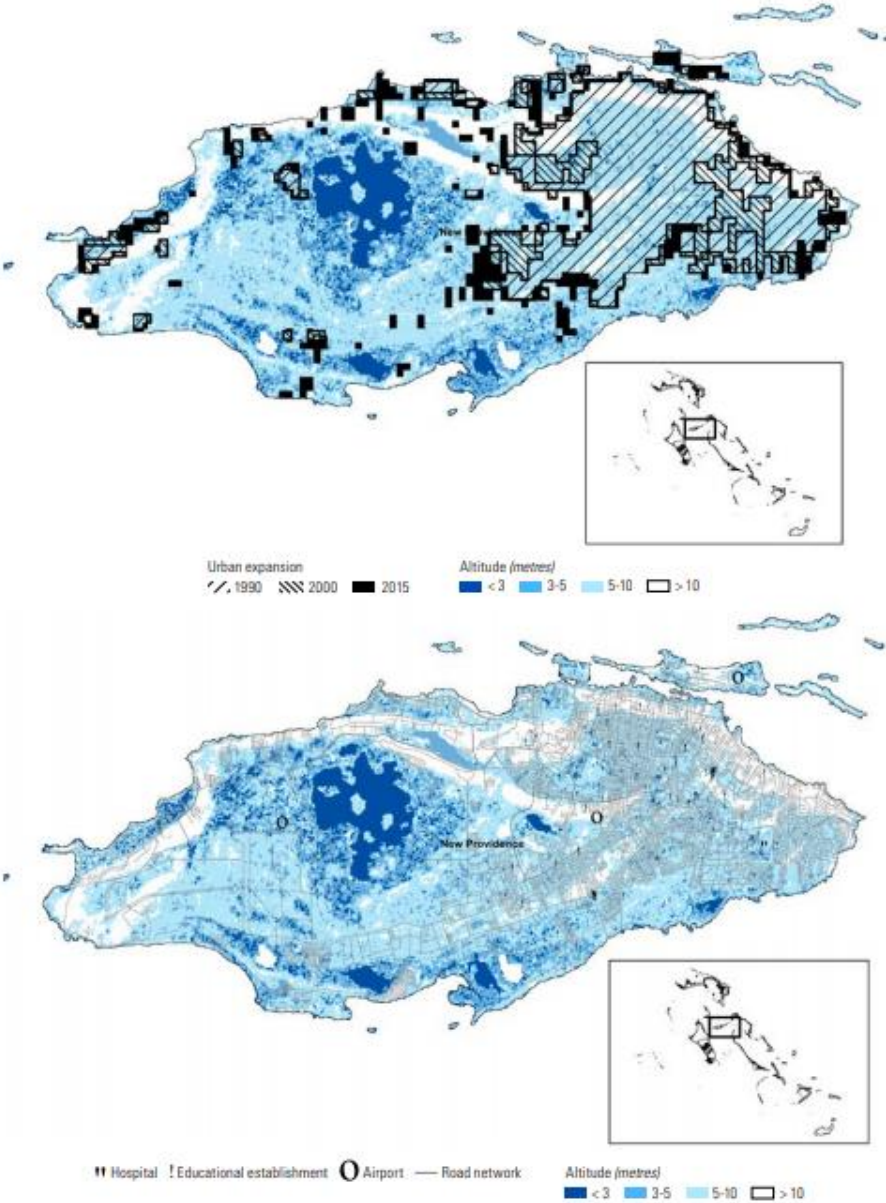


Primateal, macrocephalous or bicephalous population distribution

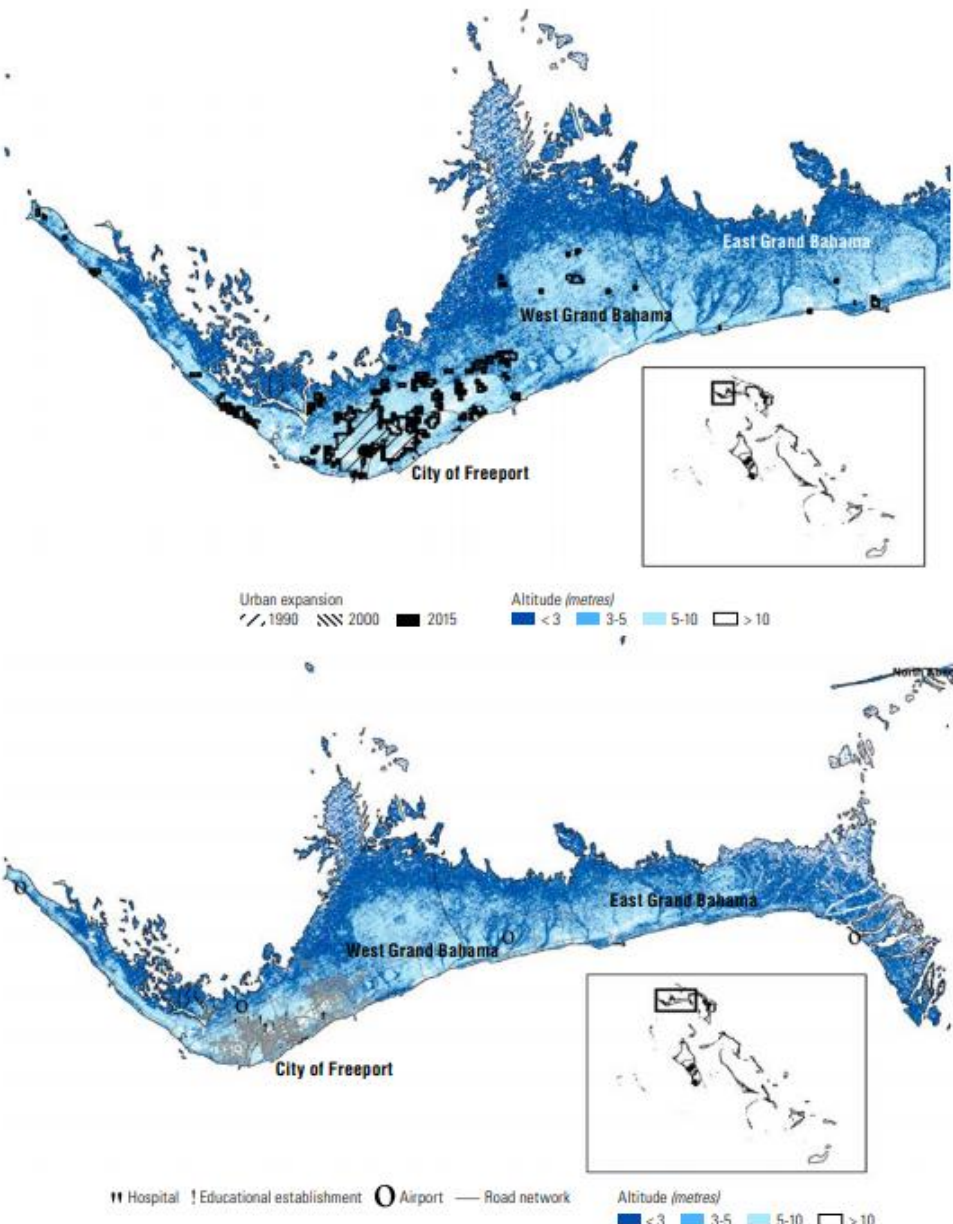


The population of the Bahamas is concentrated in two main islands, New Providence (70%) and the Grand Bahama (15%)

Bahamas: recent urban expansion and access to infrastructure and basic services on New Providence



Bahamas: recent urban expansion and access to infrastructure and basic services on Grand Bahama

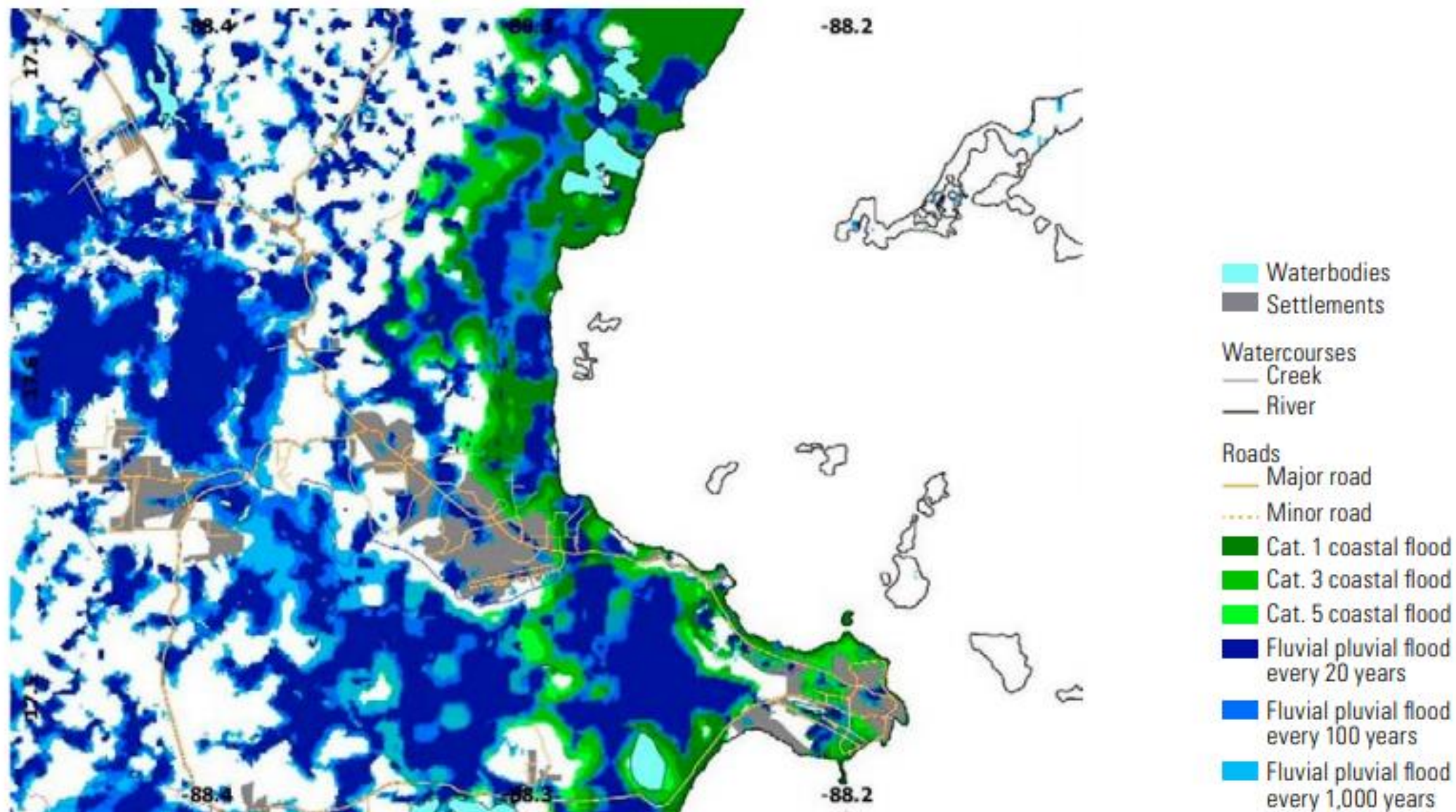


In the other islands the population dynamics are associated with employment opportunities, mainly in tourism and related activities.



**Belize > Extreme risk
and adaptive capacity**

Belize City: coastal area, pluvial speckle and overlapping flood hazards



Source: M. Trigg, A. Smith and C. Sampson, *Belize National Flood Hazard Mapping: Methodology and Validation Report*, Caribbean Handbook on Risk Information Management (CHARIM) project, 2016.



The country is classified in the 'Extreme risk' category according to the Climate Change Vulnerability index for the LAC region, among the ten most climate vulnerable nations (CAF, 2014).

Extreme risk and adaptive capacity in Belize

Damage caused by severe flooding in Belize City during Hurricane Hattie (1961)

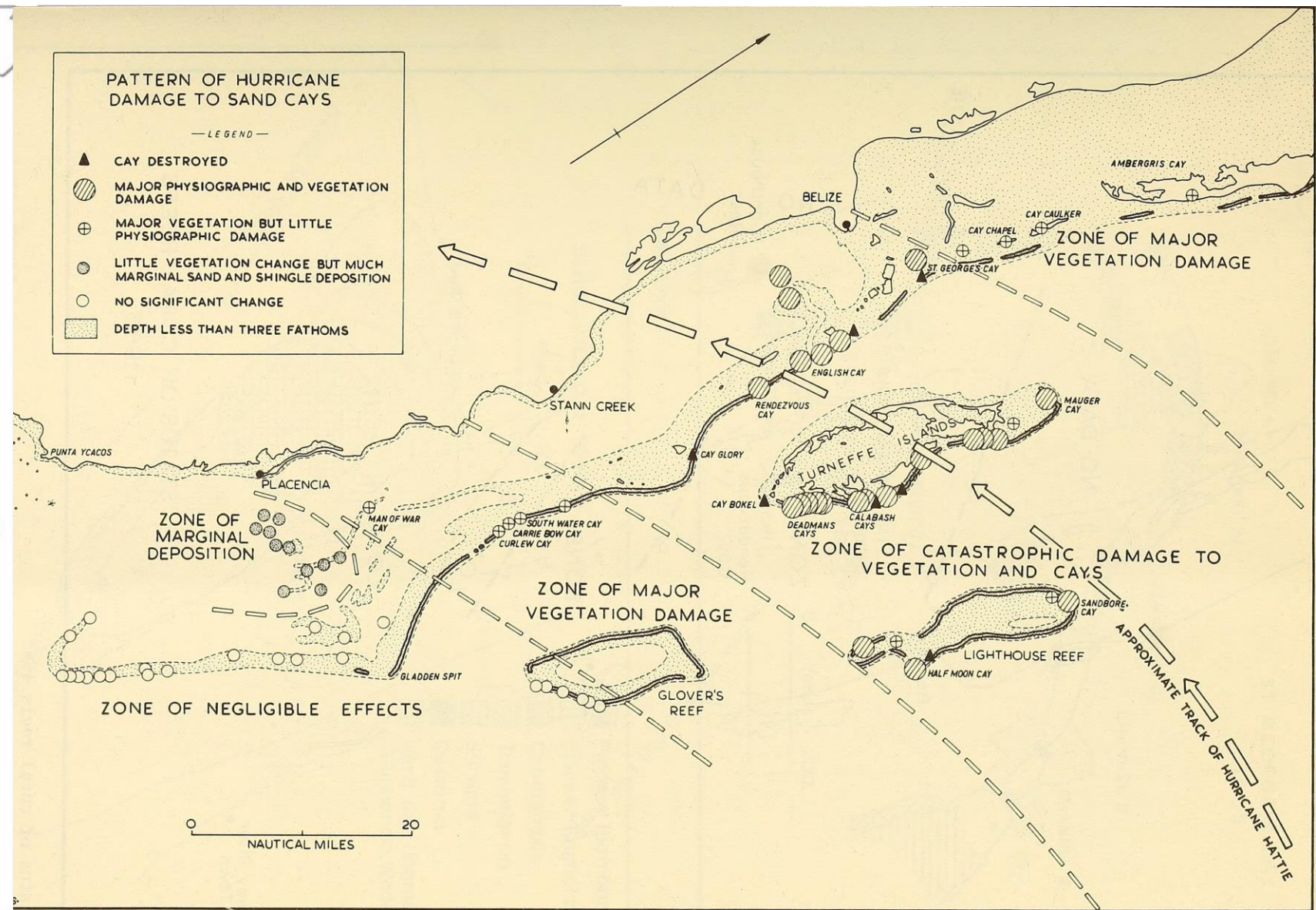
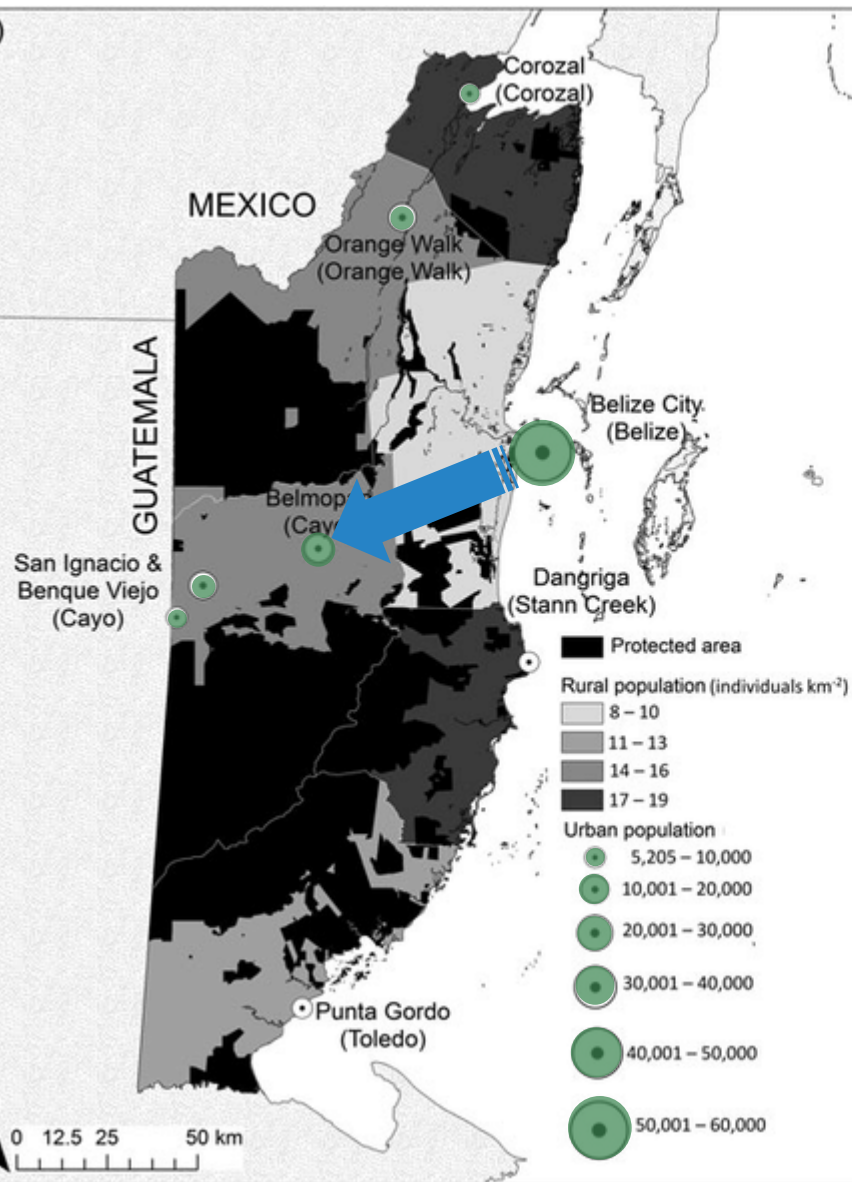
Destruction of over 75% of the buildings in the former capital. 307 killed. More than 10,000 people homeless.

Government decided to build a new capital, further inland, safer from flooding and tropical storms, on high ground, 76 m above sea level.

In 1962 the terrain for the new capital was chosen by a committee, located 82 km west, near the Belize River Valley. Furthermore, the area also provided an opportunity for planned growth and a new symbolic capital, without any costly reclamation of land, for a growing population and an industrial area.

The government was moved to Belmopan 'Garden City' in 1970.





Belmopan pop. estimates - 23,000

Belize City pop. Estimates - 63,000

Country Total - Estimated Mid Year Population: 398,050 (Statistical Institute of Belize, 2018)

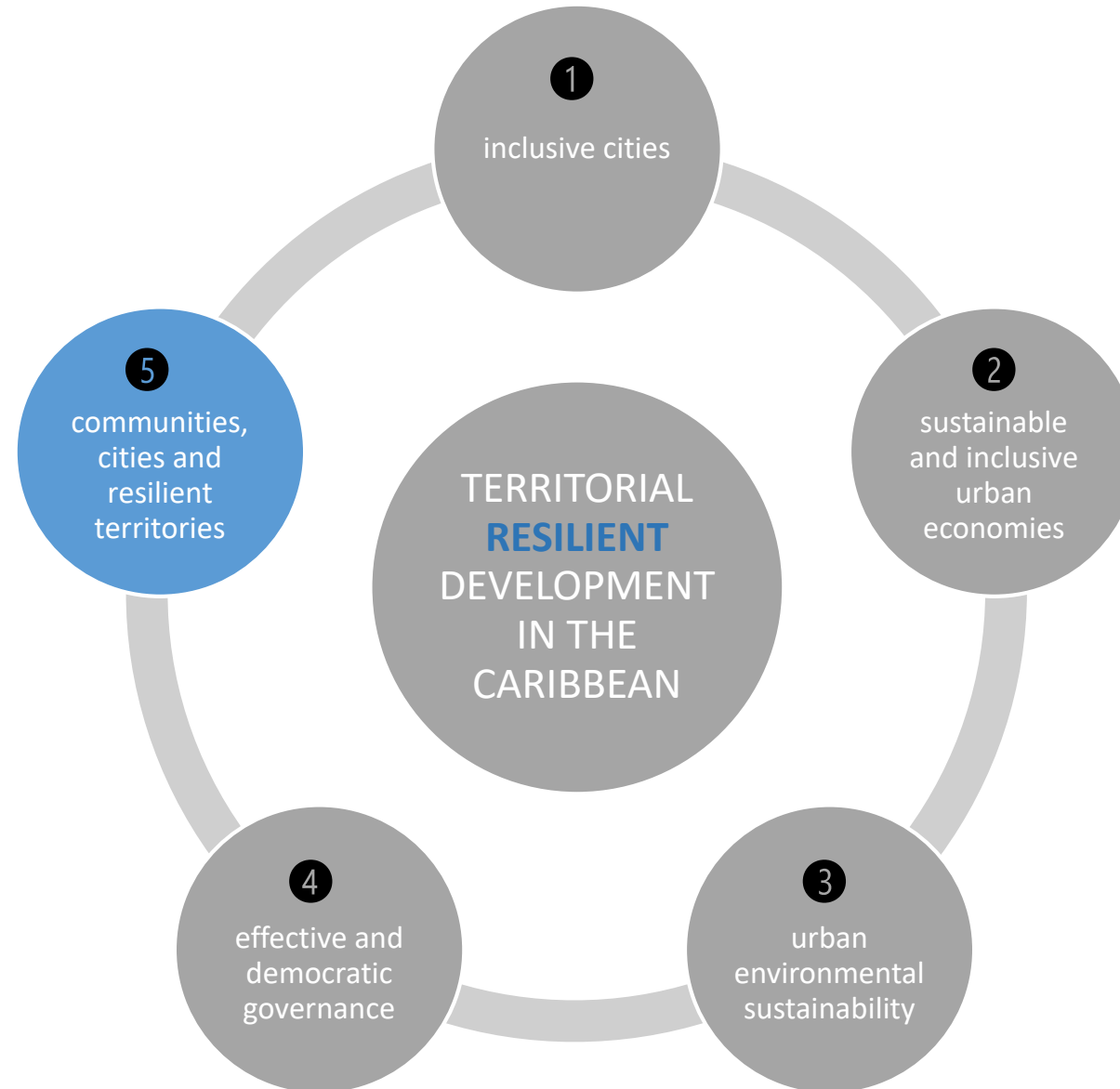
Extreme risk and adaptive capacity in Belize

With high percentages of Caribbean populations living near the coast, many of them in low-lying areas, coastal floods, storm surges and inland flooding can also drive migration and displacement.

Unplanned cities are more vulnerable to shocks and as a result, if rapidly growing Caribbean cities are to respond to the Sustainable Development Goals and Sendai Framework for Risk Reduction, new tools must be put in place to help local authorities prioritise investment in an inclusive manner, making use of limited information and adjusting to rapidly changing contexts.



A concept of a resilient Caribbean



Planning and Disaster Risk Management

Pillar 1	Risk identification	Better identification and understanding of disaster risk through capacity building for assessments and analysis
Pillar 2	Risk Reduction	Avoiding the creation of new risks and seeking the reduction of existing risks by considering and accounting for disasters risk in the public policies and investments
Pillar 3	Preparation	Improved capacity to manage crises by developing disaster management and forecasting capabilities
Pillar 4	Financial Protection	Increased financial resilience of governments, the private sector and households through financial protection strategies
Pillar 5	Resilient Recovery	Faster and more resilient recovery through support for planning reconstruction processes

5 Pillars of Action for disaster risk reduction

Source: Global Facility for Disaster Risk Reduction (GFDRR), "Strategy 2013-2015. Managing Disaster Risks for a Resilient Future".

Incorporating the concept of resilience into development planning

Critical areas for resilient sustainable development

governance framework for disaster risk management

quality information for disaster risk management decision-making

integration of the DRM strategies into the preparation and evaluation cycle of the project

territorial approach

sectoral approach

macroeconomic policies

integrating disaster risk management into development policies and other instruments

Planning

- the integration of resilience strategies in territorial planning and in land-use planning

Implementation

- the incorporation of DRR into the national public investment systems

Compliance

- upgrading and guaranteeing compliance with building codes

Building resilience from the ground up



Caribbean driven resilience planning

Participatory, community-driven approaches: improve planning methodologies to integrate more collaborative democratic participatory approaches, working at different levels to promote more sustainable cities and territories.

Evidence-based planning: ensure availability and access to information and data for better decision-making, improve capacities in statistics and technical capacities to incorporate DRM into public investment projects.

Sustainable financing:

- design and implement policies for financial protection to the risk of disasters:

ecosystems-based approach. adequate investment in ecological infrastructure, for example, will play an important role in reducing the vulnerability of populations to future environmental and socio-economic crises.

Communication and outreach: A significant change in the region's social and economic outlook towards greater sustainability will require stronger governance and the implementation of policies capable of taking into account future risks and uncertainties, as well as increased behaviour and social actions that seek to protect and value the natural capital.

International cooperation: recommended to explore the options for bilateral and regional cooperation, including technical assistance and the exchange of information in specialized fora.



Planning for sustainable territorial development in Latin America and the Caribbean



XVII
Meeting of the Regional Council
for Planning of the Latin American
and Caribbean Institute for
Economic and Social Planning
(ILPES)



Final Activity

60 min.

Exercise

Step 1. Review the case on Combatting violence (Ice-Berg, CLD, Policy Options and Pathways, and Future Scenarios). Make changes where necessary!

Step 2. Please fill in Policy Options and Pathways for your Coastal resilience.

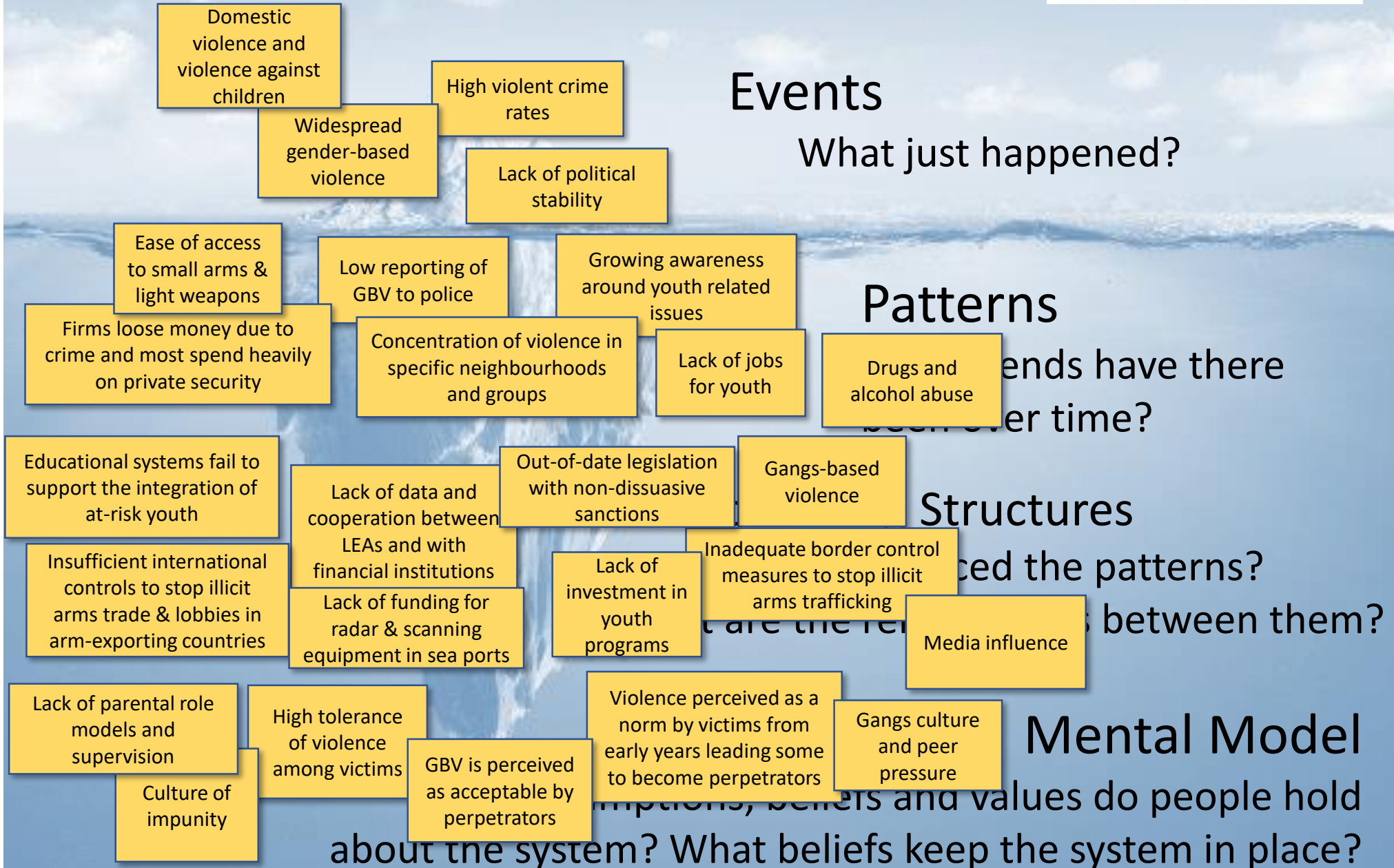
Step 3. Complete the missing entries in the Umbrella strategies. Discuss coherence between pathways for your entry points.

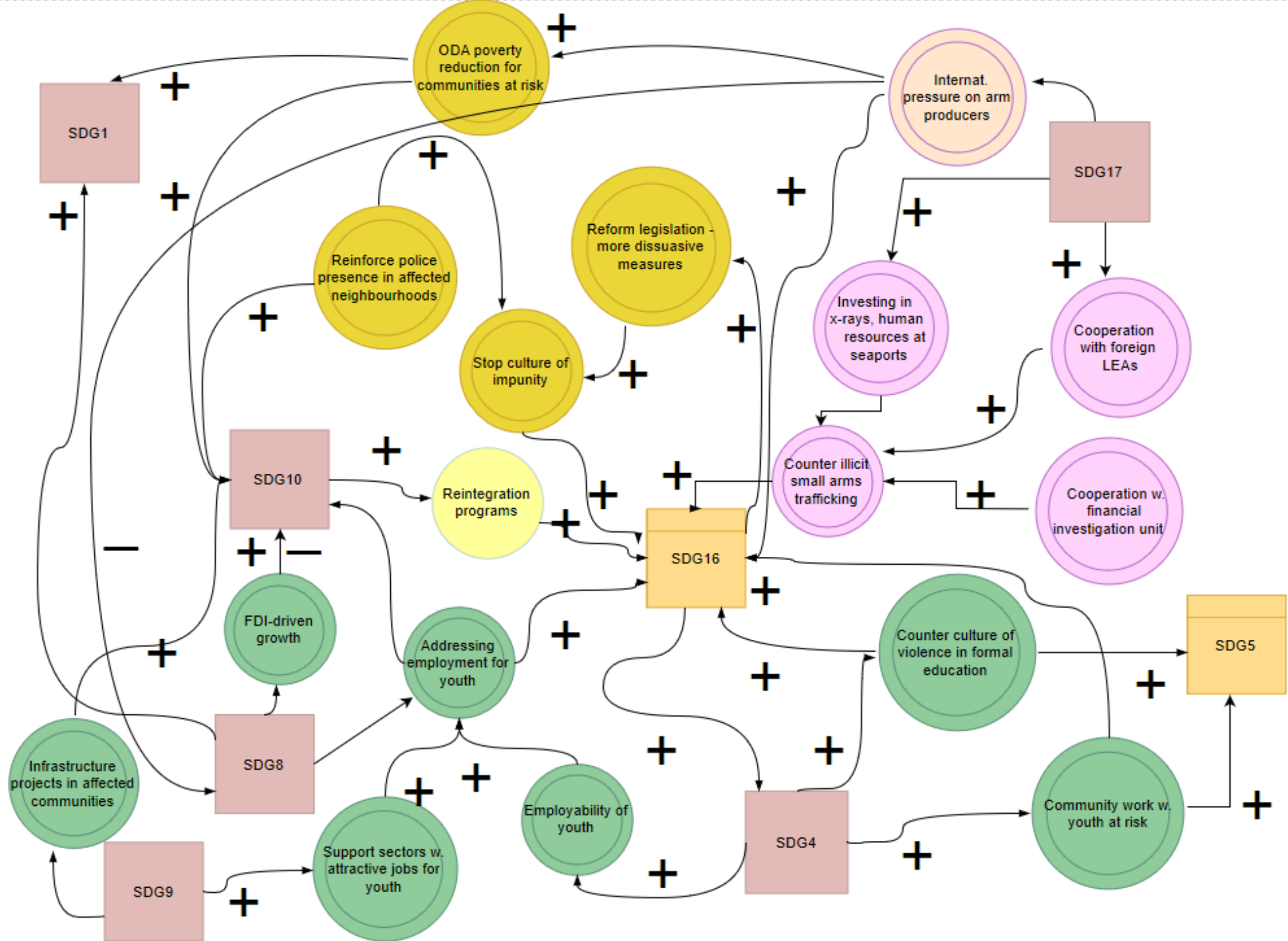
Discussion in plenary

Based on the proposed questions or any other feedback & reflection.



Combatting violence



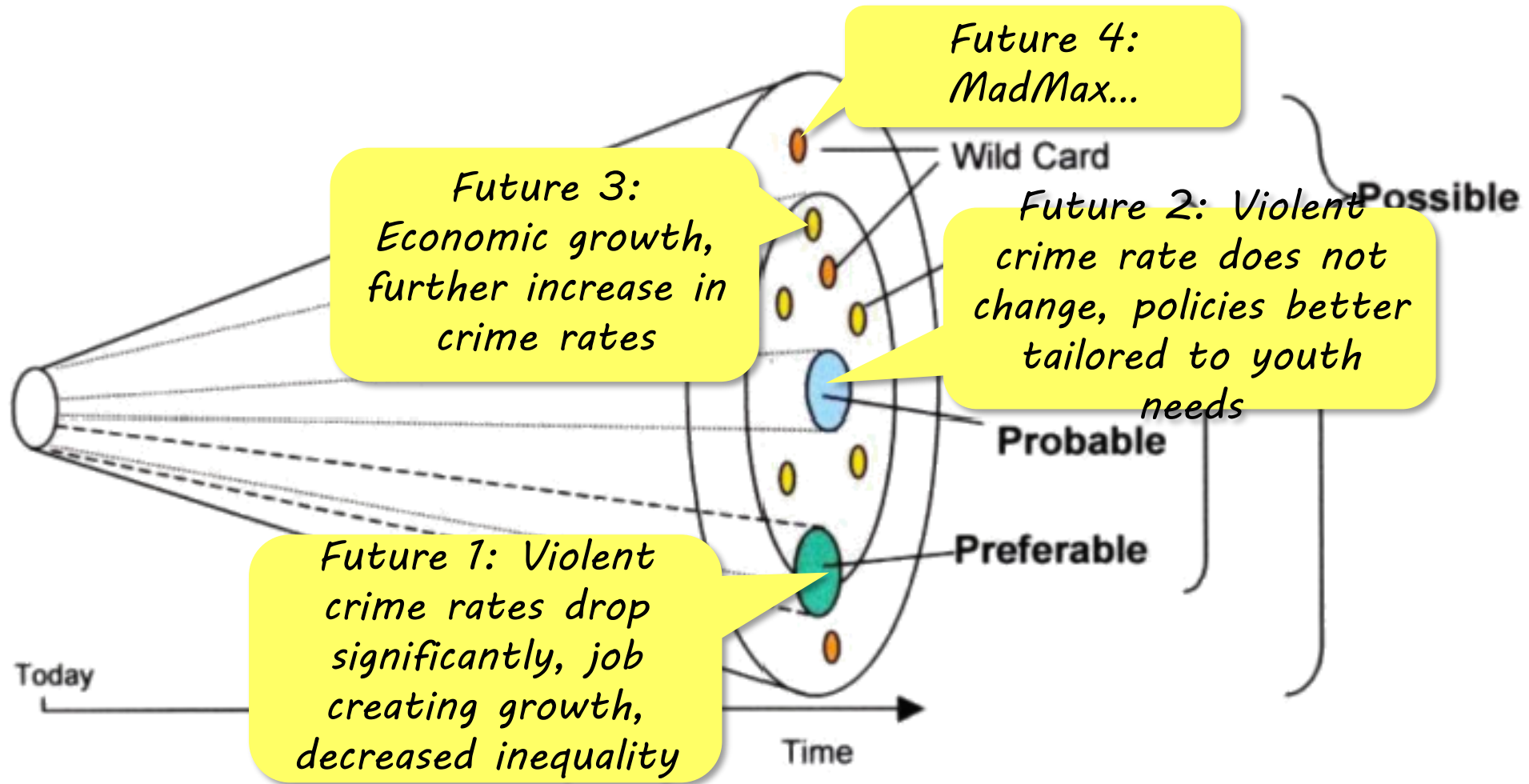


Combatting violence

1. Insert drivers from systems analysis in grey boxes
2. Think about ***mutually exclusive*** policy options to address the drivers
3. Identify 3 consistent pathways that combine coherent policy options across all drivers, and connect them with similar colours or textures.

Drivers	Small arms access	Culture of violence	Media	Culture of impunity	Alternative livelihoods for at-risk youth
Policy Options	Pressure on countries arm-producers and invest in arms control	Community work with parents and at-risk youth	Age-based censorship	Reform legislation to include more dissuasive measures	Focus on fast economic growth and attracting FDI
	Encourage self-defense	Reinforce police presence in affected neighbourhoods	Leave it to family control	Launch reintegration programs	Support to national industries and relevant skills sets for youth employability
			Free access to media content and self-judgement		ODA-funded poverty reduction & service provision for neighbourhoods at risk

- Pathway 1: National solution based on dissuasive law, law enforcement, and opportunities for youth.
- Pathway 2: No weapon control and FDI growth-based solution.
- Pathway 3: Community-based solution.



Which *pathways* can withstand which *scenarios*?

Pathways	Future 1 Preferable	Future 2 Probable	Future 3 Plausible	Future 4 Wildcard
National law enforcement and jobs for youth solution	✓	✓	✓	✗
FDI and growth solution	✗	✓	✓	✓
Community-based solution	✓	✓	✗	✗

Umbrella Strategies

1. Insert drivers from day 2 under entry point “Coastal resilience” and from your current work under entry point “Healthy ecosystems”
2. Think about ***mutually exclusive*** pathways under these entry points
3. Identify possible umbrella strategies that combine coherent policy pathways across all entry points.

Entry Points	Combatting violence	Energy transition	Coastal resilience
Pathways	National law enforcement and jobs for youth solution	BAU. No need to change course on energy strategy	
	FDI and growth-based solution	Green growth. Invest in new tech to solve CC while growing (have our cake and eat it)	
	Community-based solution	Draw down. Adapt and mitigate while rethinking development (the house is on fire)	

- Umbrella Pathway 1
- Umbrella Pathway 2
- Umbrella Pathway 3



Integrated approaches to
strategies and policies: Main
challenges and opportunities

60 min.



Session 7: Delivering an effective training

Ms. Madina Imaralieva (UNITAR)

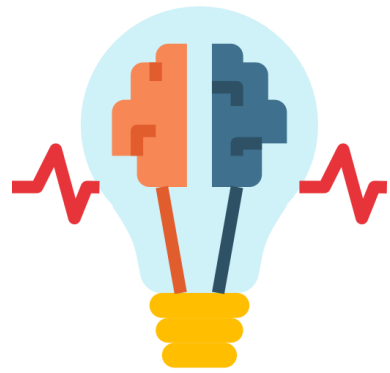
Delivering an effective training

- **How to design and deliver an effective training?**

Presentation (15 min.)

Q&A (15 min.)





What is a training?



KSA Model

KNOWLEDGE



Acquiring new pieces of knowledge

SKILLS



Acquiring new competencies, application and practice

ATTITUDE



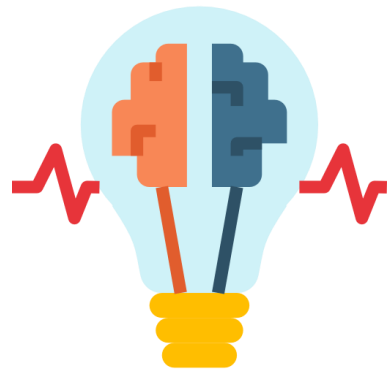
Adjusting values and attitude

K

S

A





How to make a
training effective?



Cognitive overload

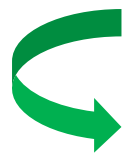
Memory is what allows you not only to **retain different information**, but also to **acquire, store and later retrieve it**

- **Sensory** memory

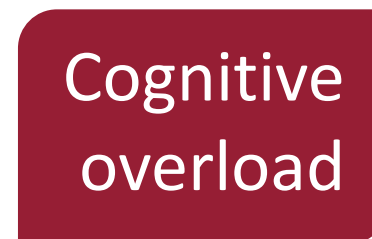
- **Working** memory

- **Long-term** memory

Cognitive
load



Cognitive
overload



Adult learner needs

- **Bring and share** own baggage of knowledge
- Want to **know the purpose** before investing time
- Enjoy being **active and interact**
- Willing to learn new things that help **solve a problem or perform a task**
- **Tend to respond better to internal motivators than to external**
- Appreciate the **engaged and fun process**



Tips how to present?

- ✓ Presenting in a way that catches attention
- ✓ Logical grouping
- ✓ Less **IS** more
- ✓ Application to real life
- ✓ Recalling
- ✓ Presenting in the context
- ✓ Structure





How to design and
evaluate a training?



Why learning objectives?

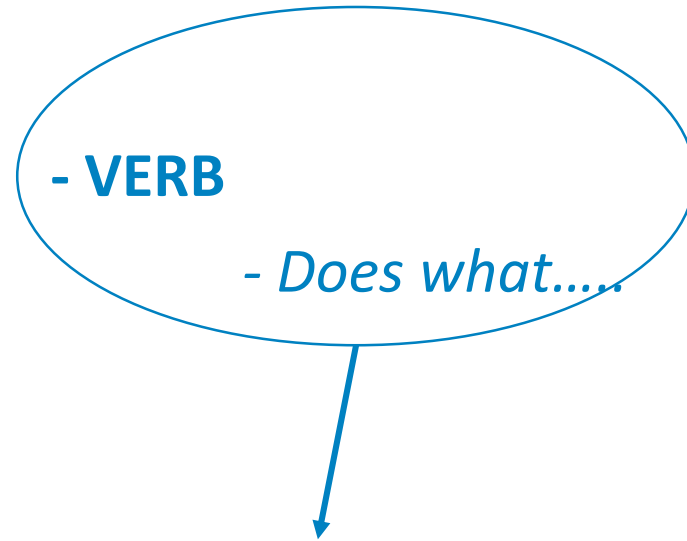
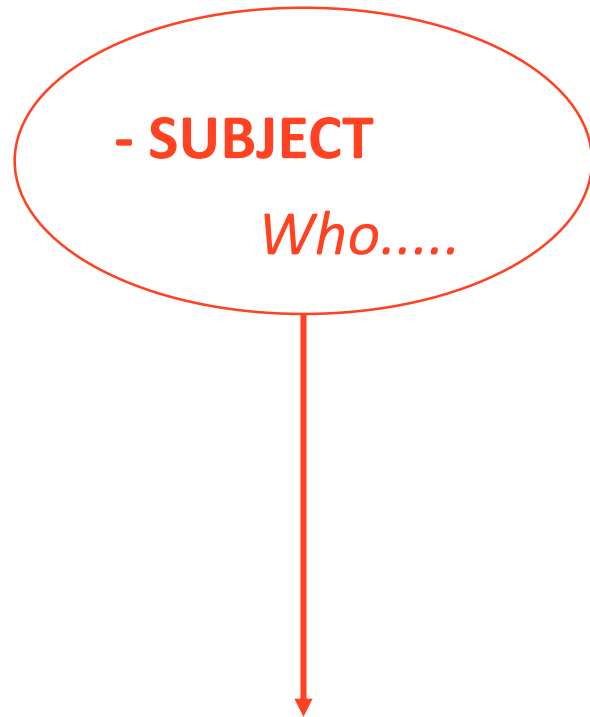
- To guide the instructional process

Cognitive
dimensions

- Remember
- Understand
- Apply
- Analyze
- Evaluate
- Create



Why learning objectives?



Participants will be better positioned to explain the key ideas of system thinking and system dynamics and how they can be useful in analysing SDG interactions

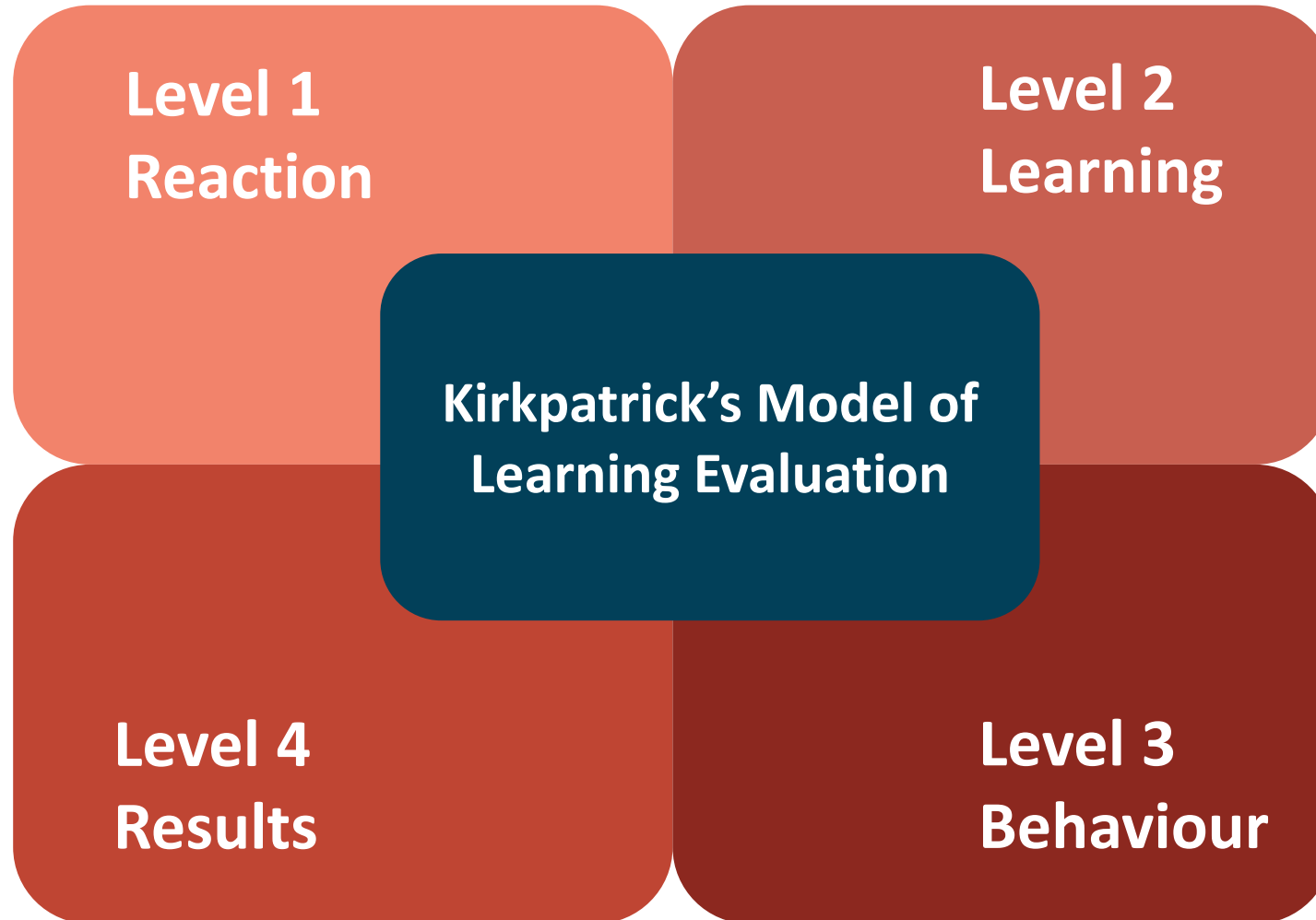
SMART

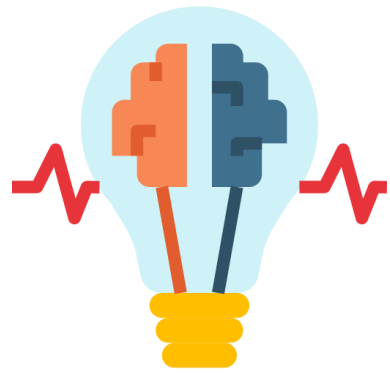
Assessment criteria

- **Relevant** – i.e. it met the needs and expectations of learners
- **Effective** – i.e. it enabled learners to achieve learning objectives
- **Impactful** – i.e. it shaped learners' knowledge, skills and attitudes in a way that would bring about the anticipated change



Kirkpatrick Model





How to facilitate
the process?



Types of learners



Visual



Auditory



Tactile



Some techniques

Apply participatory approach

- Brainstorming
- Discussions
- Case studies
- Game show quizzes
- Role plays and other theater-based techniques
- Presentations
- Story telling

Learners are motivated and challenged





Session 8: Action planning

Ms. Elena Proden (UNITAR)

Ms. Veronique Verbruggen (UN DESA)

Mr. Francois Fortier (ECLAC)

Mr. Abdullahi Abdulcadri (ECLAC)

Ms. Catarina Camarinhas (ECLAC)



Action planning

- **Review of key take-aways and action planning in country groups**
- **Reports**
- **Workshop evaluation**
- **Closing ceremony**



Workshop evaluation

- **Post-ante assessment**

Please locate your test list from Day 1 and provide answers to all question in column 2 before returning the sheet to the organizing team

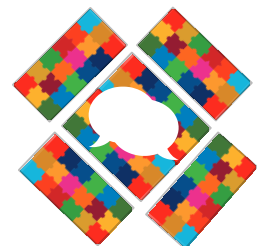
- **Participant feedback questionnaire**

Please fill in the participant feedback questionnaire and return to the organizing team



Post-ante assessment

- Answer all the questions in the **second (2) column** to the best of your knowledge
- Return the test sheet with completed **second (2) column** to the organizing team



Closing ceremony

- Closing remarks
- Distribution of certificates

